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大氣科學學系

碩士論文

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極化參數的全新方法：夏季真實個案中的  
分析場與預報場

A Novel Approach to Assimilate Polarimetric  
Parameters with an LETKF System:  
Analysis and Forecast in Real Summer Cases

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# 摘要

本研究使用 WRF-LETKF 雷達同化系統(WRF-LETKF Radar Assimilation System, WLRAS)進行資料同化實驗，除了同化已被廣泛使用在雷達資料同化的徑向風( $V_r$ )與回波( $Z_H$ )，進一步同化雙偏極化參數，如差異反射率( $Z_{DR}$ )與比差異相位差( $K_{DP}$ )。另外，本研究設計了一種新的同化方法，利用平均粒徑與  $Z_{DR}$  的高相關性從  $Z_{DR}$  的觀測增量取得更多微物理變數的修正，當觀測資料被同化時更新由混合比以及總數量濃度診斷得到的標準化截距參數( $N_w$ )以及質量權重平均粒徑( $D_m$ )。同化實驗選用了兩種不同的中尺度系統以及四種不同的雲微物理參數化方案。其中一個系統是由西南風驅動的飆線系統，另外一個系統是局地產生的午後對流。四種不同的雲微物理參數化方案分別為 GCE、WSM6、WDM6、MOR。本研究執行了一系列的實驗以評估同化雙偏極化參數對於分析場以及定量降水預報(Quantitative Precipitation Forecast, QPF)的影響。實驗結果顯示利用單矩量的雲微物理參數化方案同化額外的  $Z_{DR}$  後， $Z_H$  與  $K_{DP}$  的分析場反而會變得比較差。當使用雙矩量的雲微物理參數化方案同化  $Z_{DR}$  與  $K_{DP}$  時，兩者的誤差都能夠下降。此外，使用新方法同化雙偏極化參數可以明顯的改善  $Z_{DR}$  的分析場，使  $Z_{DR}$  的誤差下降更多。除了對雲微物理變數的修正之外，同化額外的雙偏極化參數亦能夠調整水氣分布以及加強對流區的垂直運動。在同化了雙偏極化參數之後，強降雨的表現有得到改善，即使在利用單矩量雲微物理參數化方案同化  $Z_{DR}$  的實驗中也可以發現強降雨的機率變高。總結來說，利用單矩量雲微物理參數化方案同化額外雙偏極化參數存在著限制，而雙矩量雲微物理參數化方案有更多的彈性來調適雙偏極化參數對雲微物理變數造成的修正。此研究中證實新的方法能夠更有效的利用  $Z_{DR}$  的觀測增量來降低  $Z_{DR}$  的誤差。雲微物理變數的彈性調整以及同化雙偏極化參數對於動力與熱力場的調整有助於改善短時定量降水預報的表現。

# Abstract

This study applied WRF-LETKF Radar Assimilation System (WLRAS) to assimilate polarimetric parameters, i.e. differential reflectivity ( $Z_{DR}$ ) and specific differential phase ( $K_{DP}$ ), in addition to radial wind ( $V_r$ ) and reflectivity ( $Z_H$ ) which is commonly used in radar data assimilation. Besides, a new approach is developed to make use of the high correlation between mean diameter and  $Z_{DR}$  to extract more correction from  $Z_{DR}$  innovation. It updates normalized intercept parameter ( $N_w$ ) and mass-weighted mean diameter ( $D_m$ ) diagnosed from original model variables, mixing ratio and total number concentration. Two real cases, including squall lines forced by synoptic southwestern wind and a local afternoon thunderstorm, are selected to conduct the assimilation experiments with four different microphysics parameterization (MP) schemes, GCE, WSM6, WDM6 and MOR. A series of experiments are conducted to evaluate the performance of the analysis and the quantitative precipitation forecast (QPF). The results show that assimilating additional  $Z_{DR}$  with single moment schemes deteriorates the analysis field of  $Z_H$  and  $K_{DP}$ . Errors of  $Z_{DR}$  and  $K_{DP}$  can decrease simultaneously when all the polarimetric parameters are assimilated with double moment schemes. The new approach reduces more  $Z_{DR}$  errors through the high correlation between  $D_m$  and  $Z_{DR}$ . In addition to the correction in microphysical states, assimilating additional polarimetric parameters can adjust water vapor and enhance vertical velocity in the strong convective region. Heavy rainfall forecast performs better even in the experiments assimilating  $Z_{DR}$  with single moment schemes. In conclusion, there is limitation in assimilating additional polarimetric parameters with single moment schemes, and double moment schemes have more flexibility to adapt the adjustment in hydrometeor variables from assimilating additional polarimetric parameters. It is confirmed that the new approach can extract more correction from  $Z_{DR}$  innovation. The flexible correction in microphysical states and the adjustment in dynamical and thermodynamical fields help to improve the performance of short-term QPF.

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# Chapter 1 Introduction

Meteorology radars are very powerful instruments to observe severe weather systems because they can provide three-dimensional data with high spatial and temporal resolution. Doppler radars transmit electromagnetic wave with horizontal vibration to measure radial wind ( $V_r$ ) and reflectivity ( $Z_H$ ), which are related to dynamics and microphysics inside the precipitation system. Recently, most doppler radars are updated to the dual-polarization radar that transmits both horizontal and vertical electromagnetic wave. Comparing the difference between horizontal and vertical return echo, dual-polarization radar can measure polarimetric parameters in addition to  $V_r$  and  $Z_H$ , i.e. differential reflectivity ( $Z_{DR}$ ), differential phase ( $\Phi_{DP}$ ), co-pol correlation ( $\rho_{hv}$ ).  $\Phi_{DP}$  can be further applied to calculate specific differential phase ( $K_{DP}$ ). These additional variables provide extra information of hydrometeors. For example,  $Z_{DR}$  is related to the shape of hydrometeors,  $K_{DP}$  is related to the liquid water content, and  $\rho_{hv}$  can be used to classify non-meteorological signal and verify whether the hydrometeors are in uniform formation or mixture form. The information extracted from polarimetric parameters is useful to improve the quality of quantitative precipitation estimation (QPE) (Ryzhkov and Zrnić 1996; Brandes et al. 2002), and the characteristic of polarimetric parameters can be applied in particle identification (PID) to classify different types of hydrometeors (Park et al. 2009). Besides, there are more processes available for radar data quality control (QC) to remove non-meteorological signals with the measurement of polarimetric parameters. Some polarimetric signatures related to the interaction between microphysics and dynamics are also found through the observation of dual-polarization radars, like  $Z_{DR}$  column and  $K_{DP}$  column collocating with the strong updraft, ring shape  $\rho_{hv}$  surrounding the core of updraft near the melting layer and  $Z_{DR}$  arc resulted from size sorting (Kumjian and Ryzhkov 2008; Dawson et al. 2014).

For severe convective systems, microphysical processes not only affect the rainfall intensity but also indirectly impact the lifetime and intensity through the interaction with

dynamical and thermodynamical processes. Microphysical processes in the high-resolution numerical model rely on the microphysics parameterization (MP) schemes (Lin et al. 1983; Rutledge and Hobbs 1983) since the scale of microphysics processes is much smaller than the model grid resolution. Assumptions given in MP schemes contain uncertainties which will lead to the forecast errors through model integration. As a result, obtaining accurate quantitative precipitation forecast (QPF) of severe convective storms is still very challenging even though high-resolution model can capture very detailed dynamical structure. Data assimilation combines all available information (observation and model) to get the accurate analysis field closer to the unknown truth. With the more accurate analysis fields obtained as initial condition, the performance of QPF is expected to be improved. Assimilating radar observation is the main trend in the researches related to convective system since the high spatial and temporal resolution data can help to capture the rapid evolution inside the convective storm.  $V_r$  and  $Z_H$  have been widely used in previous studies related to radar data assimilation either through variational method (Sun and Crook 1997; Xiao et al. 2005; Chung et al. 2009) or ensemble Kalman filter (EnKF) (Snyder and Zhang 2003; Zhang et al. 2004; Chang et al. 2014). Nowadays, there are more and more dual-polarization radars; therefore, some recent studies try to assimilate polarimetric parameters in addition to  $V_r$  and  $Z_H$  to make use of the extra information related to the microphysics processes. WRF-3DVAR has been applied to assimilate polarimetric parameters with a pure warm rain MP scheme (Li and Mecikalski 2010, 2012) while Wu et al. (2000) implemented 4DVAR with a simple ice MP scheme to assimilate polarimetric parameters. The results of the studies mentioned above shows that assimilating additional polarimetric parameters lead to better storm structure and location. Jung et al. (2008a) implemented T-matrix and power law fitting (Zhang et al. 2001) to develop a polarimetric observation operator that can be applied in numerical model validation and data assimilation. Jung et al. (2008b) then applied the operator to assimilate simulated dual-polarization radar observation data in an observation system simulation experiment (OSSE). They found that

assimilating additional polarimetric parameters improves the convective scale analysis especially at the later cycles, and they expected there will be more positive improvement if a more complicated MP scheme is applied. Jung et al. (2010) further updated the operator with look-up table and applied it with MY scheme (Milbrandt and Yau 2005). Putnam et al. (2019) first tried to assimilated polarimetric parameters directly through EnKF with the polarimetric operator developed by Jung et al. (2010). In their research, only the  $Z_{DR}$  data under 2-km height is assimilated, yet they found that additional assimilation of  $Z_{DR}$  can affect grid points higher than 2-km height through the model dynamics and background error covariance. Besides, the mesocycle structure was illustrated in the analysis field after additional  $Z_{DR}$  is assimilated at lower levels, indicating the relationship between the polarimetric signature and the dynamical process in the convective storm. Therefore, assimilating additional polarimetric parameters provides not only correction in microphysical variables but also reasonable adjustment in dynamical variables. Zhu et al. (2020) used an OSSE to evaluate how assimilation of additional  $Z_{DR}$  affects the analysis field. Their results show that  $Z_{DR}$  is highly correlated with vertical velocity, water vapor and temperature perturbation, which indicates the ability of additional  $Z_{DR}$  to adjust those variables. They found the analysis is the best if  $Z_{DR}$  is able to update all the model variables. Tsai and Chung (2020) assimilated polarimetric parameters to investigate the improvement of QPF for Typhoon Soudelor. The root mean square error (RMSE), spatial correlation coefficient (SCC) and equitable threat score (ETS) of hourly rainfall is improved with the assimilation of  $Z_{DR}$  and  $K_{DP}$ . You et al. (2020) validated the analysis with the polarimetric parameters and found that assimilating  $V_r$  and  $Z_H$  might not be sufficient to obtain optimal meso-scale analysis fields, especially when complicated MP schemes are applied.

Although the application of polarimetric parameters in QPE has been operational, assimilation of polarimetric parameters is still in the early stage. Most of the previous studies assimilated polarimetric parameters through retrieved mixing ratio or simple polarimetric operators, and assimilated polarimetric parameters with sophisticated polarimetric operator in

an OSSE. Therefore, two real cases with strong convective storms are selected to assimilate polarimetric parameters in addition to  $V_r$  and  $Z_H$  through the sophisticated polarimetric operator with different MP schemes. The purposes of this study are 1) investigating the improvement with assimilation of polarimetric parameters in different MP schemes; 2) testing a new approach updating normalized intercept parameter ( $N_w$ ) and mass-weighted mean diameter ( $D_m$ ) to extract more correction in microphysical variables from  $Z_{DR}$  innovation; 3) evaluating the impact on dynamics and thermodynamics with additional assimilation of polarimetric parameters; 4) verifying the improvement in QPF after assimilating additional polarimetric parameters. Chapter 2 introduces the two cases selected in this study. Chapter 3 includes the information about radar data, assimilation system, observation operator and assimilation strategy. Chapter 4 involves the description of verification methods. Chapter 5 provide results of all the experiments conducted in this study. Chapter 6 is devoted to the conclusion of this study and discussion of future works.

# Chapter 2 Case Overview

Two different convective storm cases, including a squall line induced by strong southwestern wind and a local afternoon thunderstorm, are selected to conduct assimilation experiments. In addition to occurring under different types of synoptic environment, the microphysical characteristic in these two convective storm cases is also distinct.

## 2.1 2008/06/14 Squall Line

This case is squall lines occurring over Taiwan Straits during the 8<sup>th</sup> intense observation period (IOP#8) in the Southwest Monsoon Experiment (SoWMEX) in 2008. From NCEP analysis field (Figure 1 and Figure 2), it is found that South Asia and Southeast Asia was covered by a strong monsoon low. There was an obvious vapor transition band along the edge of the monsoon low at 850 hPa, which transported the warm and moist air from Indian Ocean to South China Sea and East China Sea. Moreover, the low-pressure center near Yangtze River Estuary enhanced the southwestern wind along Taiwan Straits. Above the low-pressure center was an intensive short-wave trough at 500 hPa, and the 5880-gpm contour at 500 hPa was far away from Taiwan, which means that Pacific Subtropical High was relatively weak. With all the factors mentioned above, the unstable synoptic environment induced the squall lines over Taiwan Straits and move toward southwestern Taiwan. At 1100 UTC 14<sup>th</sup> June 2008, two squall lines are identified: squall line A along the southwestern coast and squall line B over Taiwan Straits (Figure 3). Figure 4 shows that most of the observed  $Z_{DR}$  is lower than 1.0 dB even though the corresponding  $Z_H$  is higher than 30 dBZ, and the  $Z_{DR}$  maximum is lower than 3.0 dB. The large  $Z_H$  in the convective systems is caused by a large amount of small raindrops. The 24-hour rainfall accumulation from 0000 LST 14<sup>th</sup> June to 0000 LST 15<sup>th</sup> June is higher than 90 mm over southwestern Taiwan, and the maximum exceeded 200.0 mm (Figure 5).

## 2.2 2020/07/20 Afternoon Thunderstorm

In addition to the convective system induced by the synoptic environment, the second case is an afternoon thunderstorm case on 20<sup>th</sup> July 2020. The 5880-gpm contour of the 500-hPa geopotential height extended from the Pacific Ocean to Indochina Peninsula. Taiwan was covered by strong Pacific Subtropical High (Figure 6), which is a very typical synoptic environment in Taiwan during the summer time. Although covered by the subtropical high, the convective available potential energy (CAPE) measured in Banqiao station at 0000 UTC was quite a large value, 1198.6 m<sup>2</sup>s<sup>2</sup> (Figure 7), which is suitable for convective systems to develop. As expected, with the heating effect in the daytime, several local convective cells occurred at 1300 LST, and those sporadic convective cells developed to strong afternoon thunderstorm. At 1500 LST, there several strong convective cells along Central Mountain Range (Figure 8). This study focuses on the thunderstorm locating in the northern Taiwan. The microphysical characteristic in this thunderstorm case is quite different from the squall line case (Figure 9). The maximum of Z<sub>DR</sub> is higher than 4.0 dB, and the value of Z<sub>DR</sub> corresponding to 10 dBZ can range from 0.0 dB to the value higher than 2.0 dB. When the value of Z<sub>H</sub> is higher than 30 dBZ, most of the corresponding Z<sub>DR</sub> is higher than 1.0 dB. This afternoon thunderstorm case shows the diversity of raindrops that the same Z<sub>H</sub> might result from a large amount of small raindrops or few large raindrops. The maximum of 3-hour accumulated rainfall in the northern Taiwan from 1400 LST 20<sup>th</sup> July to 1700 LST 21<sup>st</sup> July was over 90 mm (Figure 10).

# Chapter 3 Experiment Design

## 3.1 Model Configuration

Weather Research and Forecasting (WRF) version 3.9.1 is applied in this study. It is a three dimensional, non-hydrostatic and fully compressible model predicting three-dimension wind, perturbation potential temperature, perturbation geopotential, water vapor and hydrometeor variables on eta levels which allows model grids to follow the complex terrain. Besides, many physical parameterization schemes, i.e. long (short) wave radiation parameterization schemes, PBL parameterization schemes and MP schemes, are included to deal with the sub-grid physical processes. In this study, four MP schemes, including Goddard Cumulus Ensemble scheme (GCE) (Tao et al. 1989; Tao et al. 2003), Morrison scheme (MOR) (Morrison et al. 2005), WRF Single Moment 6-category scheme (WSM6) (Hong et al. 2006) and WRF Double Moment 6-category scheme (WDM6) (Lim and Hong 2010), are implemented. Three nested model domains are set (Figure 11), 180\*150 grid points with 27-km horizontal resolution in the first domain (D01), 165\*156 grid points with 9-km horizontal resolution in the second domain (D02) and 210\*210 grid points with 3-km horizontal resolution in the third domain (D03). There are totally 52 eta levels with 10-hPa model top. Initial condition and boundary condition are generated from NCEP FNL operational model global tropospheric analyses with 1.0° resolution. Ensemble members are essential in order to conduct EnKF; therefore, horizontal wind field, perturbation potential temperature and water vapor in D01 initial condition and boundary condition are perturbed by WRFDA CV3 background error covariance to create ensemble members. The perturbed initial condition in D01 will be interpolated to D02 and D03, and there will be ensemble members in all three domains' initial conditions ready for ensemble spin-up and data assimilation.

## 3.2 Radar Data

RCWF, RCCG and RCKT belonging to Central Weather Bureau (CWB) and SPOL belonging to National Center for Atmospheric Research (NCAR) are used to assimilate radar data in this study (Figure 12). In the squall line case on 14<sup>th</sup> June 2008 during the 2008 SoWMEX, RCWF, RCCG and RCKT scanned with 9 elevation angles (0.5°, 1.4°, 2.4°, 3.4°, 4.3°, 6.0°, 9.9°, 14.6° and 19.5°) and observed reflectivity ( $Z_H$ ) and radial wind ( $V_r$ ). SPOL scanned with 9 elevation angles (0.5°, 1.1°, 1.8°, 2.6°, 3.6°, 4.7°, 6.5°, 9.1° and 12.8°) and measured polarimetric parameters, such as differential reflectivity ( $Z_{DR}$ ), differential phase ( $\Phi_{DP}$ ) and specific differential phase ( $K_{DP}$ ) in addition to  $V_r$  and  $Z_H$ . All these four radars provided a complete volume scan every 7.5 minutes. In the afternoon thunderstorm case on 20<sup>th</sup> July 2020, RCWF has been updated to dual-polarization radar and provided radar data every 6 minutes in 15 elevation angles (0.5°, 0.9°, 1.3°, 1.8°, 2.4°, 3.1°, 4.0°, 5.1°, 6.4°, 8.0°, 10.0°, 12.0°, 14.0°, 16.7° and 19.5°).

Radar data quality control (QC) is applied to eliminate non-meteorological signals before using the radar data to any kind of application. RAKIT is a radar data QC package developed by Radar Meteorology Laboratory (RaMeLa) in National Central University (NCU) and is used in this study to get rid of the non-meteorological signal. For the single-polarization radar, the first step of the QC process is to omit the region blocked by terrain, and the second step is to unfold  $V_r$ . After these two steps, the final step is to remove the data at the grid point with  $Z_H$  greater than 30 dBZ and  $V_r$  smaller than 2 m/s. For the dual-polarization radar, the first step is also omitting the blocked region, and the second step is unfolding  $\Phi_{DP}$ . After  $\Phi_{DP}$  is unfolded, grid points with  $\rho_{hv}$  smaller than 0.85 (0.9 for RCWF in the afternoon thunderstorm case) and standard deviation of  $\Phi_{DP}$  greater than 10.0 are recognized as non-meteorological signals. After that,  $\Phi_{DP}$  will be smoothed along the radial direction to remove the noise and then be derived to  $K_{DP}$ . The final step is unfolding  $V_r$ .

Before applying the radar data in data assimilation, the spatial resolution needs to be reduced to match the model resolution. It will prevent overfitting and satisfy that observation data is uncorrelated in the observation space. There are two main methods to reduce the observation resolution, data thinning and superobbing. Data thinning randomly select one observation grid in a specific region to represent the observation and can properly maintain the strong convective feature. Superobbing averages all the observation data in a specific region to represent the observation and can make the observation more representative with lower observation errors. In this study, superobbing is implemented to average the observation data with Gaussian distance weighting every 5 km in radial direction and every 5° in azimuthal direction for RCWF, RCCG and RCKT; every 4.5 km in radial direction and every 4.5° in azimuthal direction for SPOL (Figure 13).

### 3.3 Assimilation System

The assimilation system used in this study is WRF-LETKF Radar Assimilation System (WLRAS) developed by Tsai et al. (2014). It couples radar data and model data through Local Ensemble Transform Kalman Filter (LETKF) (Ott et al. 2004; Hunt et al. 2007). LETKF is a kind of deterministic EnKF which is capable to ignore the sampling error resulting from perturbing the observation data. Ensemble mean and ensemble perturbation are updated respectively using the following formulas

$$\bar{X}_a = \bar{X}_b + X_b \bar{W} \quad (3-1)$$

$$X_a = X_b W \quad (3-2)$$

$\bar{X}$  is the mean state vector while  $X$  is the ensemble perturbation matrix. The subscript a and b represent analysis and background respectively.  $\bar{W}$  and  $W$  are the weighting vector and weighting matrix that can be written in the following equations.

$$\bar{W} = \tilde{P}_a^T Y_b^T R^{-1} (y_o - \overline{H(x_b)}) \quad (3-3)$$

$$W = [(k - 1)\tilde{P}_a]^{1/2} \quad (3-4)$$

$\tilde{P}_a$  is the analysis error covariance matrix in the ensemble space, and is defined as

$$\tilde{P}_a = [(k - 1)I/\rho + Y_b^T R^{-1} Y_b]^{-1} \quad (3-5)$$

$I$  is the identical matrix.  $k$  is the number of ensemble members.  $\rho$  is the inflation factor used to enhance the ensemble spread.  $Y_b$  is background ensemble perturbation matrix in observation space and observation states.  $R$  is diagonal observation error covariance matrix because observation is assumed to be independent in observation space. There are several advantages using LETKF comparing with variational method. First, with the flow-dependent background error covariance obtained from the ensemble members rather than calculated from climatology, LETKF can generate the increment based on current flow feature instead of the homogeneous increment in 3DVAR. LETKF does not need adjoint model and adjoint operator which are complicated issues in 4DVAR. Therefore, it is much more flexible for LETKF to combine different model and observation. Moreover, localization not only avoid the issue of teleconnection but also make it able to execute parallel calculation, which reduces the computational time efficiently.

Figure 14 shows the assimilation flow charts in the two cases. 50 ensemble members are generated to conduct the assimilation experiments in this study. Before assimilation cycles start, spin-up of ensemble members is essential to generate the meso-scale background field. The spin-up time for the squall line case is 10 hours while the spin-up time for the afternoon thunderstorm case is 13 hours. After the spin-up, radar data will be assimilated every 15 minutes for the squall line case and every 12 minutes for the afternoon thunderstorm case. When the assimilation cycles are done, the analysis will be applied to run short-term deterministic forecasts and ensemble forecasts. Table 1 lists model variables updated by the observation data, localization radii and the inflation factors. In this study, the assimilated variables ( $V_r$ ,  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$ ) are allowed to update all the model variables in Table 1. The observation errors set in

the experiments are 3 m/s for  $V_r$ , 5 dBZ for  $Z_H$ , 0.2 dB for  $Z_{DR}$  and  $0.5^\circ/\text{km}$  for  $K_{DP}$  (Jung et al. 2008b; Tsai and Chung 2020). There are some thresholds for assimilating polarimetric parameters.  $Z_{DR}$  and  $K_{DP}$  above the 4-km height, mean melting layer height in Taiwan according to Lee et al. (2019), will not be assimilated. Furthermore, negative  $Z_{DR}$  and  $K_{DP}$  values are eliminated because they are against the theorem that larger raindrops are more oblate. Table 2 shows all the conducted experiments in this study. Scheme\_VrZ is the reference to investigate whether there is extra impact in other experiments with the assimilation of polarimetric parameters in addition to  $V_r$  and  $Z_H$ .

### 3.4 Observation Operator

Observation operators are the bridge which link model and observation, because model variables and observation variables are seldom the same or at the same location. As a result, there two parts in the observation operator: interpolating model grids to observation grids and converting model variables to observation variables. The interpolation method used in this study is 8-point average which selects 8 model grids surrounding the observation grids and averages them with inverse distance weighting. The variable transformation of  $V_r$  is based on Sun and Crook (1997)Sun and Crook (1997)

$$V_r = \frac{x}{r}u + \frac{y}{r}v + \frac{z}{r}(w - V_t) \quad (3-6)$$

$$r = \sqrt{x^2 + y^2 + z^2} \quad (3-7)$$

$$V_t = 0.54(p_{sfc}/\bar{p})^{0.4}(\rho_a q_r)^{0.125} \quad (3-8)$$

$U$ ,  $V$ ,  $W$  are the model three-dimensional wind field while  $x$ ,  $y$ ,  $z$  are the Cartesian coordinates with origin at the radar site.  $V_t$  is the terminal velocity derived based on Marshall-Palmer raindrop size distribution (Marshall and Palmer 1948).  $p_{sfc}$  and  $\bar{p}$  are surface pressure and base-state pressure respectively.  $\rho_a$  is the air density, and  $q_r$  is the rain mixing ratio.

For the polarimetric parameters, the polarimetric operator developed by Jung et al. (2008a)

is applied to convert model variables to  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$ . The polarimetric operator is based on the bulk microphysics scheme and T-matrix scattering amplitude simulation. In addition, the canting angle of hydrometeors and the hydrometeor mixture form are considered. The equations below are the polarimetric operator in the integration form.

$$Z_{h,x} = \frac{4\lambda^4}{\pi^4 |K_w|^2} \int N_x(D) (A |f_{a,x}(\pi)|^2 + B |f_{b,x}(\pi)|^2 + 2C |f_{a,x}(\pi)| |f_{b,x}(\pi)|) dD \quad (3-9)$$

$$Z_{v,x} = \frac{4\lambda^4}{\pi^4 |K_w|^2} \int N_x(D) (B |f_{a,x}(\pi)|^2 + A |f_{b,x}(\pi)|^2 + 2C |f_{a,x}(\pi)| |f_{b,x}(\pi)|) dD \quad (3-10)$$

$$K_{DP,x} = \frac{180\lambda}{\pi} \int N_x(D) C_k \text{Re}(f_{a,x}(0) - f_{b,x}(0)) dD \quad (3-11)$$

The symbols outside the integration are radar wave length ( $\lambda$ ) and dielectric factor ( $K_w$ ) while others inside the integration are drop size distribution (DSD) of hydrometeors ( $N_x(D)$ ), major axis and minor axis scattering amplitude simulated by T-matrix ( $f_{a,x}$  and  $f_{b,x}$ ) and coefficients related to the canting angle of hydrometeors ( $A$ ,  $B$ ,  $C$ ,  $C_k$ ). Subscript  $x$  can be  $r$ ,  $s$ ,  $g$  and  $h$  that represent rain, snow, graupel and hail respectively. The following is the more detailed introduction to each symbol inside the integration.

First,  $N_x(D)$  is the hydrometeor DSD that indicates the number of the hydrometeor with specific size. Bin MP schemes and bulk MP schemes are two main method describing the distribution of hydrometeors in the numerical model parameterization. Even though bin MP schemes are theoretically much closer to the reality than bulk MP schemes, bulk MP schemes are still the main trend because of the limitation of computational resources. The hydrometeor DSD is fitted with a function in the bulk MP scheme, and the widely used function is the negative exponential DSD (Marshall and Palmer 1948) and the three-parameter gamma DSD (Ulbrich 1983).

$$N_x(D) = N_{0,x} \exp(-\Lambda_x D) \quad (3-12)$$

$$N_x(D) = N_{0,x} D^{\mu_x} \exp(-\Lambda_x D) \quad (3-13)$$

$N_{0,x}$ ,  $\mu_x$  and  $\Lambda_x$  are intercept parameter, shape parameter and slope parameter respectively. The bulk MP schemes applying gamma DSD can be classified to three different type, single

moment, double moment and triple moment depending on which parameters are fixed. Single moment schemes, i.e. GCE and WSM6, diagnose  $\Lambda_x$  with the prognostic mixing ratio ( $q_x$ ) and fix a specific value of  $N_{0,x}$  and  $\mu_x$  (usually equals to 0). Double moment schemes, i.e. MOR and WDM6 diagnose  $N_{0,x}$  and  $\Lambda_x$  with the prognostic mixing ratio ( $q_x$ ) and total number concentration ( $N_{Tx}$ ), and the value of  $\mu_x$  is fixed (usually equals to 0). The following formulas show how mixing  $q_x$  and  $N_{Tx}$  are diagnosed to  $N_{0,x}$  and  $\Lambda_x$  in single moment and double moment schemes.

$$\text{Single Moment } \Lambda_x = \left[ \frac{\pi \rho_r N_{0,x} \Gamma(\mu_x + 4)}{6 \rho_a q_x} \right]^{\frac{1}{\mu_x + 4}} \quad (3-14)$$

$$\text{Double Moment } \Lambda_x = \left[ \frac{\pi \rho_r N_{Tx} \Gamma(\mu_x + 4)}{6 \rho_a q_x \Gamma(\mu_x + 1)} \right]^{\frac{1}{3}} \quad (3-15)$$

$$\text{Double Moment } N_{0,x} = \frac{N_{Tx} \Lambda_x^{\mu_x + 1}}{\Gamma(\mu_x + 1)} \quad (3-16)$$

Usually, bulk microphysics schemes classify hydrometeors to different types, i.e. rain, snow, graupel and hail, but the mixture form of rain water and solid water (snow, graupel and hail), which greatly affects the radar observation, is not considered in MP schemes. Therefore, a mixture model is needed when rain water and solid water (snow, graupel and hail) coexist. The following equation applied in Jung et al. (2008a) represents the fraction of rain water and solid water (snow, graupel and hail) existing in the mixture form.

$$F = F_{\max} \left[ \min \left( \frac{q_{s,gh}}{q_r}, \frac{q_r}{q_{s,gh}} \right) \right]^{0.3} \quad (3-17)$$

$F_{\max}$  is the maximum fraction of rain water and solid water (snow, graupel and hail) in the mixture form, and it is set as 0.5 for snow and 0.4 for graupel (hail). Then the mixing ratio of mixture form can be written in the following equation.

$$q_{rs,rg,rh} = F(q_r + q_{s,gh}) \quad (3-18)$$

Next,  $f_{a,x}$  and  $f_{b,x}$  are the scattering amplitude calculated by T-matrix, a simulation method which uses environment temperature, particle axis ratio and radar wave length to simulate the electromagnetic wave scattering when the electromagnetic wave hits a non-

spherical particle. The scattering amplitude is calculated with the S-band radar wave length (10.7 cm) and the axis ratio of raindrops below.

$$r = 1.0148 - 2.0465 * 10^{-2}D - 2.0048 * 10^{-2}D^2 + 3.095 * 10^{-3}D^3 - 1.45310^{-4}D^4 \quad (3-19)$$

The raindrop is more oblate when the diameter increases. A fixed value of 0.75 is used to represent the axis ratio of ice phase hydrometeors. When the T-matrix simulation is done, the power law fitting is applied to fit the magnitude of  $f_a$  and  $f_b$ .

$$|f_{a,x}(\pi)| = \alpha_{a,x}D^{\beta_{a,x}} \quad (3-20)$$

$$|f_{b,x}(\pi)| = \alpha_{b,x}D^{\beta_{b,x}} \quad (3-21)$$

$$\text{Re}(f_{a,x}(0) - f_{b,x}(0)) = \alpha_{k,x}D^{\beta_{k,x}} \quad (3-22)$$

In addition to considering the hydrometeor DSD, mixture form and the scattering amplitude, falling behavior is also important in order to convert model variables to the reasonable observation radar data. When hydrometeors fall downward to the surfaces, they might tumble and wobble, especially hail. As a result, A, B, C and  $C_k$  related to the hydrometeor canting angle are applied in the operator.

$$A = \frac{1}{8}(3 + 4\cos 2\bar{\phi}e^{-2\sigma^2} + \cos 4\bar{\phi}e^{-8\sigma^2}) \quad (3-23)$$

$$B = \frac{1}{8}(3 - 4\cos 2\bar{\phi}e^{-2\sigma^2} + \cos 4\bar{\phi}e^{-8\sigma^2}) \quad (3-24)$$

$$C = \frac{1}{8}(1 - \cos 4\bar{\phi}e^{-8\sigma^2}) \quad (3-25)$$

$$C_k = \cos 2\bar{\phi}e^{-2\sigma^2} \quad (3-26)$$

$\bar{\phi}$  is the mean canting angle while  $\sigma$  represents the standard deviation of the canting angle. The  $\bar{\phi}$  of all the hydrometeors is set as  $0^\circ$  and  $\sigma$  is set as  $0^\circ$ ,  $20^\circ$  and  $60^\circ$  for rain, snow and dry graupel (hail) respectively. The graupel (hail) will be stabilized if it is covered by the melting water; as a result, the  $\sigma$  of wet graupel (hail) is modified as the formula below.

$$\sigma = 60^\circ(1 - cf_w) \quad (3-27)$$

$f_w$  represents the fraction of rain water within the mixture form. When the water fraction of the

wet graupel (hail) is higher,  $\sigma$  will be smaller than  $60^\circ$ , which means the wet graupel (hail) is more stable than the dry graupel (hail). The coefficient  $c$  equals to 0.8 when the wet graupel (hail) mixing ratio ( $q_{rg,rh}$ ) is higher than 0.2 g/kg; otherwise, it equals to  $4 * q_{rg,rh}$

With the three-parameter gamma DSD (3-13), scattering amplitude simulation fit by power law and integration domain set from 0 to infinity, the operator in the integration form, (3-9) to (3-11), can be modified to the following formulas.

$$Z_{h,x} = \frac{4\lambda^4 N_{0,x}}{\pi^4 |K_w|^2} \left( A\alpha_{a,x}^2 \frac{\Gamma(\mu_x + 2\beta_{a,x} + 1)}{\Lambda_x^{\mu_x + 2\beta_{a,x} + 1}} + B\alpha_{b,x}^2 \frac{\Gamma(\mu_x + 2\beta_{b,x} + 1)}{\Lambda_x^{\mu_x + 2\beta_{b,x} + 1}} + 2C\alpha_{a,x}\alpha_{b,x} \frac{\Gamma(\mu_x + \beta_{a,x} + \beta_{b,x} + 1)}{\Lambda_x^{\mu_x + \beta_{a,x} + \beta_{b,x} + 1}} \right) \quad (3-28)$$

$$Z_{v,x} = \frac{4\lambda^4 N_{0,x}}{\pi^4 |K_w|^2} \left( B\alpha_{a,x}^2 \frac{\Gamma(\mu_x + 2\beta_{a,x} + 1)}{\Lambda_x^{\mu_x + 2\beta_{a,x} + 1}} + A\alpha_{b,x}^2 \frac{\Gamma(\mu_x + 2\beta_{b,x} + 1)}{\Lambda_x^{\mu_x + 2\beta_{b,x} + 1}} + 2C\alpha_{a,x}\alpha_{b,x} \frac{\Gamma(\mu_x + \beta_{a,x} + \beta_{b,x} + 1)}{\Lambda_x^{\mu_x + \beta_{a,x} + \beta_{b,x} + 1}} \right) \quad (3-29)$$

$$K_{DP,x} = \frac{180\lambda N_{0,x}}{\pi} \left( \alpha_{k,x} C_k \frac{\Gamma(\mu_x + \beta_{k,x} + 1)}{\Lambda_x^{\mu_x + \beta_{k,x} + 1}} \right) \quad (3-30)$$

Each hydrometeor variable including mixture form variable is converted to polarimetric parameters through (3-28) – (3-30), and the linear combination of each category can represent the simulated radar observation.

$$Z_H = \log_{10}(Z_{h,r} + Z_{h,s} + Z_{h,g} + Z_{h,h} + Z_{h,rs} + Z_{h,rg} + Z_{h,rh}) \quad (3-31)$$

$$Z_V = \log_{10}(Z_{v,r} + Z_{v,s} + Z_{v,g} + Z_{v,h} + Z_{v,rs} + Z_{v,rg} + Z_{v,rh}) \quad (3-32)$$

$$Z_{DR} = \log_{10} \left( \frac{Z_{h,r} + Z_{h,s} + Z_{h,g} + Z_{h,h} + Z_{h,rs} + Z_{h,rg} + Z_{h,rh}}{Z_{v,r} + Z_{v,s} + Z_{v,g} + Z_{v,h} + Z_{v,rs} + Z_{v,rg} + Z_{v,rh}} \right) \quad (3-33)$$

$$K_{DP} = K_{DP,r} + K_{DP,s} + K_{DP,g} + K_{DP,h} + K_{DP,rs} + K_{DP,rg} + K_{DP,rh} \quad (3-34)$$

There are two issues that should be noticed. The first issue is the limitation of ice-phase polarimetric parameters because the axis ratio of ice particle is fixed as 0.75, which makes the power law fitting coefficient  $\beta_{a,x}$  and  $\beta_{b,x}$  equal to 3.0. With the same power, there is no obvious difference between the major axis scattering amplitude and the minor axis scattering amplitude, so the  $Z_{DR}$  and  $K_{DP}$  of ice-phase hydrometeors is very close to 0.0, which is not consistent with the real polarimetric observation. The second one is the mixture model. Although the simple mixture model (3-17) is able to consider the existence of hydrometeor in mixture form, it still contains certain level of uncertainty which might result in significant bias

when calculating polarimetric parameters at melting layer with certain MP schemes. These two issues indicate why the altitude threshold mentioned in the previous subsection is necessary. When only the polarimetric parameters below the melting layer are assimilated, innovation of  $Z_{DR}$  and  $K_{DP}$  calculated by ice-phase particle and hydrometeors in mixture form will be eliminated, which prevents unreliable innovation deteriorating the analysis.

### 3.5 New Approach to Assimilate Polarimetric Parameters

The new approach developed in this study aims for extracting more correction from  $Z_{DR}$  innovation to adjust microphysical states. The following equation is the general formula of Kalman Filter.

$$X_a = X_b + BH^T(HBH^T + R)^{-1}(y - H[X_b]) \quad (3-35)$$

$X_a$  and  $X_b$  are the analysis field and background field respectively.  $y$  is the assimilated observation.  $B$  represents the background error covariance while  $R$  represents the observation error covariance.  $H$  is the observation operator. Kalman Filter relies on the background error covariance ( $BH^T$ ) to propagate innovation from observation grids to model grids. The correlation structure can represent the structure of background error covariance. Low correlation means that the background error covariance might not be able to propagate the innovation to correct model variables properly. In order to evaluate the capability of EnKF, it is quite common to select a reference point and calculate the background correlation between model variables and observation variables at that point. In this study, a grid point with max vertical velocity in the convective storm below 4-km height is selected as the reference point. When the reference point is determined, the background correlation between simulated polarimetric parameters at that grid point and model variables can be calculated. Figure 15 shows the vertical cross section of background correlation between hydrometeor variables and  $Z_{DR}$  at the grid point with max vertical velocity (black cross) at the 4<sup>th</sup> cycle (1045 UTC June 14<sup>th</sup> 2008) in the assimilation period of the squall line case. Although there is a column of high

correlation between  $q_r$  and  $Z_{DR}$  with the maximum higher than 0.7, the correlation coefficient between  $N_{Tr}$  and  $Z_{DR}$  is lower than 0.4 near the black cross. As a result, there might be less  $Z_{DR}$  innovation propagated to the model grids near the black cross to correct  $N_{Tr}$ . However, when  $q_r$  and  $N_{Tr}$  are diagnosed to mean diameter of raindrops, the maximum of correlation coefficient near the black cross is higher than 0.9. It is even higher than the correlation between  $q_r$  and  $Z_{DR}$ . Small raindrops are spherical with  $Z_{DR}$  closer to 0.0 while large raindrops are oblate with larger value of  $Z_{DR}$ . Therefore, the extremely high correlation between mean diameter and  $Z_{DR}$  is not only a mathematic trick but also satisfying the physical meaning. The new approach makes use of the high correlation between mean diameter and  $Z_{DR}$ , so it diagnoses  $q_r$  and  $N_{Tr}$  to normalized intercept parameter ( $N_w$ ) and mass-weighted mean diameter ( $D_m$ ) through the following equations.  $N_w$  and  $D_m$  will equal to 0.0 if  $N_{Tr}$  is less than  $100 \text{ \#/m}^3$

$$D_m = \frac{4+\mu_r}{\Lambda_r} \quad (3-36)$$

$$N_w = \frac{4^4 \rho_a q_r}{\rho_w \pi D_m^4} \quad (3-37)$$

In the Original WLRAS,  $X_b$  in (3-35) are the prognostic variables in WRF, i.e.  $q_r$  and  $N_{Tr}$ . The innovation ( $y - H[X_b]$ ) of  $V_r$ ,  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  will be propagated through the background error covariance ( $BH^T$ ) to correct  $q_r$  and  $N_{Tr}$ . With the implementation of the new approach, the assimilation observation is the same as the original WLRAS, but  $X_b$  in (3-35) will be  $N_w$  and  $D_m$  instead of  $q_r$  and  $N_{Tr}$ . Therefore, Kalman Filter will calculate the background error covariance ( $BH^T$ ) between  $D_m$  and  $Z_{DR}$  and use it to propagate the  $Z_{DR}$  innovation to correct  $D_m$ . After  $N_w$  and  $D_m$  are both updated, they will be diagnosed to a new pair of  $q_r$  and  $N_{Tr}$  with the following equations derived from (3-15), (3-36) and (3-37).

$$q_r = \frac{N_w \rho_w \pi D_m^4}{\rho_a 4^4} \quad (3-38)$$

$$N_{Tr} = \left[ \frac{1000(4+\mu)}{D_m} \right]^3 \frac{6q_r \Gamma(\mu+1)}{\pi \rho_w \Gamma(\mu+4)} \quad (3-39)$$

With the use of the high correlation between  $D_m$  and  $Z_{DR}$ , the new approach is expected to

extract more correction from  $Z_{DR}$  innovation to adjust microphysical states and reduce more  $Z_{DR}$  errors.

# Chapter 4 Verification Methods

Some methods are used in this study to validate the results quantitatively. Normalized root mean square error (NRMSE) can be used to verify whether the analysis error further decreases or not when polarimetric parameters are assimilated. Contour frequency by altitude diagram (CFAD) is useful to represent vertical structure of observed radar data and simulated polarimetric parameters in the analysis field. In addition to the verification in analysis, forecast skill scores which are commonly used in model validation are applied to evaluate the performance of the short-term QPF after assimilation.

## 4.1 Normalized Root Mean Square Error (NRMSE)

Root mean square error (RMSE) is usually applied to calculate the difference between analysis and observation during the assimilation period. It is available to check whether the analysis is closer to the assimilated observation. Model variables in model grids are interpolated to observation grids and converted to observation variables through the observation operators and then the difference will be calculated using the following formula.

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^N (X_i - O_i)^2}{N}} \quad (4-1)$$

$X_i$  is the background or analysis in observation space and  $O_i$  represents the observation. One of the purposes in this study is to investigate the impact of assimilating polarimetric parameters in addition to  $V_r$  and  $Z_H$ , so the RMSE of the experiment assimilating additional polarimetric parameters will be divided by the experiment only assimilating  $V_r$  and  $Z_H$  to calculate normalized RMSE (NRMSE). If NRMSE is smaller than 1.0, the additional assimilation of polarimetric parameters provides extra benefit to improve the analysis; on the other hand, NRMSE larger than 1.0 means that the additional assimilation of polarimetric parameters deteriorates the analysis field.

## 4.2 Contoured Frequency by Altitude Diagram (CFAD)

CFAD (Yueter and Houze 1995) is a statistic diagram indicating the data structure along altitude in the specific region. For example, the observed  $Z_H$  at plane position indicator (PPI) is interpolated to constant altitude plane position indicator (CAPPI), and the value of  $Z_H$  ranging from 0 dBZ to 70 dBZ will be classified to different intervals (Table 6). After the classification is done, the number in each interval will be divided by the total number of the data at the same altitude and then multiply 100% to calculate the frequency (probability) in each interval at each altitude. Besides, the accumulated frequency (probability) can be calculated at each altitude and represents quartiles which indicates the spread of data distribution at each altitude. The signal of  $K_{DP}$  is more obvious in the convective region than in the stratiform region; as a result, the data selected to plot CFADs is further separated to convective region and stratiform region with the following definition based on Steiner et al. (1995). If the  $Z_H$  at 3-km height is larger than 10 dBZ and smaller than 30 dBZ, the region within the radius of 10 km is recognized as stratiform region. If the  $Z_H$  at 3-km height is larger than 40 dBZ, the region within the radius of 5 km is recognized as convective region. The region with  $Z_H$  at 3-km height larger than 30 dBZ and smaller than 40 dBZ is defined as the transition region between convective region and stratiform region. Figure 16 shows the selected region for plotting CFADs in the squall line case and the afternoon thunderstorm case.

## 4.3 Forecast Skill Scores

CWB hourly rainfall observation data is used to verify short-term QPFs with threat score (TS), false alarm ratio (FAR), success ratio and probability of detection (POD) and bias. The model accumulated rainfall is interpolated to observation grids with the model grids near the observation grid, and a certain threshold is set to define whether the precipitation event is observed or predicted. The precipitation events will be classified to four different scenarios, hit,

miss, false alarm and correct negative based on the contingency table (Table 7). Hit means the event occurs in both observation and model. Miss means only observation capture the event while false alarm means the event is predicted but is not observed. Correct negative means the event does not happen in both observation and model. After the observation and forecast are classified to these four scenarios, the forecast skill scores can be calculated with the following formulas.

$$\text{POD} = \frac{\text{Hit}}{\text{Hit+Miss}} \quad (4-2)$$

$$\text{FAR} = \frac{\text{False Alarm}}{\text{Hit+False Alarm}} \quad (4-3)$$

$$\text{SR} = \frac{\text{False Alarm}}{\text{Hit+False Alarm}} = 1 - \text{FAR} \quad (4-4)$$

$$\text{Bias} = \frac{\text{Hit+False Alarm}}{\text{Hit+Miss}} \quad (4-5)$$

$$\text{TS} = \frac{\text{Hit}}{\text{Hit+Miss+False Alarm}} \quad (4-6)$$

POD represents how many observed events are exactly forecasted, and the value is from 0 to 1. If every observed event is forecasted, the value of POD equals to 1. FAR indicates how many forecasted events are not observed, and the value is from 0 to 1. If all the forecasted events are not observed, the value of FAR equals to 1. SR is opposite to FAR, which shows how many forecasted events are correctly observed. Bias is the ratio between forecasted events and observed events, and the value of bias is from 0 to infinity. Bias larger than 1 means the model overforecasts while bias less than 1 means the model underforecasts. TS states that how many observed or forecasted events are observed and forecasted simultaneously, and the value of TS is from 0 to 1. If the value of TS equals to 1, the model successfully forecasts all the observed events. The information of POD, SR, bias and TS can be presented in the performance diagram with SR as the horizontal axis and POD as the vertical axis (Roebber 2009). The straight line represents the bias and the curve illustrate the TS. Being closer to the upper right corner means that the forecast performance is better, i.e. Figure 72. In addition, spatial correlation coefficient

(SCC) can verify whether the pattern of rainfall distribution consistent with the observation.

The following formula is used to calculate Pearson correlation coefficient.

$$r = \frac{\sum_{i=1}^N (F_i - \bar{F})(O_i - \bar{O})}{\sqrt{\sum_{i=1}^N (F_i - \bar{F})^2} \sqrt{\sum_{i=1}^N (O_i - \bar{O})^2}} \quad (4-7)$$

$F_i$  and  $O_i$  represent forecast and observation in the observation grids respectively while  $\bar{F}$  and  $\bar{O}$  represent spatial mean of forecast and observation. The value of  $r$  is between -1.0 and 1.0, and 1.0 means that the spatial pattern of the forecast consistent with observation.

# Chapter 5 Results

In this section, performance of both analysis and short-term forecast are investigated and examined. The first four subsections focus on evaluating the impact on analysis with additional assimilation of polarimetric parameters, and the final subsection is to assess the performance of short-term QPF after data assimilation.

## 5.1 Performance of Single Moment Schemes

### 5.1.1 Squall Line Case

The NRMSE of  $Z_H$  and  $K_{DP}$  (Figure 17a, c and Figure 18a, c) in the final cycle is higher than 1.0 while the NRMSE of  $Z_{DR}$  (Figure 17b and Figure 18b) is lower than 1.0 in GCE\_VrZZdr and WSM6\_VrZZdr. It shows that assimilating additional  $Z_{DR}$  with single moment schemes deteriorates the analysis field of  $Z_H$  and  $K_{DP}$ . Assimilating additional  $K_{DP}$  decreases the error of  $K_{DP}$  (Figure 17f and Figure 18f) and does not increase the error of  $Z_H$  and  $Z_{DR}$  (Figure 17d,e and Figure 18d,e) in GCE\_VrZKdp and WSM6\_VrZKdp, which indicates assimilating additional  $K_{DP}$  with single moment schemes improves the analysis of  $K_{DP}$  and does not deteriorate the analysis of  $Z_H$  and  $Z_{DR}$ . In GCE\_VrZZK and WSM6\_VrZZK, the NRMSE of  $Z_{DR}$  (Figure 17h and Figure 18h) is lower than 1.0 while the NRMSE of  $Z_H$  and  $K_{DP}$  (Figure 17g,i and Figure 18g,i) is still higher than 1.0 but lower than GCE\_VrZZdr and WSM6\_VrZZdr, which indicates the negative impact caused by assimilating additional  $Z_{DR}$  is suppressed.

Figure 19 and Figure 20 list all the CFADs of polarimetric parameters in the experiments with single moment schemes. The frequency of  $Z_H$  between 25 dBZ and 35 dBZ at lower levels is smaller, and the 2<sup>nd</sup> quartile of  $Z_H$  at lower levels is less than GCE\_VrZ, WSM6\_VrZ and SPOL observation in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 19g and Figure 20g). The difference in  $Z_H$  CFADs indicates that the precipitation system becomes weaker when additional  $Z_{DR}$  is assimilated with single moment schemes. The frequency of small  $Z_{DR}$  increases in

GCE\_VrZZdr and WSM6\_VrZZdr, which makes the  $Z_{DR}$  quartiles smaller and closer to the SPOL observation (Figure 19h and Figure 20h). The overall mean diameter of raindrops in the precipitation system becomes smaller and closer to the observed  $Z_{DR}$ . Same as the  $Z_H$  CFAD, assimilating additional  $Z_{DR}$  increases the frequency of small  $K_{DP}$  and decreases the 3<sup>rd</sup> quartile of  $K_{DP}$  (Figure 19i and Figure 20i), which means the intensity of strong convection is weakened when additional  $Z_{DR}$  is assimilated. With additional assimilation of  $K_{DP}$ , the frequency of  $Z_H$  and  $Z_{DR}$  in GCE\_VrZKdp and WSM6\_VrZKdp (Figure 19j,k and Figure 20j,k) is similar to GCE\_VrZ and WSM6\_VrZ (Figure 19d,e and Figure 20d,e). The quartiles of  $K_{DP}$  (Figure 19l and Figure 20l) are larger than GCE\_VrZ and WSM6\_VrZ (Figure 19f and Figure 20f), which means that the intensity of the strong convection is enhanced when additional  $K_{DP}$  is assimilated. When all the additional polarimetric parameters are assimilated, the CFADs of  $Z_H$  and  $Z_{DR}$  in GCE\_VrZZK and WSM6\_VrZZK (Figure 19m,n and Figure 20m,n) does not show obvious difference comparing with GCE\_VrZZdr and WSM6\_VrZZdr (Figure 19g,h and Figure 20g,h), but the 2<sup>nd</sup> and 3<sup>rd</sup> quartiles of  $K_{DP}$  in GCE\_VrZZK and WSM6\_VrZZK (Figure 19o and Figure 20o) are larger than GCE\_VrZZdr and WSM6\_VrZZdr (Figure 19i and Figure 20i) but smaller than GCE\_VrZKdp and WSM6\_VrZKdp (Figure 19l and Figure 20l). One should notice that assimilating additional  $K_{DP}$  is able to enhance the strong convection, yet the improvement of  $K_{DP}$  is limited when  $Z_{DR}$  and  $K_{DP}$  are both assimilated.

After the overall comparison in CFADs, spatial distribution at constant altitudes can further check and confirm the difference in the squall lines resulting from assimilating additional polarimetric parameters. Figure 21 and Figure 22 display the spatial distribution of  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  at 3-km height. The intensity of the two squall lines is weaker from the view of  $Z_H$  with the additional assimilation of  $Z_{DR}$  in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 21g and Figure 22g) but is stronger with the additional assimilation of  $K_{DP}$  in GCE\_VrZKdp and WSM6\_VrZKdp (Figure 21j and Figure 22j).  $Z_{DR}$  in both squall line A and B is overestimated in GCE\_VrZ in WSM6\_VrZ (Figure 21e and Figure 22e), and it is corrected in GCE\_VrZZdr

and WSM6\_VrZZdr (Figure 21h and Figure 22h) with additional assimilation of  $Z_{DR}$  yet is still overestimated.  $K_{DP}$  in both squall line A and B is underestimated in GCE\_VrZ and WSM6\_VrZ (Figure 21f and Figure 22f), especially squall line B. Assimilating additional  $K_{DP}$  corrects the underestimated  $K_{DP}$  in squall line A (Figure 21i and Figure 22i) but makes more overestimation of  $Z_{DR}$  in squall line A (Figure 21k and Figure 22k). It is obvious in the spatial distribution that the pattern of  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  is exactly the same. When  $Z_{DR}$  is corrected to a smaller value,  $Z_H$  and  $K_{DP}$  also become smaller; on the contrary, when  $K_{DP}$  is corrected to a larger value, the value of  $Z_H$  and  $Z_{DR}$  also become larger. The result of CFADs and spatial distribution of polarimetric parameters at 3-km height corresponds to the result of NRMSE that assimilating additional  $Z_{DR}$  with single moment scheme decreases the error of  $Z_{DR}$  yet slightly increases the error of  $Z_H$  and  $K_{DP}$ .

### 5.1.2 Afternoon Thunderstorm Case

The result of NRMSE in the afternoon thunderstorm case also shows that the error of  $Z_H$  and  $K_{DP}$  increases in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 23a,c and Figure 24a,c). Differ from the squall line case, GCE\_VrZKdp and WSM6\_VrZKdp decrease the error of both  $Z_H$  and  $Z_{DR}$  (Figure 23d,e and Figure 24d,e) in the early cycles. This result indicates that assimilating additional  $K_{DP}$  with single moment schemes helps to construct the afternoon thunderstorm more efficiently. With additional  $Z_{DR}$  and  $K_{DP}$  assimilated, the negative impact on  $Z_H$  analysis and  $K_{DP}$  analysis in the later cycles resulting from  $Z_{DR}$  is suppressed (Figure 23g and Figure 24g). The positive impact of assimilating additional  $K_{DP}$  seems to be more obvious in the experiments with WSM6 scheme since the NRMSE of  $K_{DP}$  in WSM6\_VrZKdp (Figure 23f) is smaller than GCE\_VrZKdp (Figure 24f).

Figure 25 and Figure 26 display CFADs in all the experiments with single moment schemes. Assimilating additional  $Z_{DR}$  (Figure 25g and Figure 26g) corrects the overestimation of 1<sup>st</sup> and 2<sup>nd</sup>  $Z_H$  quartiles in GCE\_VrZ and WSM6\_VrZ (Figure 25d and Figure 26d), yet the 3<sup>rd</sup> quartile is lower than RCWF observation, which means the intensity of strong convection is

weakened when additional  $Z_{DR}$  is assimilated. The frequency maximum of  $Z_{DR}$  moves to smaller  $Z_{DR}$ , and quartiles of  $Z_{DR}$  are smaller in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 25h and Figure 26h) The 3<sup>rd</sup> quartile is even underestimated in WSM6\_VrZZdr comparing with the observation. The overall mean size of raindrops tends to be smaller when additional  $Z_{DR}$  is assimilated. After assimilating additional  $Z_{DR}$ , quartiles of  $K_{DP}$  (Figure 25i and Figure 26i) are seriously underestimated and the distribution of frequency is very narrow comparing with the observation CFAD, which is consistent with the result in  $Z_H$  CFADs that the strong convection becomes weaker when additional  $Z_{DR}$  is assimilated. With additional assimilation of  $K_{DP}$ , the afternoon thunderstorm is enhanced with the 3<sup>rd</sup> quartile of  $Z_H$  closer to observation in GCE\_VrZKdp and WSM6\_VrZKdp; however, the 1<sup>st</sup> and 2<sup>nd</sup> quartiles of  $Z_H$  are overestimated (Figure 25j and Figure 26j). The quartiles of  $Z_{DR}$  slightly increase in GCE\_VrZKdp (Figure 25k) and obviously increase in WSM6\_VrZKdp (Figure 26k), which means the mean raindrop size becomes larger. The CFAD of  $K_{DP}$  also changes slightly in GCE\_VrZKdp (Figure 25l), but it is significantly improved and is very close to observation in WSM6\_VrZKdp (Figure 26l). It echoes the result in the NRMSE of  $K_{DP}$  that the NRMSE of  $K_{DP}$  in WSM6\_VrZKdp is smaller than GCE\_VrZKdp. With additional assimilation of both  $Z_{DR}$  and  $K_{DP}$ , CFADs of GCE\_VrZZK and GCE\_VrZZK (Figure 25m,n,o and Figure 26m,n,o) are closer to those of GCE\_VrZZdr and WSM6\_VrZZdr (Figure 25g,h,i and Figure 26g,h,i), which indicates the weighting of assimilated  $Z_{DR}$  might be higher than assimilated  $K_{DP}$ .

From the spatial distribution at 3-km height (Figure 27 and Figure 28),  $Z_H$  in the thunderstorm becomes weaker with additional assimilation of  $Z_{DR}$  in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 27g and Figure 28g), especially in WSM6\_VrZZdr; on the other hand, the thunderstorm becomes stronger from the view of  $Z_H$  with additional assimilation of  $K_{DP}$  in GCE\_VrZKdp and WSM6\_VrZKdp (Figure 27j and Figure 28j). When the value of  $Z_H$  is higher, the value of  $Z_{DR}$  and  $K_{DP}$  is higher simultaneously, and vice versa, which is consistent with the results in the squall line case.

### 5.1.3 Preliminary Summary

The identical results in these two cases illustrate the limitation of assimilating additional polarimetric parameters with single moment schemes. Although different polarimetric parameters can be calculated through the polarimetric operator, all of them are only determined by mixing ratio if a single moment scheme is applied. When mixing ratio is higher, the value of all the polarimetric parameters is higher, vice versa; therefore, it is impossible to find high  $Z_H$  collocating with low  $Z_{DR}$  with the implementation of single moment schemes. Comparing with observation in these two cases,  $K_{DP}$  is underestimated while  $Z_{DR}$  is overestimated when polarimetric parameters are not assimilated. Assimilating additional  $Z_{DR}$  make the analysis  $Z_{DR}$  smaller, and it also means that other polarimetric parameters should be smaller. As a result, assimilating additional polarimetric parameters with single moment schemes in certain case without all the polarimetric parameters underestimated or overestimated comparing with observation will limit the positive impact and might even deteriorate the analysis obtained through assimilating  $V_r$  and  $Z_H$ .

## 5.2 Performance of Double Moment Schemes

Unlike single moment schemes, double moment schemes predict both mixing ratio and total number concentration, and they might have more flexibility to adapt the adjustment resulting from assimilating additional polarimetric parameters.

### 5.2.1 Squall Line Case

The NRMSE of  $Z_H$  and  $K_{DP}$  (Figure 29a,c and Figure 30a,c) in the final cycle is not higher than 1.0, and the NRMSE of  $Z_{DR}$  (Figure 29b and Figure 30b) is lower than 1.0 in WDM6\_VrZZdr and MOR\_VrZZdr. Differ from single moment schemes, the analysis fields of  $Z_H$  and  $K_{DP}$  are not deteriorated when additional  $Z_{DR}$  is assimilated with double moment schemes. When both  $Z_{DR}$  and  $K_{DP}$  are assimilated, the error of all the polarimetric parameters can further reduce simultaneously in WDM6\_VrZZK and MOR\_VrZZK (Figure 29g,h,i and

Figure 30g,h,i), which is very different from the experiments with single moment schemes.

Figure 31 and Figure 32 list the CFADs of polarimetric parameters. The quartiles of  $Z_H$  in WDM6\_VrZZdr (Figure 31g) is smaller than WDM6\_VrZ (Figure 31d), and the frequency of  $Z_H$  between 25 dBZ and 30 dBZ is higher. The intensity of the squall lines is slightly weaker when additional  $Z_{DR}$  is assimilated with WDM6. The quartiles of  $Z_{DR}$  in WDM6\_VrZZdr (Figure 31h) is also smaller, and the frequency of  $Z_{DR}$  lower than 1 dB is higher with additional assimilation of  $Z_{DR}$ ; in the meantime, the 3<sup>rd</sup> quartile of  $K_{DP}$  in WDM6\_VrZZdr (Figure 31i) is larger than WDM6\_VrZ (Figure 31f). Assimilating  $Z_{DR}$  corrects the overestimation of simulated  $Z_{DR}$  and maintains the intensity of the strong convection. Same as WDM6\_VrZZdr, quartiles of  $Z_H$  is smaller in MOR\_VrZZdr (Figure 32g), but the reduction of  $Z_H$  is not as much as WDM6\_VrZZdr (Figure 31g). Quartiles of  $Z_{DR}$  is smaller but still overestimated (Figure 32h), and the 2<sup>nd</sup> and 3<sup>rd</sup> quartiles of  $K_{DP}$  (Figure 32i) is slightly larger. Double moment schemes are able to correct the overestimated mean size of raindrops without deteriorating the intensity of the precipitation system. With additional assimilation of  $K_{DP}$ , there is no significant difference in the CFADs of  $Z_H$  and  $Z_{DR}$  (Figure 31j,k and Figure 32j,k), but the 3<sup>rd</sup> quartile of  $K_{DP}$  increases in WDM6\_VrZKdp and MOR\_VrZKdp (Figure 31l and Figure 32l). The strong convection is more intense when additional  $K_{DP}$  is assimilated. When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the CFADs of  $Z_H$  and  $Z_{DR}$  in WDM6\_VrZZK and MOR\_VrZZK (Figure 31m,n and Figure 32m,n) are very close to WDM6\_VrZZdr and MOR\_VrZZdr (Figure 31g,h and Figure 32g,h). The 3<sup>rd</sup> quartile of  $K_{DP}$  in WDM6\_VrZZK and MOR\_VrZZK (Figure 31o and Figure 32o) is larger than WDM6\_VrZZdr, WDM6\_VrZKdp, MOR\_VrZZdr and WDM6\_VrZKdp (Figure 31i,l and Figure 32i,l). Assimilating both  $Z_{DR}$  and  $K_{DP}$  enhances the intensity of strong convection more than only assimilating either  $Z_{DR}$  or  $K_{DP}$ .

Spatial distribution of polarimetric parameters at 3-km height is displayed in Figure 33 and Figure 34. With the additional assimilation of  $Z_{DR}$ , the value of  $Z_H$  in squall line A is slightly smaller in WDM6\_VrZZdr and MOR\_VrZZdr, but the reduction of  $Z_H$  is not very significant

(Figure 33g and Figure 34g). The overestimation of  $Z_{DR}$  is corrected in squall line A in WDM6\_VrZZdr (Figure 33h) while the exaggerated overestimation of  $Z_{DR}$  is corrected yet still overestimated in both squall line A and B in MOR\_VrZZdr (Figure 34h). The value of  $K_{DP}$  in squall line A is larger in MOR\_VrZZdr (Figure 34i), so is the value of  $K_{DP}$  in the southern part of squall line B in WDM6\_VrZZdr (Figure 33i). With the additional assimilation of  $K_{DP}$ , the value of  $Z_H$  in squall line A becomes larger in WDM6\_VrZKdp and MOR\_VrZKdp (Figure 33j and Figure 34j), so does the value of  $K_{DP}$  in the squall line A (Figure 33l and Figure 34l). The value of  $Z_{DR}$  in squall line A is overestimated more in WDM6\_VrZKdp and MOR\_VrZKdp (Figure 33k and Figure 34k). With assimilation of both additional  $Z_{DR}$  and  $K_{DP}$ , the spatial distribution of polarimetric parameters of WDM6\_VrZZK is similar to WDM6\_VrZZdr, and the overestimation of  $Z_{DR}$  in squall line A is corrected more in MOR\_VrZZK than in MOR\_VrZZdr.

### 5.2.1 Afternoon Thunderstorm Case

The NRMSE of  $Z_H$  and  $K_{DP}$  is higher than 1.0 in WDM6\_VrZZdr, which indicates the analysis of  $Z_H$  and  $K_{DP}$  is degraded when assimilating  $Z_{DR}$ . The result is similar to single moment schemes (Figure 35a,c). On the contrary, the NRMSE of  $Z_{DR}$  is lower than 1.0 in the 2<sup>nd</sup> cycle in MOR\_VrZZdr, and the NRMSE of all the polarimetric parameters is lower than 1.0 in the 3<sup>rd</sup> cycle (Figure 36a,b,c). MOR scheme still has the capability to modify the mean size of raindrops without deteriorating the analysis of  $Z_H$  and  $K_{DP}$  in this case. With the additional assimilation of  $K_{DP}$ , the NRMSE of all polarimetric parameters is smaller than 1.0 in WDM6\_VrZKdp (Figure 35d,e,f), so is the NRMSE in MOR\_VrZKdp in the intermediate cycles (Figure 36d,e,f). With both additional  $Z_{DR}$  and  $K_{DP}$  assimilated, the NRMSE of all polarimetric parameters can be lower than 1.0 at the same time in WDM6\_VrZZK and MOR\_VrZZK (Figure 35g,h,i and Figure 36g,h,i), which is consistent with the result in the squall line case.

Figure 37 and Figure 38 are the CFADs of polarimetric parameters. Quartiles of  $Z_H$ ,  $Z_{DR}$

and  $K_{DP}$  are smaller in WDM6\_VrZZdr (Figure 37d,e,f), which means the thunderstorm is weaker with smaller raindrops after assimilating additional  $Z_{DR}$ . In WDM6\_VrZKdp, the 3<sup>rd</sup> quartile of  $Z_H$  (Figure 37j) is larger and closer to observation, and the quartiles of  $Z_{DR}$  is also closer to observation (Figure 37k). It shows that assimilating additional  $K_{DP}$  makes the thunderstorm more intense with larger raindrops. When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the quartiles of  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  in WDM6\_VrZZK are larger than WDM6\_VrZZdr and smaller than WDM6\_VrZKdp. MOR\_VrZZdr corrects the overestimation of 1<sup>st</sup> and 2<sup>nd</sup>  $Z_H$  quartiles and  $Z_{DR}$  quartiles but underestimates the 3<sup>rd</sup>  $Z_H$  quartile (Figure 38g,h). Although the  $K_{DP}$  quartiles also decrease in MOR\_VrZZdr, the frequency distribution is wider (Figure 38i). The overall intensity of strong convection becomes slightly weaker when additional  $Z_{DR}$  data is assimilated. When the both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the 1<sup>st</sup>  $Z_{DR}$  quartile is even smaller in MOR\_VrZZK (Figure 38n) comparing with MOR\_VrZZdr (Figure 38h); moreover, the frequency distribution of  $K_{DP}$  in MOR\_VrZZK (Figure 38o) is wider than MOR\_VrZKdp (Figure 38l), but the  $K_{DP}$  quartiles are smaller.

The spatial distribution of the experiments with WDM6 scheme (Figure 39) shows the similar phenomenon in the experiment with single moment schemes that the pattern of  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  is the same, larger  $Z_H$  collocating with larger  $Z_{DR}$  ( $K_{DP}$ ). The afternoon thunderstorm case has strong and deep convection, so the cold rain process above melting layer plays an important role. In WDM6, only liquid-phase hydrometeors (cloud and rain) are double moment while all the ice-phase hydrometeors (ice, snow and graupel) are single moment, which might make the performance of assimilating polarimetric parameters similar to single moment schemes in this case study. Moreover, it is found that smaller  $Z_H$  can collocate with larger  $Z_{DR}$  in the experiments with MOR scheme (Figure 40), which seems to further indicate that MOR scheme is more flexible than WDM6 scheme. However, the experiments with MOR scheme still fail to capture the detailed structure of observed  $Z_{DR}$  because of the model resolution. The spatial distribution of the thunderstorm is narrower in MOR\_VrZZdr (Figure 40g), yet the

spatial distribution of  $Z_H$  larger than 40 dBZ is wider than MOR\_VrZ (Figure 40d). The extreme value of  $K_{DP}$  in MOR\_VrZZdr (Figure 40i) is higher than MOR\_VrZ (Figure 40c) and even higher than MOR\_VrZKdp (Figure 40l). The exaggerated overestimation of  $Z_{DR}$  along the northwestern coast is not corrected, even if all the polarimetric parameters are assimilated.

### 5.2.3 Preliminary Summary

With two different prognostic hydrometeor variables,  $q_x$  and  $N_{Tx}$ , double moment schemes should be more flexible to adjust the microphysical states with additional assimilation of polarimetric parameters. In the experiments with double moment schemes, it is found that assimilating additional polarimetric parameters not only reduce the error of assimilated polarimetric parameters but also does not deteriorate the analysis obtained through assimilating  $V_r$  and  $Z_H$ . When all the polarimetric parameters are assimilated, the dilemma in the experiments with single moment schemes does not occur. Moreover, the spatial distribution of polarimetric parameters indicates that larger  $Z_H$  is no longer essential to collocate with larger  $Z_{DR}$  when a double moment scheme is applied. However, the performance of the experiment with WDM6 scheme is similar to the experiments with single moment schemes in the afternoon thunderstorm case. This result indicates that there will be more flexibility to adapt the adjustment from polarimetric parameters in the deep convection if every hydrometeor is in double moment.

## 5.3 Performance of the New Approach

Since the  $N_0$  is changeable in double moment schemes, the number of small particles might be less, which means the mean diameter can be larger than single moment scheme. The overestimation of  $Z_{DR}$  might be more serious than single moment schemes. The results in the previous subsection shows that  $Z_{DR}$  in double moment schemes is still seriously overestimated after assimilating additional  $Z_{DR}$ , especially in MOR scheme. As a result, the new approach making use of the high correction between  $Z_{DR}$  and  $D_m$  to update  $N_w$  and  $D_m$  is expected to

reduce more value of the overestimated  $Z_{DR}$  in double moment schemes.

### 5.3.1 Squall Line Case

With the implementation of the new approach, the increment of  $q_r$  in WDM6\_VrZZdr and MOR\_VrZZdr (Figure 41a,b) is less than WDM6\_VrZZdr\_NwDm and MOR\_VrZZdr\_NwDm (Figure 41c,d). However, there is obvious negative increment of  $D_m$  in WDM6\_VrZZdr\_NwDm and MOR\_VrZZdr\_NwDm (Figure 42c,d). The NRMSE of  $Z_{DR}$  significantly reduces in the first cycle (Figure 43b,e,h,k) and is even less than 0.6 at the 2<sup>nd</sup> cycle in MOR\_VrZZdr\_NwDm and MOR\_VrZZK\_NwDm (Figure 43h,k). The analysis field of  $Z_{DR}$  is improved significantly through assimilating  $Z_{DR}$  with the new approach. When the error of  $Z_{DR}$  significantly decreases, the NRMSE of  $Z_H$  and  $K_{DP}$  is higher than 1.0 (even higher than 1.4), but the error is suppressed to near 1.0 through the short-term forecast between cycles (Figure 43a,c,g,i). The large NRMSE of  $Z_H$  and  $K_{DP}$  corresponds to the less increment of  $q_r$  while the much smaller NRMSE of  $Z_{DR}$  is related to the significant negative increment of  $D_m$ . When  $K_{DP}$  is also assimilated, the NRMSE of  $Z_H$  and  $K_{DP}$  will increase less (Figure 43d,f,j,l). The deterioration of  $Z_H$  and  $K_{DP}$  is slightly suppressed with additional assimilation of  $K_{DP}$ .

Figure 44 displays the CFADs of polarimetric parameters. The frequency of  $Z_{DR}$  from 0.0 to 1.0 dB is higher in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 44e,h), which is quite close to observation. The  $Z_{DR}$  quartiles of MOR\_VrZZdr\_NwDm and MOR\_VrZZdr\_NwDm (Figure 44k,n) is almost 0.5 dB smaller than MOR\_VrZZdr and MOR\_VrZZK (Figure 32h,n). With the implementation of the new approach, the overestimated mean size of raindrops is corrected significantly. However, the  $Z_H$  quartiles are underestimated and are 5 dBZ smaller than observation in all the experiments assimilating additional  $Z_{DR}$  with the new approach (Figure 44d,j). It indicates that the intensity of squall lines is underestimated evidently. With additional assimilation of both  $Z_{DR}$  and  $K_{DP}$ , the intensity of the precipitation system is a little stronger with underestimation of  $Z_H$  slightly corrected (Figure 44g,m), which corresponds to the result of NRMSE that assimilating both  $Z_{DR}$  and  $K_{DP}$  can slightly decrease

the NRMSE of  $Z_H$  and  $K_{DP}$ . Although the value of  $Z_H$  quartiles is seriously underestimated, the value of  $K_{DP}$  quartiles are larger and closer to observation in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 44f,i), corresponding to that the frequency of  $Z_H$  between 50 dBZ and 55 dBZ increases. The signal of extremely strong convection is enhanced when the new approach is applied with WDM6.

Figure 45 shows the spatial distribution of polarimetric parameters at 3-km height. It is obvious in the spatial distribution of  $Z_{DR}$  that the noisy  $Z_{DR}$  is eliminated, especially in the experiments with MOR; however, the value of  $Z_{DR}$  in the squall line A is still overestimated in WDM6 scheme (Figure 45e,h), so is the value of  $Z_{DR}$  in both squall line A and B in MOR scheme (Figure 45k,n). The extreme value of  $K_{DP}$  in squall line A is overestimated in both WDM6 scheme (Figure 45f,i) and MOR scheme (Figure 45l,o), but pattern of  $K_{DP}$  in WDM6 scheme is closer to observation.

### 5.3.2 Afternoon Thunderstorm Case

Differ from the squall line case, the increment of  $q_r$  is higher when the new approach is applied (Figure 46). The increment of  $D_m$  is not manifest when the new approach is applied with WDM6; on the contrary, there is a large area with negative  $D_m$  increment when the new approach is used with MOR, which is consistent with the result in the squall line case. The NRMSE (Figure 48) indicates that the improvement of  $Z_{DR}$  analysis is not as ideal as the squall line case (Figure 43b,h), yet assimilating  $K_{DP}$  suppressing the deterioration of analysis  $Z_H$  and analysis  $K_{DP}$  is still found at the final cycle of WDM6\_VrZZK\_NwDm (Figure 48d,f) and the 3<sup>rd</sup> cycle of MOR\_VrZZK\_NwDm (Figure 48j,l).

Figure 49 are the CFADs of polarimetric parameters in the experiments with the new approach. With additional assimilation of  $Z_{DR}$ , quartiles of  $Z_{DR}$  is even smaller in WDM6\_VrZZdr\_NwDm and MOR\_VrZZdr\_NwDm (Figure 49e,k) than WDM6\_VrZZdr and MOR\_VrZZdr (Figure 37h and Figure 38h); meanwhile, the 1<sup>st</sup>  $Z_H$  quartile in WDM6\_VrZZdr\_NwDm and MOR\_VrZZdr\_NwDm (Figure 49d,j) are also much smaller than

observation, WDM6\_VrZZdr and MOR\_VrZZdr (Figure 37g and Figure 38g). The mean size of raindrops is closer to observation in MOR scheme and underestimated in WDM6 scheme, and the intensity of the afternoon thunderstorm becomes weaker in both WDM6 scheme and MOR scheme. It is also found that the signal of strong convection is enhanced through the new approach with WDM6. The frequency of  $Z_H$  between 45 dBZ and 50 dBZ in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 49d,g) is higher than WDM6\_VrZZdr and WDM6\_VrZZK (Figure 37g,m), which corresponds to the wider distribution of  $K_{DP}$  frequency (Figure 49f,i). When  $K_{DP}$  is also assimilated with the new approach, the afternoon thunderstorm is enhanced with the underestimated  $Z_H$  quartiles corrected in WDM6\_VrZZK\_NwDm and MOR\_VrZZK\_NwDm (Figure 49g,m).

Figure 50 is the spatial distribution of polarimetric parameters at 3-km height. Similar to the results in the experiments using original WLRAS with WDM6 scheme in the afternoon thunderstorm case, assimilating additional  $Z_{DR}$  makes the value of  $Z_H$  in the thunderstorm smaller in WDM6\_VrZZdr\_NwDm (Figure 50d), yet the value of  $K_{DP}$  is higher and closer to observation (Figure 50f) comparing with WDM6\_VrZZdr (Figure 39i). It is found that the exaggerated overestimation of  $Z_{DR}$  outside the northwestern coast is eliminated in MOR\_VrZZdr\_NwDm and MOR\_VrZZK\_NwDm (Figure 50k,n). It might result from the threshold of total number concentration set up in the new approach to prevent unrealistic mean diameter. If  $N_{Tr}$  is less than  $100 \text{ \#/m}^{-3}$ ,  $N_w$  and  $D_m$  at that grid point will be set to 0.0; therefore, the overestimated  $Z_{DR}$  with few raindrops will be eliminated. Same as the results in the squall line case, the  $Z_{DR}$  in the region with strong convection is still overestimated but the  $Z_{DR}$  outside the strong convection region is significantly corrected. When  $Z_{DR}$  and  $K_{DP}$  are both assimilated, the value of  $Z_{DR}$  in the thunderstorm is even smaller in MOR\_VrZZK\_NwDm (Figure 50n).

### 5.3.3 Preliminary Summary

The new approach successfully corrects the overestimation of  $Z_{DR}$ , especially the exaggerated overestimation in MOR, yet the value of  $Z_H$  is seriously underestimated. It seems

that the flexibility of double moment schemes is gone with the implementation of the new approach.  $Z_{DR}$  is the function of  $D_m$  while  $Z_H$  is the function of both  $N_w$  and  $D_m$ , and the new approach is based on the high correlation between  $Z_{DR}$  and  $D_m$ ; as a result, the correction of  $D_m$  might be much more significant than  $N_w$  if only additional  $Z_{DR}$  is assimilated. In the Gamma DSD,  $D_m$  represents the slope parameter while  $N_w$  represents the interception parameter. If only  $D_m$  is adjusted with  $N_w$  nearly fixed, it is the behavior of single moment schemes with fixed  $N_0$  and changeable  $\Lambda$ . Consequently,  $Z_H$  is seriously underestimated with the overestimated  $Z_{DR}$  being corrected significantly. When additional  $K_{DP}$  is also assimilated, there might be more adjustment in  $N_w$ , and it is able to slightly correct the underestimation of  $Z_H$ . Therefore, when applying the new approach, additional  $Z_{DR}$  and  $K_{DP}$  had better to be assimilated together to keep the flexibility of double moment schemes and avoid the underestimation of  $Z_H$ .

## 5.4 Impact on Dynamics and Thermodynamics

The feature of EnKF is propagating the observation information through the background error covariance (correlation). In this study, WLRAS allows the assimilated observation to update all the model variables, which means observation related to dynamics ( $V_r$ ) can update hydrometeor variables while observation related to microphysics ( $Z_H$  and other polarimetric parameters) can update dynamical and thermodynamical variables. Therefore, assimilating additional polarimetric parameters not only adjusts hydrometeor variables but also change the dynamical and thermodynamical fields.

### 5.4.1 Squall Line Case

There is no precipitation system in the background field at the 1<sup>st</sup> cycle, so  $Z_H$ ,  $Z_{DR}$  and  $K_{DP}$  are not capable to update model variables, which corresponds to the NRMSE in all the experiments equals to 1.0 in the beginning. As a result, the increment of vertical velocity and water vapor is calculated at the 2<sup>nd</sup> cycle in order to evaluate the impact of assimilating polarimetric parameters on dynamics and thermodynamics. The increment of vertical velocity

does not show obvious difference at the 2<sup>nd</sup> cycle, so Figure 51 to Figure 54 display the increment of water vapor. When additional  $Z_{DR}$  is assimilated with GCE scheme and WSM6 scheme, the positive water vapor increment in GCE\_VrZZdr and WSM6\_VrZZdr is less than GCE\_VrZ and WSM6\_VrZ (Figure 51a,b and Figure 52a,b). Water vapor increment reduces obviously in WDM6\_VrZZdr comparing with WDM6\_VrZ, and it increases significantly in MOR\_VrZZdr comparing with MOR\_VrZ. It might result from that MOR scheme tends to simulate raindrops with large mean diameter while WDM6 scheme tends to simulate smaller mean raindrop size. Therefore,  $Z_{DR}$  innovation in the experiments with MOR scheme is much higher than the experiments with WDM6 scheme. The pattern of increment in the experiments with additional assimilation of  $K_{DP}$  (Figure 51c, Figure 52c, Figure 53c, Figure 54c) is similar to the experiments which assimilate  $V_r$  and  $Z_H$  (Figure 51a, Figure 52a, Figure 53a, Figure 54a) with the increment slightly higher, and this result might indicate that  $Z_H$  and  $K_{DP}$  provide similar information. When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the impact of assimilated  $K_{DP}$  is overwhelmed by assimilated  $Z_{DR}$ .

Figure 55 to Figure 58 are the difference of analysis mean between experiments with additional assimilation of polarimetric parameters and experiments without it at the final cycle. Although the positive water vapor increment reduces at the early cycle when additional  $Z_{DR}$  is assimilated with single moment schemes, there is positive water vapor difference in the final analysis in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 55a and Figure 56a) when all the assimilating cycles are completed. Furthermore, 700-hPa vertical velocity in the squall line A in GCE\_VrZZdr and WSM6\_VrZZdr (Figure 55b and Figure 56b) is also stronger through the assimilation cycles with additional assimilation of  $Z_{DR}$ , especially in GCE\_VrZZdr. Differ from the other three MP schemes, 850-hPa water vapor in the final cycle of WDM6\_VrZZdr is less than WDM6\_VrZ (Figure 57a), yet WDM6\_VrZZdr still increases the vertical velocity in the squall line A (Figure 57b). MOR\_VrZZdr significantly increases 850-hPa water vapor in the southwestern part of Taiwan (Figure 58a), corresponding to the obviously positive water

vapor increment (Figure 54b). Unlike the difference caused by assimilating additional  $Z_{DR}$ , the difference caused by assimilating additional  $K_{DP}$  concentrates in the region with strong convection (Figure 55d,e,f, Figure 56d,e,f, Figure 57d,e,f, Figure 58d,e,f). When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the difference pattern is almost the same as the experiments with additional assimilation of  $Z_{DR}$ , which is consistent with that the increment caused by assimilated  $K_{DP}$  is overwhelmed by assimilated  $Z_{DR}$  when they are assimilated at the same time. It echoes the result in single moment that the weighting of assimilated  $Z_{DR}$  is higher than the weighting of assimilated  $K_{DP}$ . With the positive difference of 850-hPa water vapor and 700-hPa vertical velocity collating with the negative difference of 850-hPa divergence, it is very suitable to generate strong convection.

#### **5.4.2 Afternoon Thunderstorm Case**

Same as the squall line case, water vapor increment at the 2<sup>nd</sup> cycle will be calculated in this case. There is no obvious difference in water vapor increment in the experiments that additional polarimetric parameters are assimilated with GCE scheme and WSM6 scheme (Figure 59 and Figure 60) comparing with GCE\_VrZ and WSM6\_VrZ. When extra  $K_{DP}$  is assimilated with WDM6, there is more positive water vapor increment in WDM6\_VrZKdp than WDM6\_VrZ; on the other hand, there is no difference in the water vapor increment between WDM6\_VrZ and WDM6\_VrZZdr. When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the water vapor increment is almost the same as WDM6\_VrZKdp. It is exactly the same that assimilating additional  $Z_{DR}$  with MOR scheme generates much more positive water vapor increment. It is also found in the experiments with MOR scheme that the impact of assimilated  $K_{DP}$  is overwhelmed by the impact of assimilated  $Z_{DR}$ .

Figure 63 to Figure 66 are the difference of analysis mean between experiments with additional assimilation of polarimetric parameters and experiments without it at the final cycle in the afternoon thunderstorm case. Although there is no obvious difference in the increment at the early cycles in GCE\_VrZZdr and WSM6\_VrZZdr, water vapor at 850 hPa in GCE\_VrZZdr

and WSM6\_VrZZdr is higher than GCE\_VrZ and WSM6\_VrZ after the complete assimilation cycles with additional Z<sub>DR</sub> (Figure 63a, Figure 64a); however, there are negative 500-hPa vertical velocity difference (Figure 63b, Figure 64b) and positive 850-hPa divergence difference (Figure 63c, Figure 64c). It is confirmed again that the weighting of assimilated Z<sub>DR</sub> is larger because the positive 500-hPa vertical velocity difference in GCE\_VrZKdp (Figure 63e) becomes negative difference in GCE\_VrZZK (Figure 63h). Same as result in the squall line case, 850-hPa water vapor in WDM6\_VrZZdr is lower than WDM6\_VrZ (Figure 65a); moreover, there are negative 500-hPa vertical velocity difference and positive 850-hPa divergence difference (Figure 65b,c). 850-hPa water vapor in WDM6\_VrZKdp is also lower than WDM6\_VrZ (Figure 65d), but there is positive difference in 500-hPa vertical velocity (Figure 65e). The performance of MOR scheme is consistent with the squall line case that assimilating additional Z<sub>DR</sub> significantly increases water vapor (Figure 66a,j).

### 5.4.3 Preliminary Summary

From the results of dynamical and thermodynamical fields, it is found that the difference pattern in the experiments with both additional Z<sub>DR</sub> and K<sub>DP</sub> assimilated is close to the experiments with only additional Z<sub>DR</sub> assimilated. This phenomenon might be related to the observation error design in WLRAS. The observation error of Z<sub>DR</sub> is set as 0.2 dB, which is quite accurate comparing with the model uncertainty. With the results in the difference pattern and the results in the limitation of single moment scheme, it can be confirmed that the weighting of assimilated Z<sub>DR</sub> is larger than assimilated K<sub>DP</sub>. When additional Z<sub>DR</sub> is assimilated with MOR, the water vapor adjustment is much more significant than other schemes because MOR scheme overestimate the value of Z<sub>DR</sub> more than other MP schemes. The larger innovation of Z<sub>DR</sub> leads to larger adjustment of water vapor. Even though the precipitation system is weaker from the view of Z<sub>H</sub> after assimilating additional Z<sub>DR</sub> with single moment schemes, water vapor and vertical velocity can still increase since WLRAS updates model variables separately. The increment of water vapor and enhancement of vertical velocity and convergence might be able

to reconstruct the strong convection through the dynamical and thermodynamical processes.

## 5.5 Performance of Short-Term QPF

### 5.5.1 Squall Line Case

Figure 67 to Figure 71 show the observation rainfall and the analysis mean forecast of each experiment in the squall line case. Although the intensity of squall lines is weaker from the view of  $Z_H$  in GCE\_VrZZdr and WSM6\_VrZZdr, the extreme value in southwestern Taiwan is larger (Figure 67g,h,i, Figure 68g,h,i); on the contrary, the rainfall maximum decreases in WDM6\_VrZZdr (Figure 69g,h,i), corresponding to the negative water vapor difference in the final analysis (Figure 57a). Different from WDM6 scheme, assimilating additional  $Z_{DR}$  with MOR scheme not only increases the accumulated rainfall but also extends the area of heavy rainfall significantly (Figure 70g,h,i).  $K_{DP}$  represents the signal of strong convection, so experiments that assimilate  $K_{DP}$  enhance the rainfall intensity (Figure 67j,k,l, Figure 68j,k,l, Figure 69j,k,l and Figure 70j,k,l). When both  $Z_{DR}$  and  $K_{DP}$  are assimilated, the pattern of 6-hour accumulated rainfall spatial distribution is closer to the experiments only assimilating additional  $Z_{DR}$  (Figure 67i,o, Figure 68i,o, Figure 69i,o and Figure 70i,o). The 6-hour accumulated rainfall in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 71f,i) is more than WDM6\_VrZZdr and WDM6\_VrZZK (Figure 69i,o), corresponding to the larger  $K_{DP}$  quartiles in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 44f,i). On the other hand, The 6-hour accumulated rainfall in MOR\_VrZZdr\_NwDm and MOR\_VrZZK\_NwDm (Figure 71l,o) is less comparing with MOR\_VrZZdr and MOR\_VrZZK (Figure 68i,o), which might be related to the underestimated  $Z_H$  caused by the new approach.

Figure 72 lists the performance diagram with 20-mm threshold. For the experiment with GCE scheme (Figure 72a), the performance of the 1-hour rainfall accumulation is better with additional assimilation of either  $Z_{DR}$  or  $K_{DP}$ . POD and SR are lower at the 1<sup>st</sup> hour in WSM6\_VrZKdp, yet they significantly increase and become higher than WSM6\_VrZZdr and

WSM6\_VrZZK in the end of the 6-hour forecast (Figure 72b). The performance of the experiment with WDM6 scheme (Figure 72c) shows that WDM6\_VrZZK\_NwDm makes TS higher; however, the performance of 3-hour rainfall and 6-hour rainfall is similar to each other among all the experiments with WDM6 scheme. For the performance of experiments with MOR scheme (Figure 72d), it is similar to the result of the experiments with GCE scheme that the performance of the 1-hour rainfall accumulation is better when additional  $Z_{DR}$  or  $K_{DP}$  are assimilated with MOR scheme; furthermore, SR of 6-hour rainfall is closer to 1.0 in all the experiments with MOR scheme, and POD in MOR\_VrZZK is the highest. The bias of all the experiments is still less than 1.0 even if additional polarimetric parameters are assimilated, which means the rainfall events captured by the forecast is less than observation. Figure 73 displays the time series of Pearson correlation coefficient. For the experiments with GCE scheme (Figure 73a), the correlation of the 1-hour accumulated rainfall is lower in GCE\_VrZZdr and GCE\_VrZZK, yet it is higher than GCE\_VrZ after 1300 UTC with additional assimilation of  $Z_{DR}$  or  $K_{DP}$ . The results in the experiments with WSM6 scheme (Figure 73b) is different that the spatial correlation coefficient is lower from 1200 UTC to 1700 UTC in the experiments with additional assimilation of  $Z_{DR}$ . The experiments with WDM6 scheme and MOR scheme (Figure 73c,d) indicates that the pattern of accumulated rainfall is closer to the observation with higher correlation coefficient when additional  $Z_{DR}$  or  $K_{DP}$  are assimilated. With the implementation of the new approach, the correlation is higher in WDM6\_VrZZdr\_NwDm and lower in MOR\_VrZZdr\_NwDm.

Figure 74 to Figure 77 are the probability of 6-hour rainfall exceeding 30 mm in all the experiments. Although assimilating extra  $Z_{DR}$  data with GCE scheme and WSM6 scheme makes  $Z_H$  weaker, the probability of heavy rainfall is still higher than the experiments that only assimilate  $V_r$  and  $Z_H$  (Figure 74a,b Figure 75a,b). This result echoes to the positive water vapor difference and positive vertical velocity difference (Figure 55a,b, Figure 56a,b). The probability maximum in WDM6\_VrZZdr (Figure 76b) is higher than WDM6\_VrZ (Figure 76a) even

though the rainfall maximum is lower. The probability is much higher when additional  $Z_{DR}$  is assimilated with MOR scheme (Figure 77b), and the region with higher probability exactly collocates with the region with observed heavy rainfall. When the new approach is applied, the probability in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm is higher than WDM6\_VrZZdr and WDM6\_VrZZK; on the contrary, the probability in MOR\_VrZZdr\_NwDm and MOR\_VrZZK\_NwDm is lower than MOR\_VrZZdr and MOR\_VrZZK.

### 5.5.2 Afternoon Thunderstorm Case

Figure 78 to Figure 82 are the observation rainfall and ensemble mean rainfall forecast of each experiment in the afternoon thunderstorm case. The variability among ensemble members in the afternoon thunderstorm case is very high that the location of the afternoon thunderstorm might be totally different among all the ensemble members. Using the mean of the analysis at the final cycle to run the deterministic forecast might seriously underestimate the rainfall; Therefore, the ensemble members at the final analysis are used to run the ensemble forecasts, and probability matched ensemble mean (PMEM) based on Ebert (2001) is applied to prevent the smooth resulting from averaging directly and emphasize the extreme value. Assimilating additional  $Z_{DR}$  with GCE scheme, WSM6 scheme and WDM6 scheme (Figure 78c, Figure 79c and Figure 80c) makes the accumulated rainfall less, especially WSM6\_VrZZdr; on the contrary, MOR\_VrZZdr generates two regions with obvious rainfall (Figure 81c). Assimilating additional  $K_{DP}$  with all four MP schemes can make the spatial distribution of rainfall wider (Figure 78d, Figure 79d, Figure 80d and Figure 81d). When both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated, the wider distribution caused by assimilating additional  $K_{DP}$  still maintains, but the rainfall accumulation is less (Figure 78e, Figure 79e, Figure 80e and Figure 81e). The result of the new approach is consistent with the squall line case that WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 82b,c) generate more rainfall due to the wider distribution of  $K_{DP}$  frequency related to strong convection (Figure 49f,i) while MOR\_VrZZdr\_NwDm and

MOR\_VrZZK\_NwDm (Figure 82d,e) generate less rainfall due to the underestimated  $Z_H$ .

Figure 83 to Figure 86 are the probability of 1-hour rainfall exceeding 5 mm in all the experiments. Differ from the squall line case, assimilating additional  $Z_{DR}$  with GCE scheme, WSM6 scheme and WDM6 scheme no longer increases the probability (Figure 83b, Figure 84b and Figure 85b) because the adjustment in dynamical and thermodynamical fields do not provide positive support to reconstruct the strong convective system. As for MOR, assimilating additional  $Z_{DR}$  does increase the probability of exceeding higher threshold, yet the maximum of probability does not collocate with the region with rainfall maximum, which indicates the issue of system shifting. When the new approach is applied in the afternoon thunderstorm case, the result is similar to the squall line case. The probability in WDM6\_VrZZdr\_NwDm and WDM6\_VrZZK\_NwDm (Figure 85e,f) is larger than WDM6\_VrZZdr and WDM6\_VrZZK (Figure 85b,d) while the probability in MOR\_VrZZdr\_NwDm and MOR\_VrZZK\_NwDm (Figure 86e,f) is lower than MOR\_VrZZdr and MOR\_VrZZK (Figure 86b,d).

### **5.5.3 Preliminary Summary**

Assimilating additional polarimetric parameters is able to improve the performance of short-term QPF. The intensity of the precipitation system is weaker in the final analysis of the experiments that assimilates additional  $Z_{DR}$  with GCE scheme and WSM6 scheme, yet more accumulated rainfall is predicted due to the adjustment of water vapor and vertical velocity. The adjustment in dynamics and thermodynamics significantly affects the results of QPF. When additional  $Z_{DR}$  is assimilated with WDM6 scheme, the accumulated rainfall maximum is reduced due to the reduction of water vapor; on the contrary, assimilating additional  $Z_{DR}$  with MOR scheme maintain the intensity of the precipitation system and increase the water vapor, so the accumulated rainfall significantly increases. The rainfall distribution in the experiments that assimilate both additional  $Z_{DR}$  and  $K_{DP}$  is similar to the experiments that only assimilates additional  $Z_{DR}$ . Therefore, it is confirmed again that the weighting of assimilated  $Z_{DR}$  is higher than assimilated  $K_{DP}$ . In addition to the improvement in rainfall accumulation, the probability

of heavy rainfall is higher with assimilation of additional polarimetric parameters, which means assimilating additional polarimetric parameters is capable to improve the performance of heavy rainfall. As for the result with the new approach, both accumulated rainfall and rainfall probability are higher (lower) when the new approach is applied with WDM6 scheme (MOR scheme).

## Chapter 6 Summary and Future Works

The purposes of this study are 1) evaluating the impact on analysis with assimilation of polarimetric parameters; 2) testing a new approach which updates  $N_w$  and  $D_m$  instead of original model variables in double moment schemes; 3) evaluating the impact on dynamics and thermodynamics; 4) verifying the performance of short-term QPF with additional assimilation of polarimetric parameters. WLRAS is implemented to assimilate additional polarimetric parameters with four different MP schemes, GCE, WSM6, WDM6 and MOR. A new approach updating  $N_w$  and  $D_m$  is applied in WLRAS in order to extract more correction from  $Z_{DR}$  innovation. The assimilation experiments are conducted in two real cases, a squall line case and an afternoon thunderstorm case, with distinct microphysical characteristic. The experiments which only assimilate  $V_r$  and  $Z_H$  are set as the control run to evaluate the impact on analysis and forecast with additional assimilation of polarimetric parameters. With the validation in dynamics, thermodynamics and microphysics and short-term QPF in two real summer cases, this study is summarized as the following points:

1. There is limitation of assimilating additional polarimetric parameters with single moment schemes. Simulated polarimetric parameters are only determined by  $q_x$  in single moment schemes, so they are proportional to each other. If the value of  $Z_H$  is higher, the value of  $Z_{DR}$  is also higher, vice versa. It means that large  $Z_H$  can only result from large raindrops, so it is difficult for single moment schemes to decrease the value of  $Z_{DR}$  and increase the value of  $Z_H$  and  $K_{DP}$  simultaneously. When all the polarimetric parameters are assimilated, the adjustment of mixing ratio depends on the weighting of each polarimetric parameter. The observation error of  $Z_{DR}$  in this study is much lower than the ensemble spread of simulated  $Z_{DR}$ , so the weighting of assimilated  $Z_{DR}$  is higher than assimilated  $Z_H$  and  $K_{DP}$ . With the assimilation of the observed  $Z_{DR}$  which is smaller than simulated  $Z_{DR}$ , the value of  $Z_H$  and  $K_{DP}$  will also reduce, which makes the precipitation system weaker from the view

of  $Z_H$ .

2. Differ from single moment schemes, double moment schemes are more flexible to adapt the adjustment obtained from assimilating additional polarimetric parameters because the simulated polarimetric parameters are determined by both  $q_x$  and  $N_{Tx}$ . Theoretically, the intense  $Z_H$  in the precipitation system can result from either a large amount of small raindrops or few large raindrops with double moment schemes. Therefore, assimilating both additional  $Z_{DR}$  and  $K_{DP}$  corrects the underestimation of  $K_{DP}$  and reduce the overestimation of  $Z_{DR}$ , which makes the analysis errors of  $Z_{DR}$  and  $K_{DP}$  decrease simultaneously. With the results above, it is confirmed that double moment schemes are more suitable than single moment schemes to be applied in assimilating additional polarimetric parameters. When the warm rain process dominates in the precipitation system (the squall line case), both MOR scheme and WDM6 scheme shows more flexibility comparing with single moment schemes. However, the performance of WDM6 scheme is similar to single moment schemes in the deep convection (the thunderstorm case) in which the cold rain process matters. As a result, applying a MP scheme with all the hydrometeors in double moment, i.e. MOR scheme, is better when assimilating additional polarimetric parameters.
3. Instead of updating mixing ratio and total number concentration, the new approach updates  $N_w$  and  $D_m$  and is feasible to decrease the error of  $Z_{DR}$  significantly. Meanwhile, the threshold of  $N_{Tr}$  set up in the conversion of  $D_m$  can eliminate the exaggerated overestimation of  $Z_{DR}$  resulting from small value of  $N_{Tr}$ . However, there is a disadvantage of the new approach that  $Z_H$  will be underestimated. The new approach makes use of the high correlation between  $Z_{DR}$  and  $D_m$ , but  $Z_H$  is the function of both  $N_w$  and  $D_m$ . If there is no obvious adjustment in  $N_w$  corresponding to the significant correction in  $D_m$ , the impact of the new approach will be similar to the performance of single moment schemes. Fortunately, when both additional  $Z_{DR}$  and  $K_{DP}$  are assimilated with the new approach, the underestimation of  $Z_H$  can be alleviated.

4. Through the cross correlation, assimilating additional polarimetric parameters can affect not only hydrometeor variables but also dynamical and thermodynamical fields. Although assimilating additional  $Z_{DR}$  make the precipitation system weaker from the view of  $Z_H$  intensity, it might enhance vertical velocity and increase water vapor, especially in the region with strong convection. Assimilating additional  $Z_{DR}$  with MOR scheme generates the most significant water vapor adjustment among the four MP schemes. EnKF generates the increment through three components, background correlation, background variance and innovation. The exaggerated overestimation of simulated  $Z_{DR}$  in MOR scheme provides more innovation than the other three MP schemes, so it leads to the most significant water vapor adjustment among all the MP schemes.
5. The adjustment of dynamical and thermodynamical fields significantly affects the result of short-term QPF. The accumulated rainfall might still become higher in GCE\_VrZZdr and WSM6\_VrZZdr if there are positive water vapor difference and positive vertical velocity difference helping to reconstruct the strong convection. On the other hand, the accumulated rainfall might become lower if the adjustment of dynamics and thermodynamics does not have positive support to the convective systems, i.e. WDM6\_VrZZdr keep the intensity of the convection but reduces water vapor. Assimilating additional polarimetric parameters not only increases the value of accumulated rainfall but also makes the probability of heavy rainfall higher, which means the performance of heavy rainfall is improved when additional  $Z_{DR}$  or  $K_{DP}$  are assimilated.

Overall, this study investigates the impact of assimilating additional polarimetric parameters on analysis and short-term QPF through assimilating polarimetric parameters in addition to  $V_r$  and  $Z_H$  with four MP schemes in two summer cases. Moreover, a new approach is developed and is feasible to enhance the impact of assimilating additional  $Z_{DR}$ . There are some works that can be done in the future:

1. The polarimetric operator used in this study could be updated with numerical integration

through look-up table based on (Jung et al. 2010). Moreover, the axis ratio of graupel and hail according to Ryzhkov et al. (2011) can be considered in the operator to deal with polarimetric parameters of ice-phase particles.

2. It is found that assimilating polarimetric parameters with double moment scheme is more suitable than single moment schemes, so a more complicated double moment scheme, i.e. MY scheme, which considers hail and graupel separately, might be a better option of MP schemes to be applied when assimilating polarimetric parameters.
3. Although the overestimated  $Z_{DR}$  is corrected significantly with the implementation of the new approach, the value of  $Z_H$  is seriously underestimated. Assimilating polarimetric parameters sequentially might be a better strategy when the new approach is applied. For instance,  $Z_{DR}$  is assimilated first with the new approach to deal with the overestimated  $Z_{DR}$  and then assimilating  $Z_H$  and  $K_{DP}$  afterward to update  $q_r$  and  $N_{Tr}$ . This strategy can keep the benefit of updating  $q_r$  with assimilated  $Z_H$  and  $K_{DP}$  and might be capable to correct the underestimated  $Z_H$  resulting from the new approach.
4. Increasing the model resolution to 1 km or even higher resolution is essential when dealing with cloud microphysics processes. Besides, it is more capable to assimilate high-dense radar observations.
5. The value of  $K_{DP}$  in the non-precipitation region should be 0.0 instead of missing value.  $K_{DP}$  is often associated with strong convection or heavy rainfall, yet it should also provide the information of non-precipitation region. Since  $K_{DP}$  is the derivation of  $\Phi_{DP}$ , the value of 0.0 should be meaningful that  $\Phi_{DP}$  does not change in the non-precipitation region. Assimilation of zero  $K_{DP}$  might be very useful to get rid of the fake precipitation signal in the background field.

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# Tables

Table 1 Localization radius and inflation factor set in the WLRAS

	U · V	W	PH · T	Qv · Qc · Qi · Nc · Ni	Qr · Qs · Qg · Nr · Ns · Ng
Horizontal localization radius (km)	36	12	12	24	12
Vertical localization radius (km)	4				
Inflation	1.08				

Table 2 All the experiments conducted in this study

Original WLRAS (scheme: GCE, WSM6, WDM6, MOR)						
	V <sub>r</sub>	Z <sub>H</sub>	Z <sub>DR</sub>	K <sub>DP</sub>		
Scheme_VrZ	V	V				
Scheme_VrZZdr	V	V	V			
Scheme_VrZKdp	V	V				V
Scheme_VrZZK	V	V	V			V
New Approach (scheme: WDM6, MOR)						
	V <sub>r</sub>	Z <sub>H</sub>	Z <sub>DR</sub>	K <sub>DP</sub>	Update N <sub>w</sub>	Update D <sub>m</sub>
Shceme_VrZZdr_NwDm	V	V	V		V	V
Scheme_VrZZK_NwDm	V	V	V	V	V	V

Table 3 Setting for rain, snow graupel in four MP schemes.

	Prognostic mixing ratio	Prognostic total number concentration	Intercept parameter (m <sup>-4</sup> )	Shape parameter	Hydrometeor density (kg/m <sup>-3</sup> )	
GCE	q <sub>r</sub> , q <sub>s</sub> , q <sub>g</sub>	/	N <sub>0r</sub> = 8E6 N <sub>0s</sub> = 1.6E7 N <sub>0g</sub> = 4E6	μ <sub>r</sub> = 0 μ <sub>s</sub> = 0 μ <sub>g</sub> = 0	ρ <sub>r</sub> = 1000 ρ <sub>s</sub> = 100 ρ <sub>g</sub> = 400	
WSM6			N <sub>0r</sub> = 8E6 N <sub>0s</sub> = 2E6 N <sub>0g</sub> = 4E6	μ <sub>r</sub> = 0 μ <sub>s</sub> = 0 μ <sub>g</sub> = 0	ρ <sub>r</sub> = 1000 ρ <sub>s</sub> = 100 ρ <sub>g</sub> = 500	
WDM6			N <sub>Tr</sub>	N <sub>0s</sub> = 2E6 N <sub>0g</sub> = 4E6	μ <sub>r</sub> = 1 μ <sub>s</sub> = 0 μ <sub>g</sub> = 0	ρ <sub>r</sub> = 1000 ρ <sub>s</sub> = 100 ρ <sub>g</sub> = 500
MOR			N <sub>Tr</sub> , N <sub>Ts</sub> , N <sub>Tg</sub> ,	/	μ <sub>r</sub> = 0 μ <sub>s</sub> = 0 μ <sub>g</sub> = 0	ρ <sub>r</sub> = 997 ρ <sub>s</sub> = 100 ρ <sub>g</sub> = 400

Table 4 Power law fitting coefficient of scattering amplitude.

	α <sub>a,x</sub>	α <sub>b,x</sub>	α <sub>k,x</sub>	β <sub>a,x</sub>	β <sub>b,x</sub>	β <sub>k,x</sub>
Rain	4.28E-4	4.28E-4	1.3E-5	3.04	2.77	4.63
Snow	0.194E-4	0.191E-4	0.3E-6	3.0	3.0	3.0
Graupel	8.1E-5	7.6 E-5	0.5E-5	3.0	3.0	3.0
Wet snow	(1)	(2)	α <sub>a,rs</sub> - α <sub>b,rs</sub>	3.0	3.0	3.0
Wet graupel	(3)	(4)	α <sub>a,rg</sub> - α <sub>b,rg</sub>	3.0	3.0	3.0
<p>(1) α<sub>a,rs</sub> = (0.194 + 7.094f<sub>w</sub> + 2.135f<sub>w</sub><sup>2</sup> - 5.225f<sub>w</sub><sup>3</sup>) × 10<sup>-4</sup>  (2) α<sub>b,rs</sub> = (0.191 + 6.916f<sub>w</sub> + 2.841f<sub>w</sub><sup>2</sup> - 1.160f<sub>w</sub><sup>3</sup>) × 10<sup>-4</sup>  (3) α<sub>a,rg</sub> = (0.191 + 2.39f<sub>w</sub> - 12.57f<sub>w</sub><sup>2</sup> + 38.71f<sub>w</sub><sup>3</sup> - 65.53f<sub>w</sub><sup>4</sup> + 56.16f<sub>w</sub><sup>5</sup> - 18.98f<sub>w</sub><sup>6</sup>) × 10<sup>-3</sup>  (4) α<sub>b,rg</sub> = (0.165 + 1.72f<sub>w</sub> - 9.92f<sub>w</sub><sup>2</sup> + 32.15f<sub>w</sub><sup>3</sup> - 56.0f<sub>w</sub><sup>4</sup> + 48.83f<sub>w</sub><sup>5</sup> - 16.69f<sub>w</sub><sup>6</sup>) × 10<sup>-3</sup>  f<sub>w</sub> is the water fraction in the mixture form.</p>						

Table 5 Setting of hydrometeor canting angle.

	Mean canting angle	Standard deviation of canting angle
Rain	0°	0°
Snow / Wet snow	0°	20°
Graupel	0°	60°
Wet graupel	0°	$60^\circ(1-cf_w)$ $c = 0.8$ if $q_{rg} \geq 0.2$ g/kg; $c = 4q_{rg}$ if $q_{rg} < 0.2$ g/kg

Table 6 Range and interval for CFADs

	Range	Interval
Z <sub>H</sub>	From 0.0 dBZ to 70.0 dBZ	2.5 dBZ
Z <sub>DR</sub>	Form 0.0 dB to 5.5 dB	0.25 dB
K <sub>DP</sub>	From 0.0 deg/km to 4.0 deg/km	0.04 deg/km

Table 7 contingency table

	Observation yes	Observation no
Forecast yes	Hit	False Alarm
Forecast no	Miss	Correct Negative

# Figures

## 850hPa Wind&Qvapor&Height

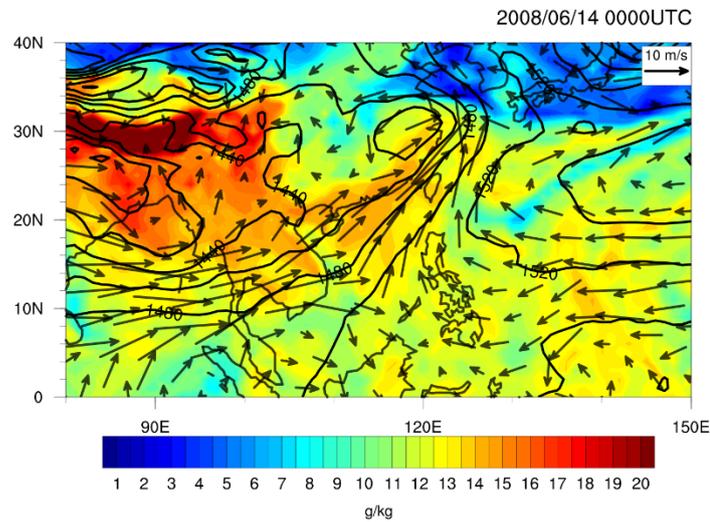


Figure 1 Wind field, water vapor mixing ratio (shaded) and geopotential height (contour) of NCEP analysis at 850 hPa at 0000 UTC 14<sup>th</sup> June 2008.

## 500hPa Wind&Vor&Height

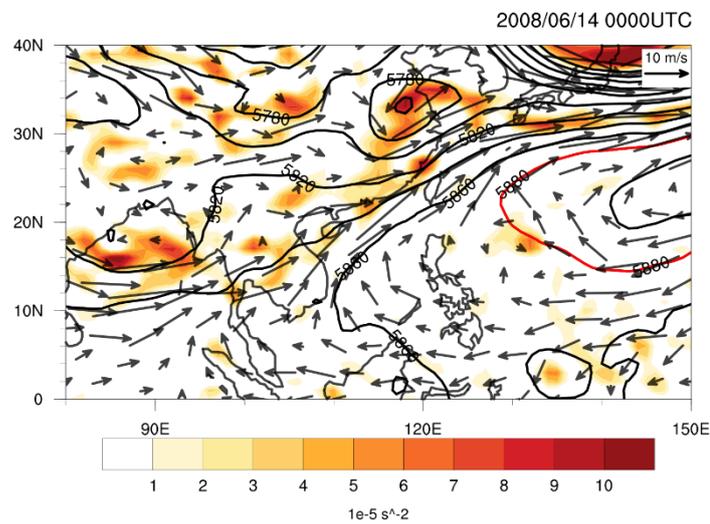


Figure 2 Wind field, relative vorticity (shaded) and geopotential height (contour) of NCEP analysis at 500 hPa at 0000 UTC 14<sup>th</sup> June 2008. Red contour is 5880-meter geopotential height.

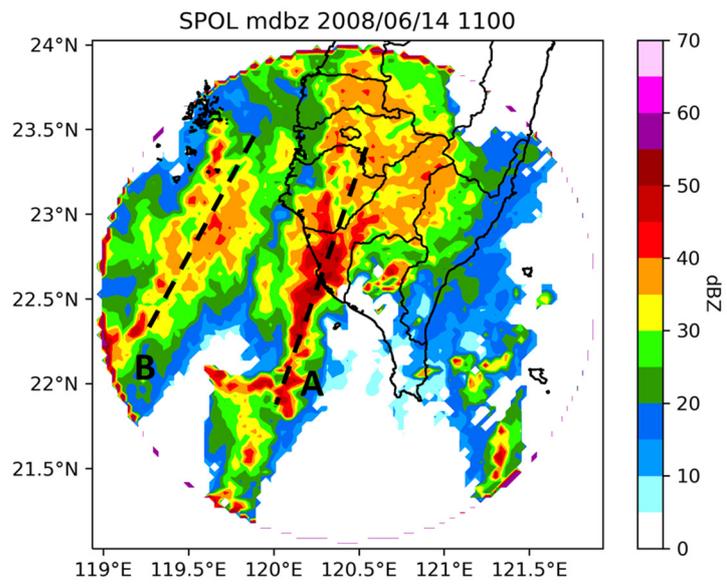


Figure 3 Max  $Z_H$  composite observed by SPOL at 1100 UTC 14<sup>th</sup> June 2008.

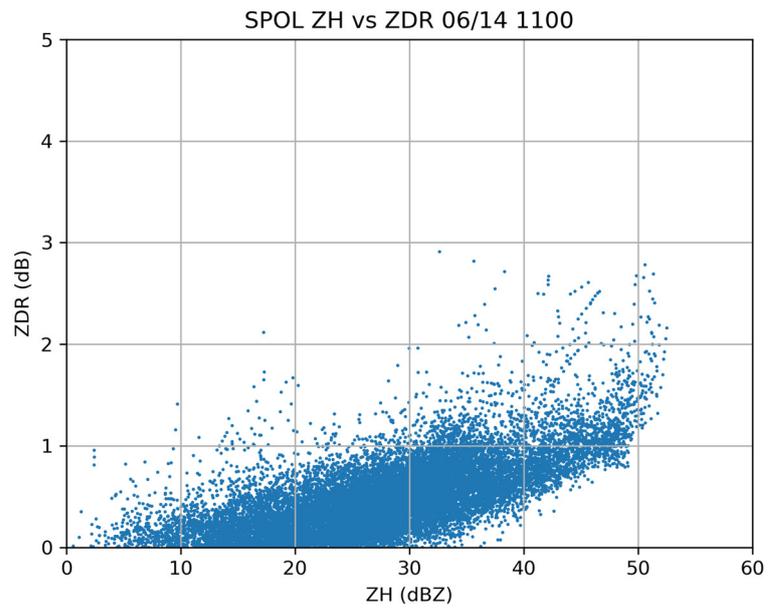


Figure 4 Scatter plot of  $Z_H$  and  $Z_{DR}$  observed by SPOL below 4-km height at 1100 UTC 14<sup>th</sup> June 2008.



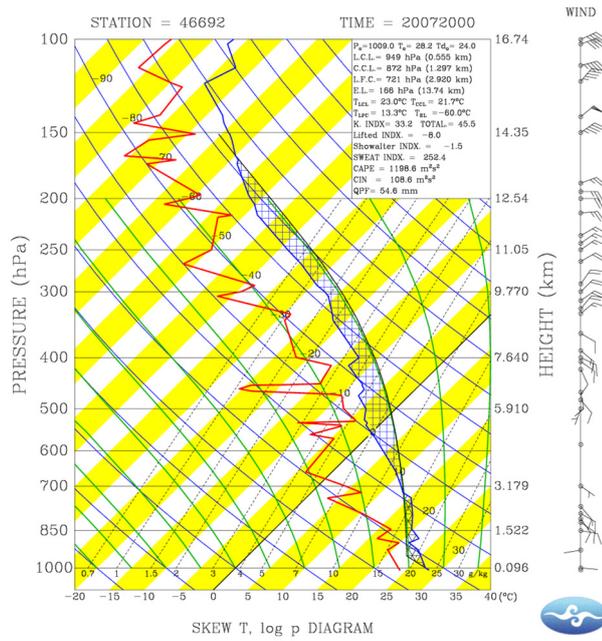


Figure 7 Sounding data at Banqiao station at 0000 UTC 20<sup>th</sup> July 2020. Source: CWB.

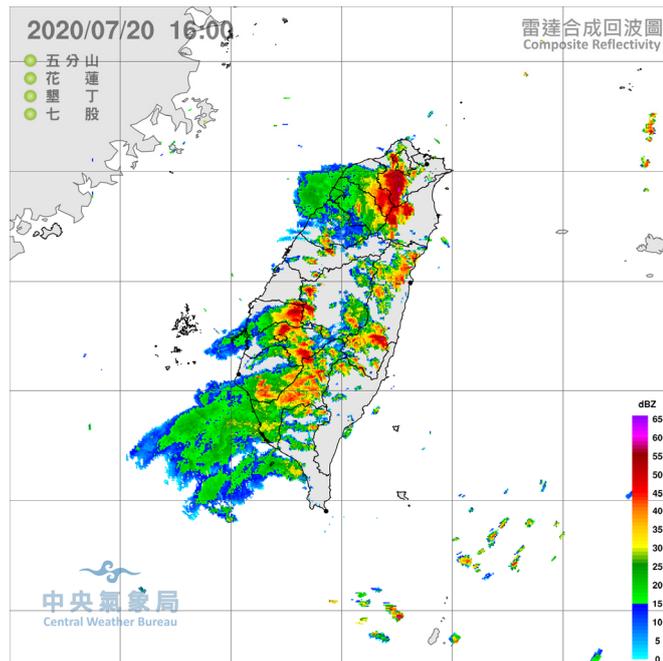


Figure 8 Max  $Z_H$  composite from CWB at 1600 LST 20<sup>th</sup> July 2020. Source: CWB.

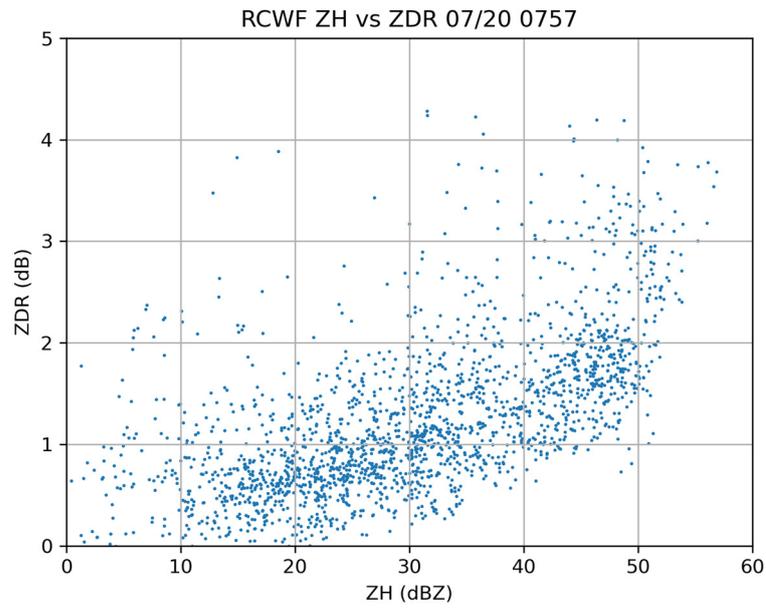


Figure 9 Scatter plot of  $Z_H$  and  $Z_{DR}$  observed by RCWF below 4-km height at 0757 UTC 20<sup>th</sup> July 2020.

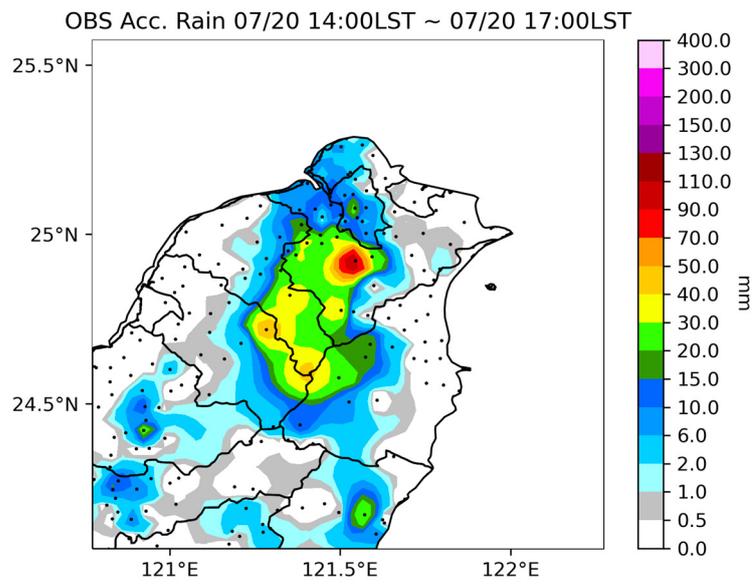


Figure 10 Accumulated rainfall from 1400 LST to 1700 LST on 20<sup>th</sup> July 2020. Black dots show the location of CWB observation sites.

(a)

(b)

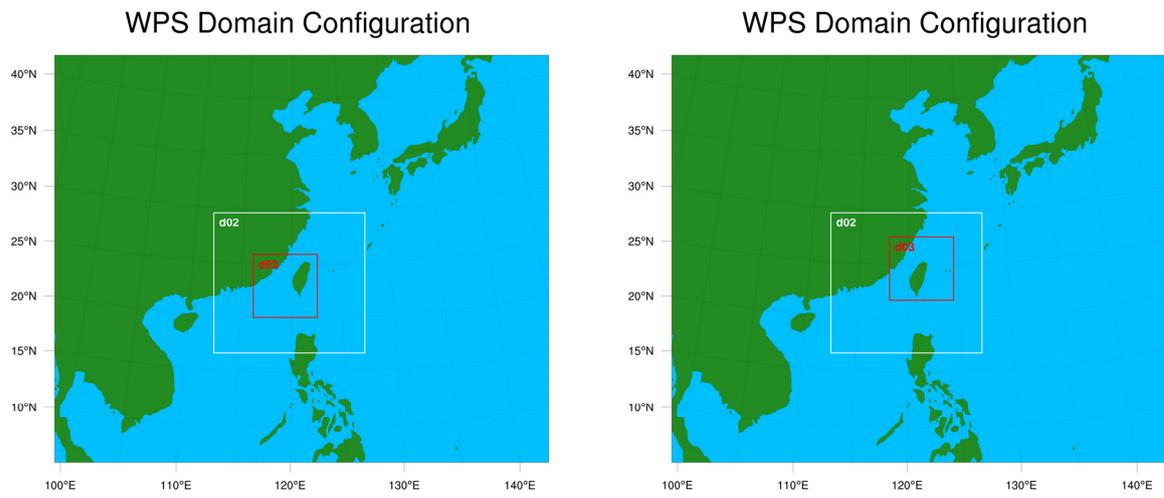


Figure 11 Setting of nested domain. (a)The squall line case (b) The afternoon thunderstorm case.

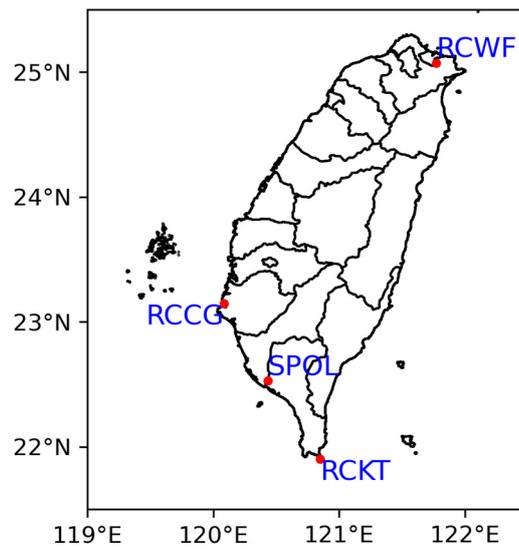
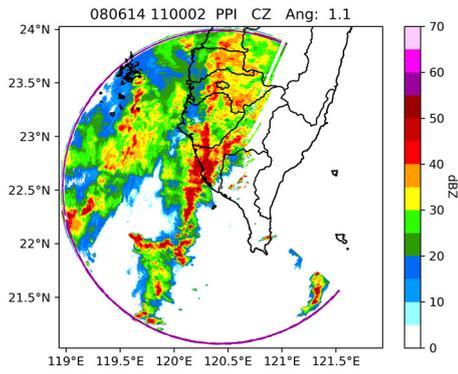


Figure 12 Location of RCWF, RCCG, RCKT and SPOL.

(a)



(b)

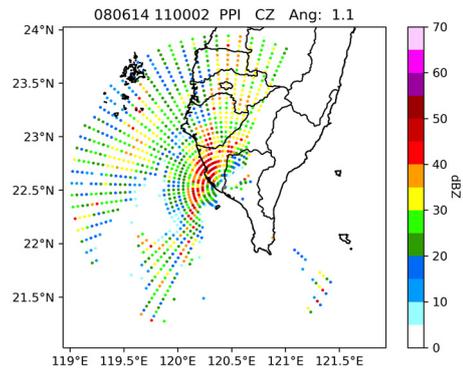
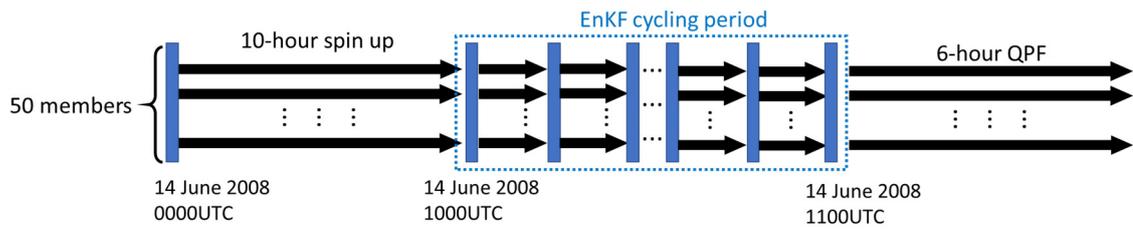


Figure 13 SPOB observation at 1.1° elevation angle at 1100 UTC 14<sup>th</sup> June 2008. (a) Before superobbing. (b) After superobbing.

(a)



(b)

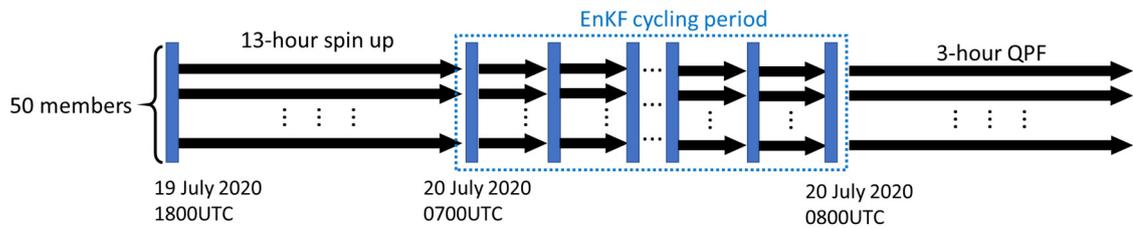
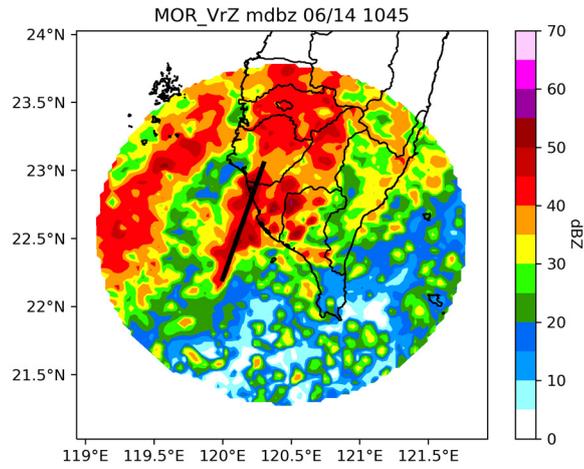


Figure 14 Assimilation flow chart. (a) The squall line case. (b) The afternoon thunderstorm case.

(a)



(b)

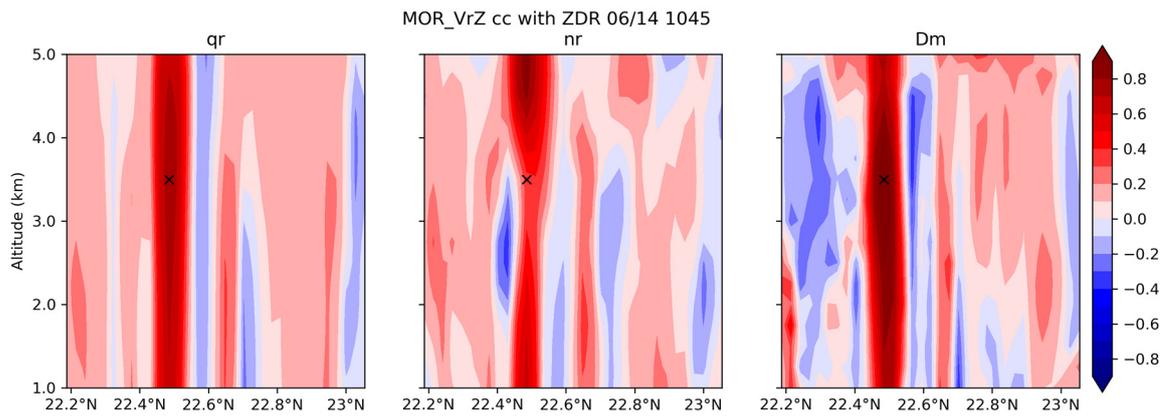


Figure 15 (a) Max  $Z_H$  composite with the black line indicating the location of the cross section. (b) Vertical cross section of the correlation coefficient between hydrometeor variables and  $Z_{DR}$  at the black cross in MOR\_VrZ at 1045 UTC 14<sup>th</sup> June 2008.

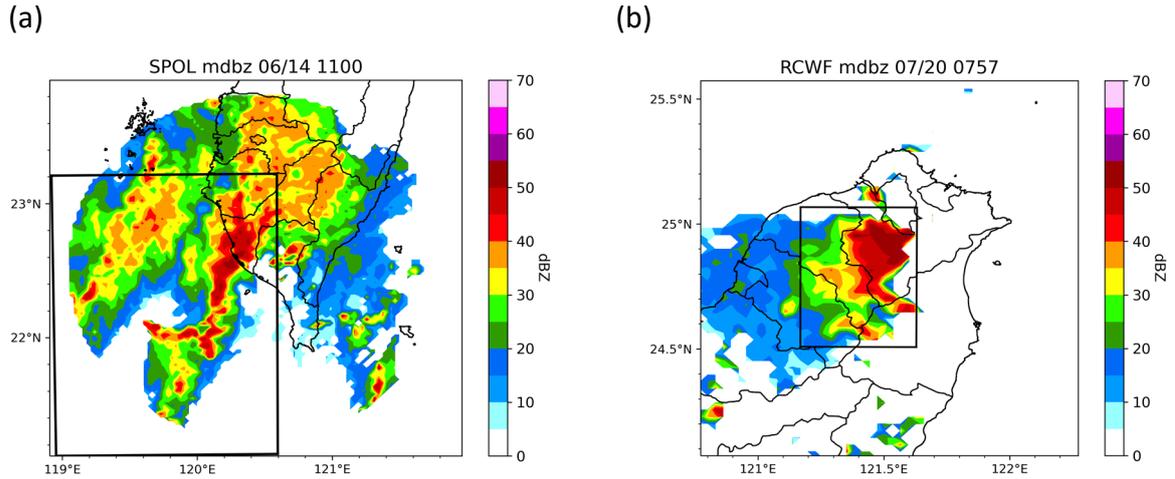


Figure 16 The data in the black rectangle is used to plot CFADs (a) The squall line case (b) The afternoon thunderstorm case.

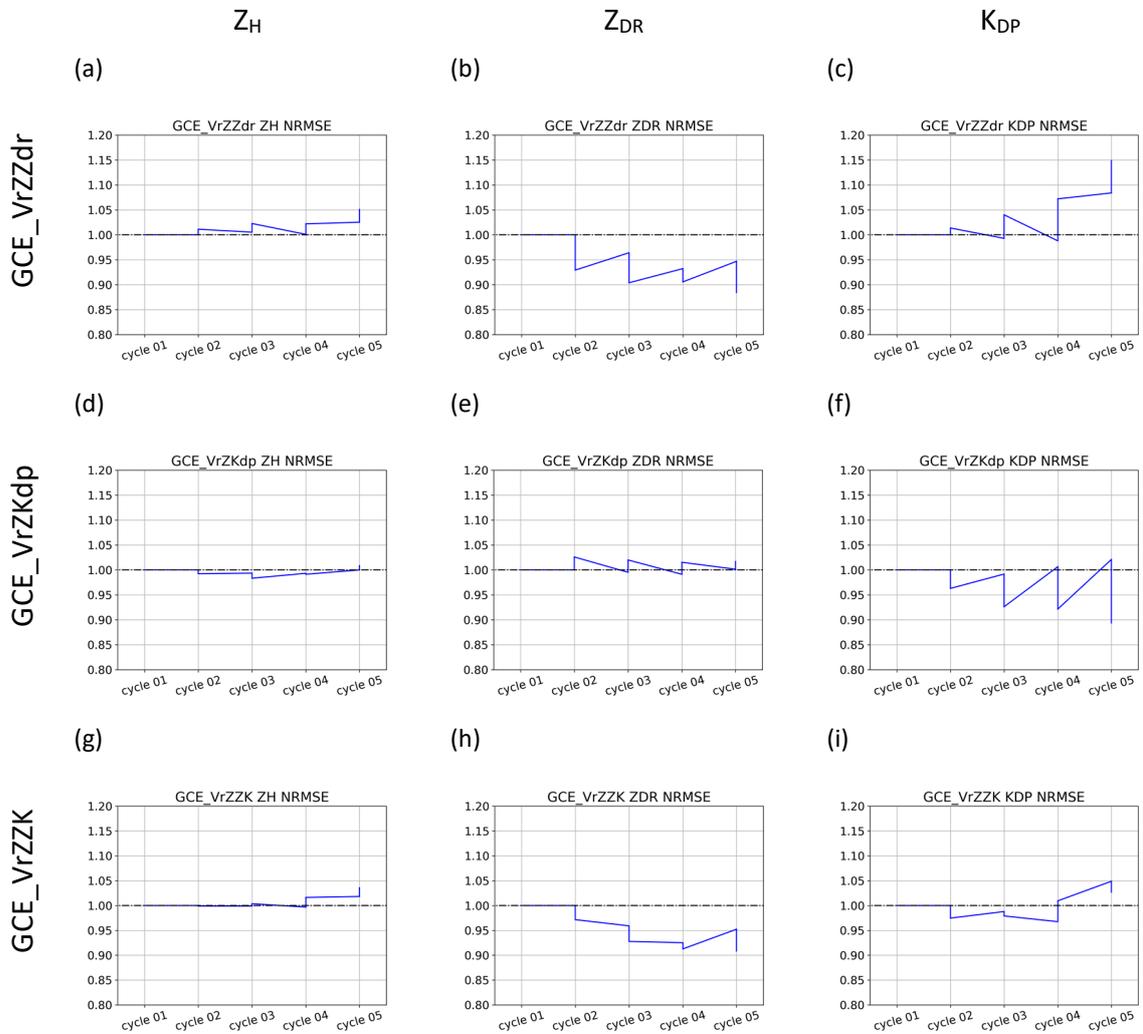


Figure 17 NRMSE of polarimetric parameters in the experiments with GCE scheme in the squall line case from 1000 UTC to 1100 UTC on 14<sup>th</sup> June 2008.

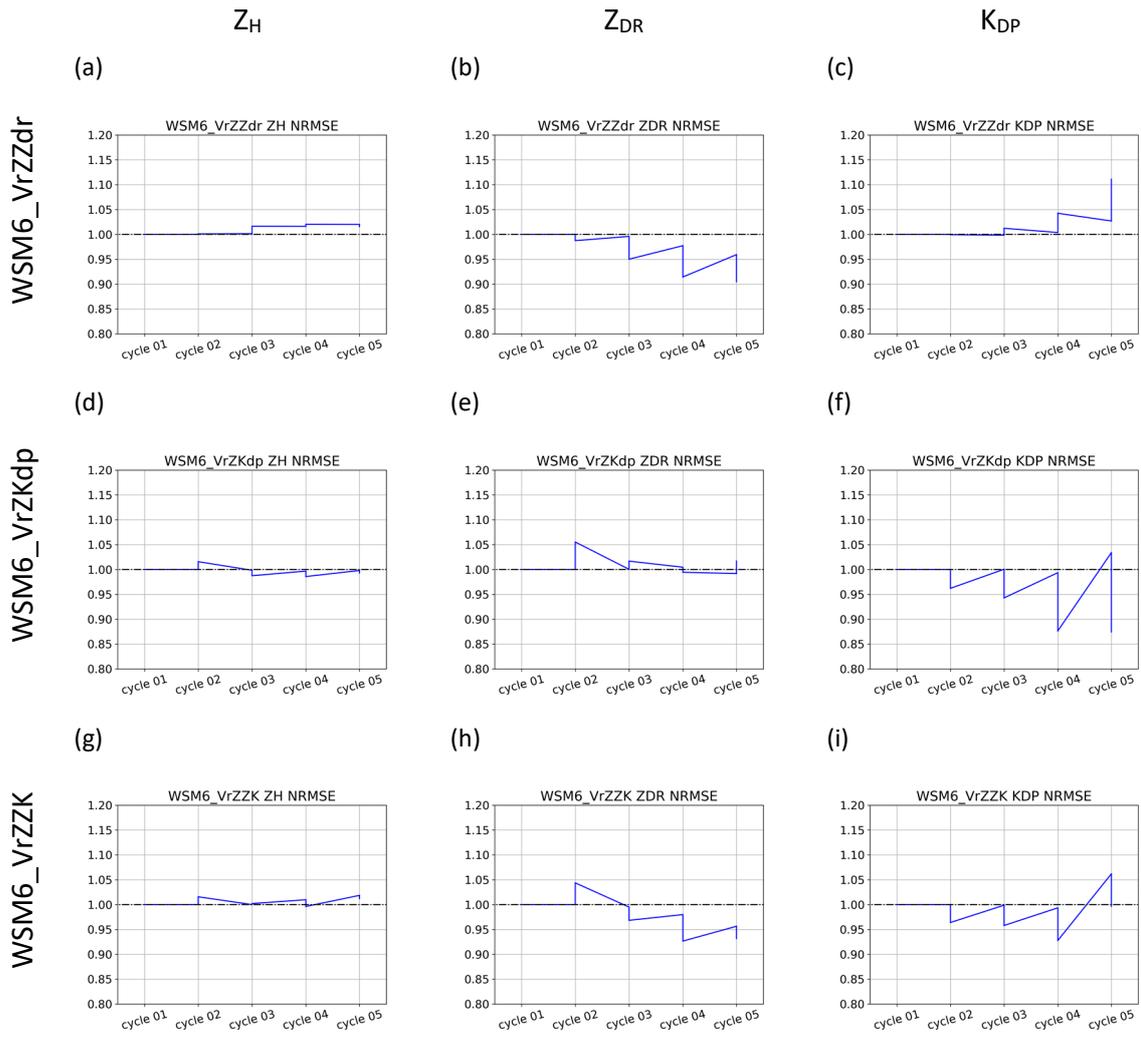


Figure 18 NRMSE of polarimetric parameters in the experiments with WSM6 scheme in the squall line case from 1000 UTC to 1100 UTC on 14<sup>th</sup> June 2008.

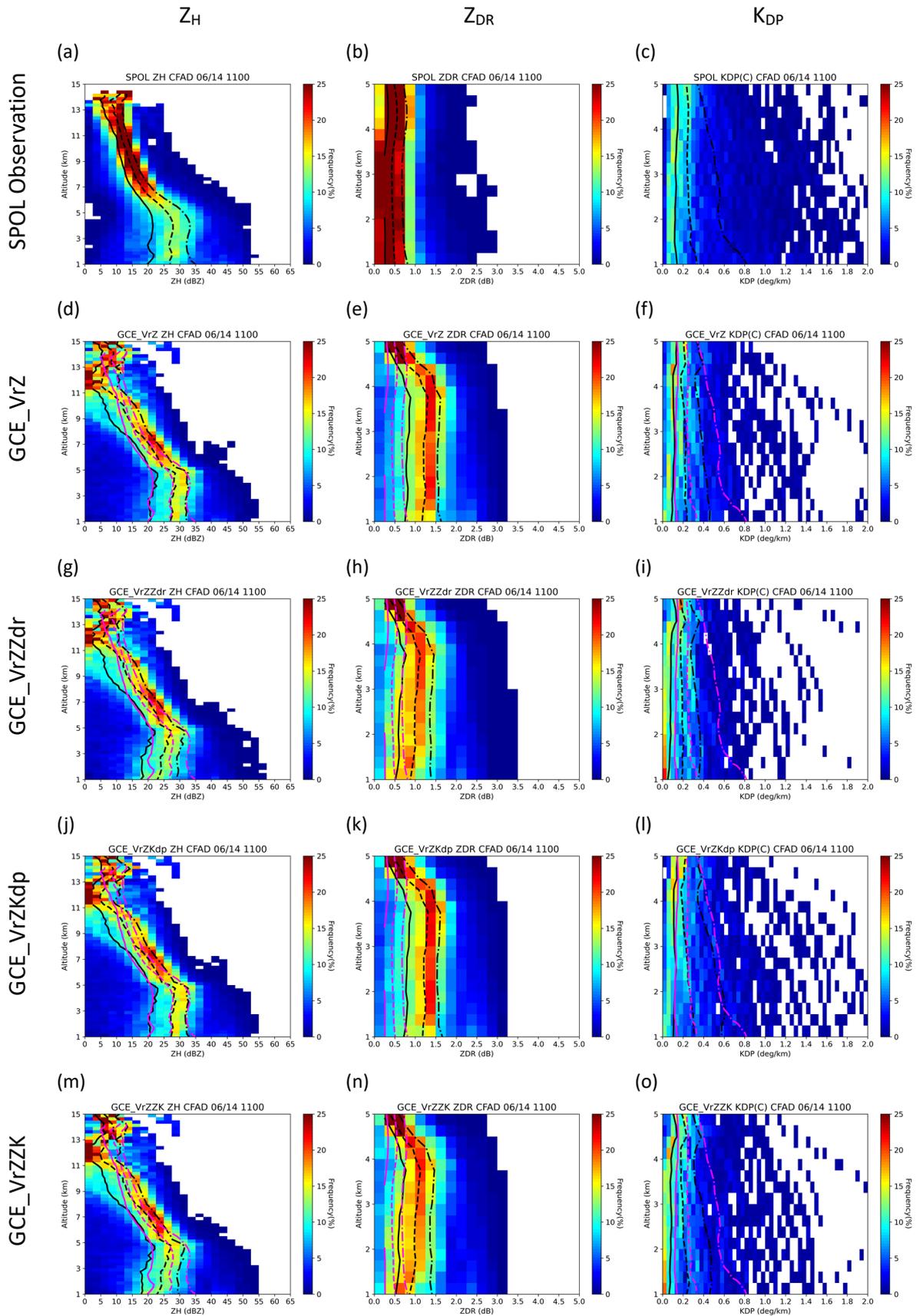


Figure 19 Polarimetric parameter CFADs of SPOL observation and the experiments with GCE scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008. The pink lines are the accumulated 25%, 50% and 75% of SPOL observation.

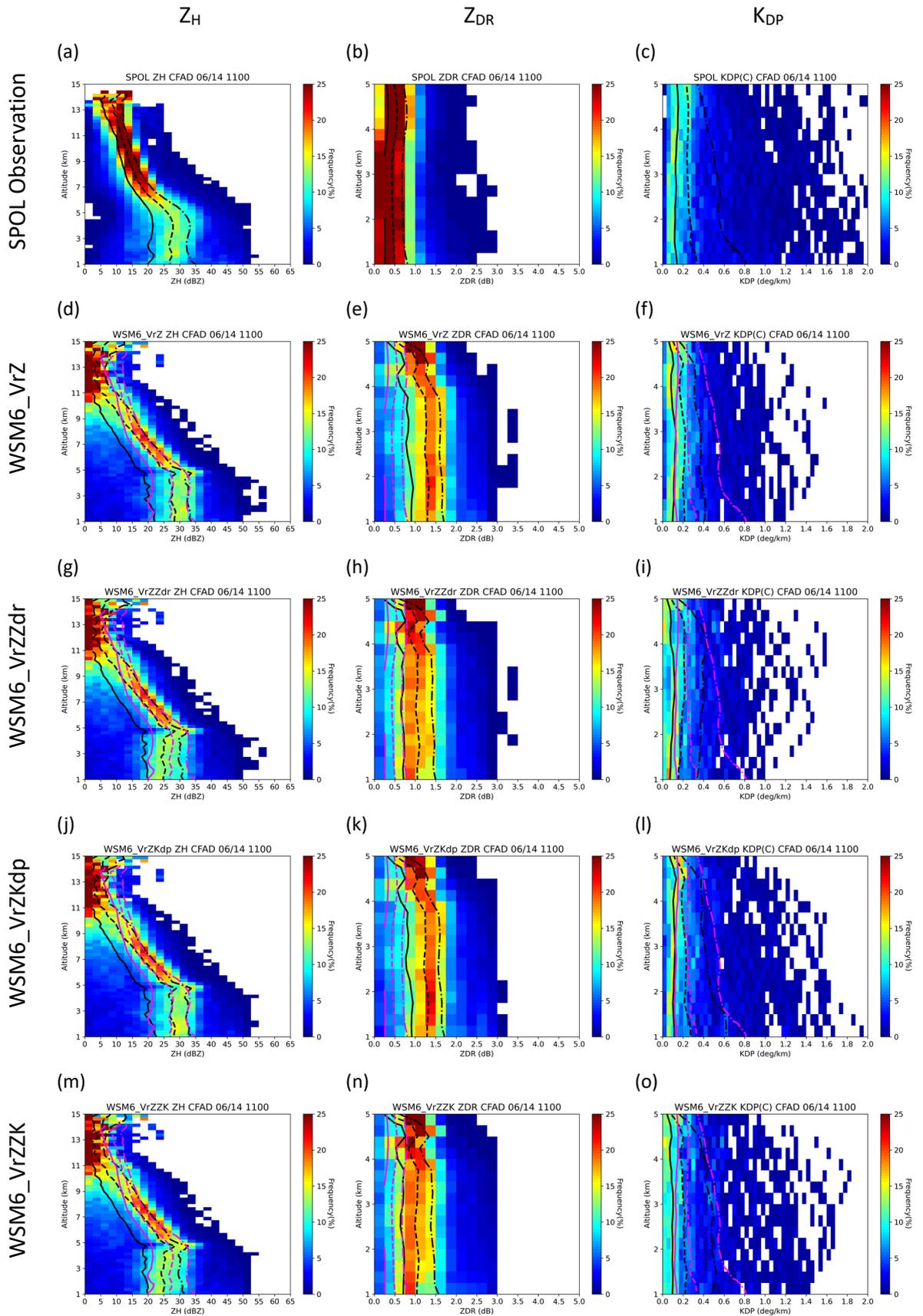


Figure 20 Polarimetric parameter CFADs of SPOL observation and the experiments with WSM6 scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008. The pink lines are the accumulated 25%, 50% and 75% of SPOL observation.

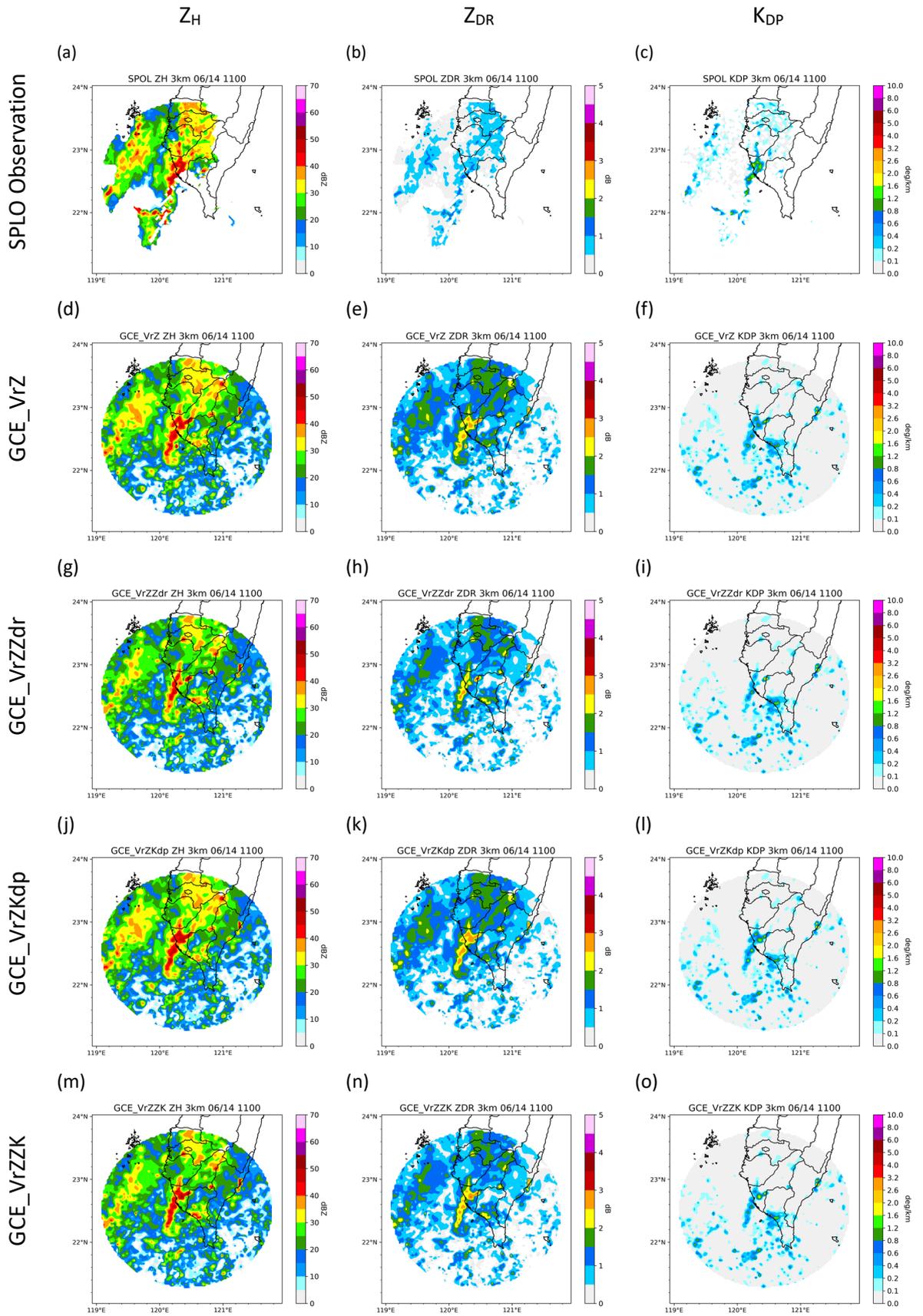


Figure 21 Polarimetric parameters at 3-km height of SPOL observation and the experiments with GCE scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008.

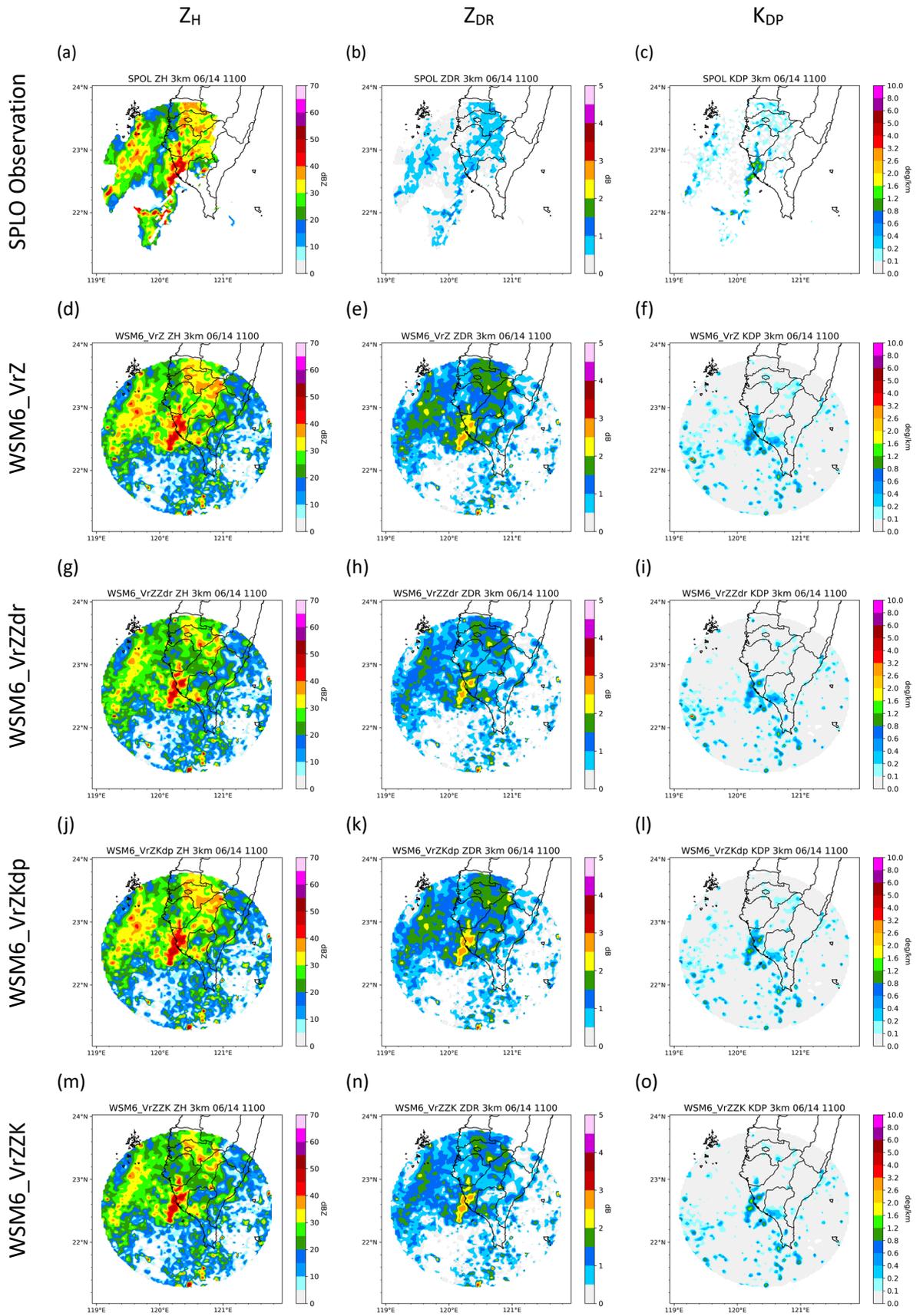


Figure 22 Polarimetric parameter at 3-km height of SPOL observation and the experiments with WSM6 scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008.

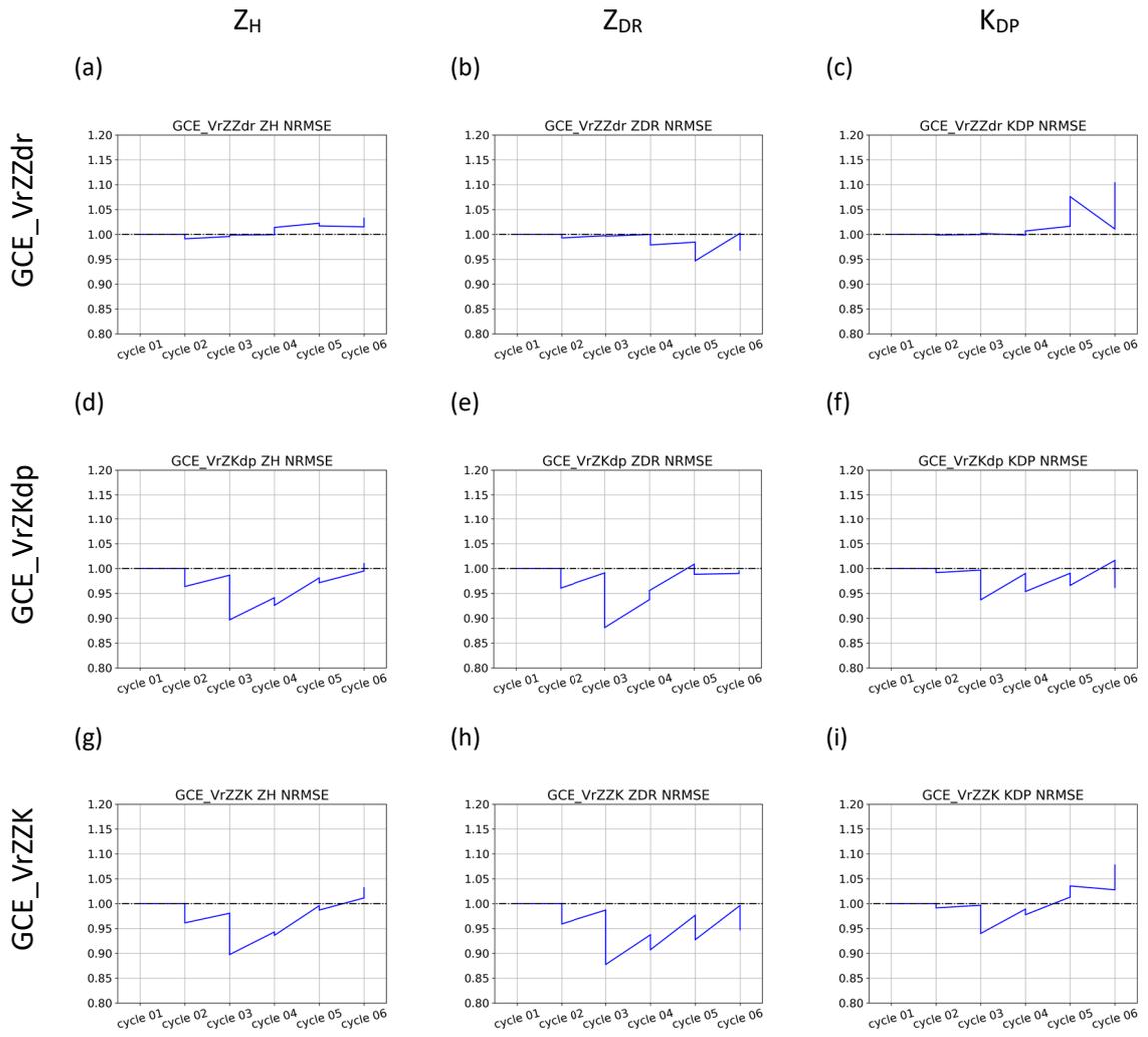


Figure 23 NRMSE of polarimetric parameters in the experiments with GCE scheme in the afternoon thunderstorm case from 0700 UTC to 0800 UTC on 20<sup>th</sup> July 2020.

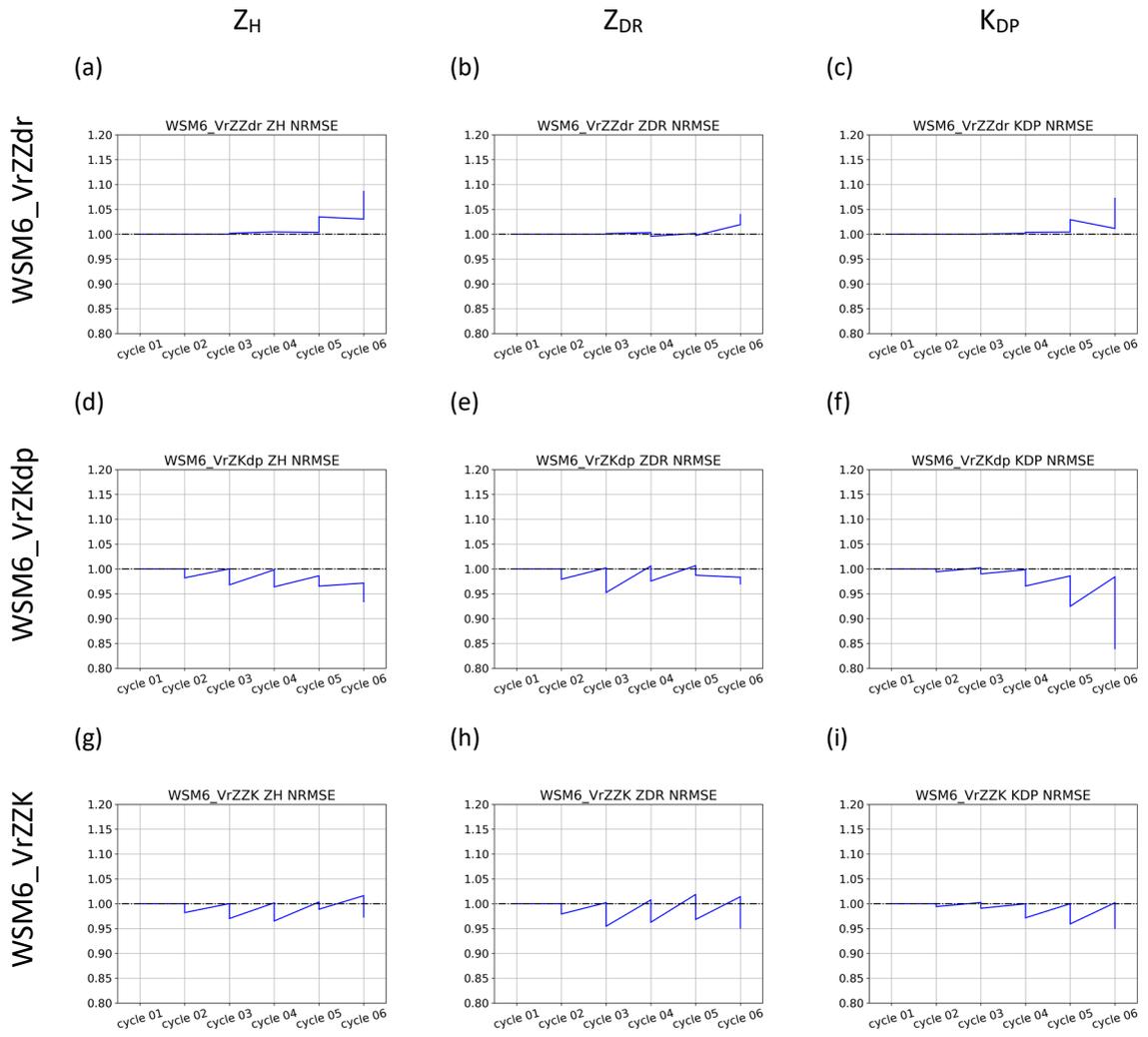


Figure 24 NRMSE of polarimetric parameters in the experiments with WSM6 scheme in the afternoon thunderstorm case from 0700 UTC to 0800 UTC on 20<sup>th</sup> July 2020.

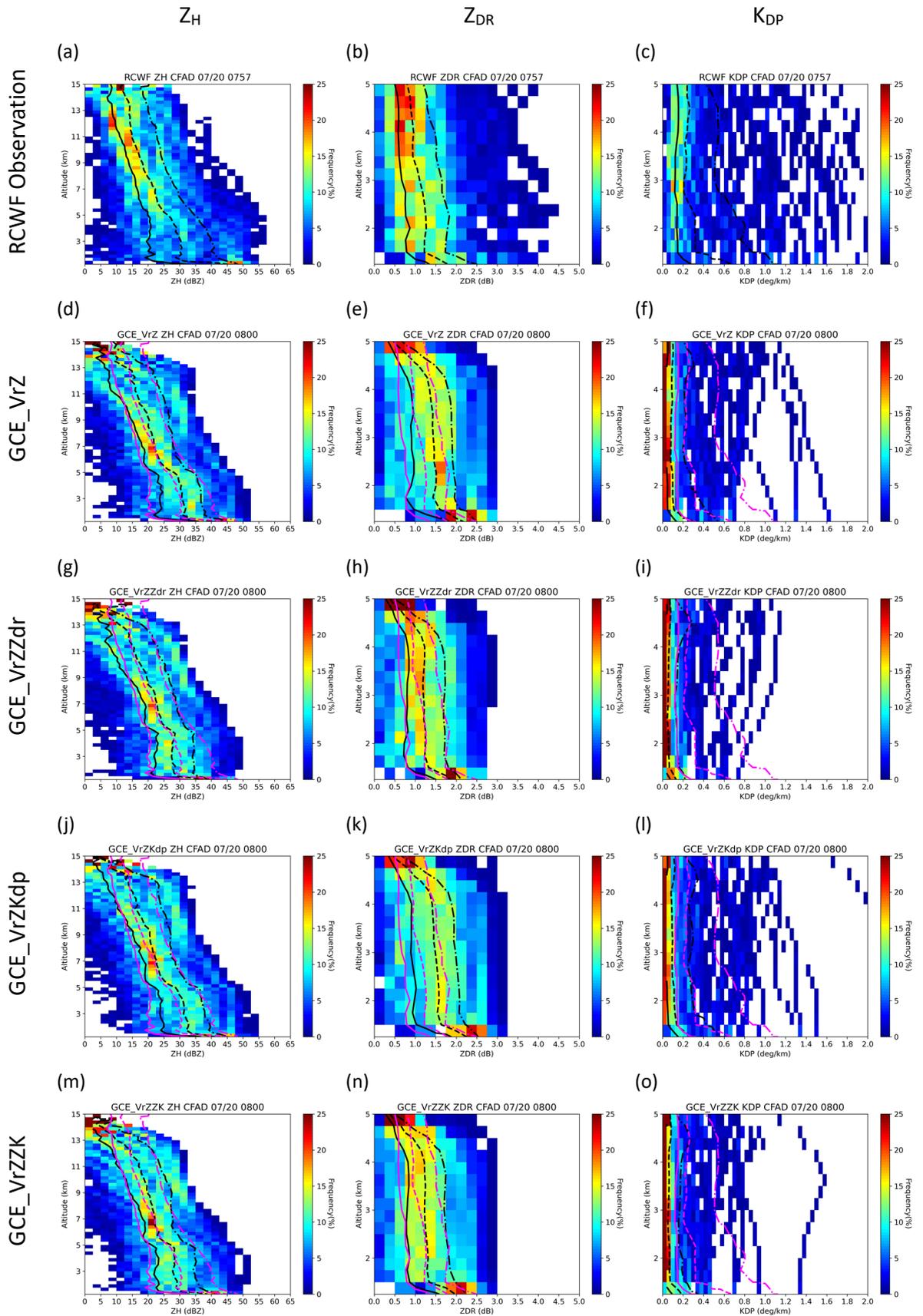


Figure 25 Polarimetric parameter CFADs of RCWF observation and the experiments with GCE scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020. The pink lines are the accumulated 25%, 50% and 75% of RCWF observation.

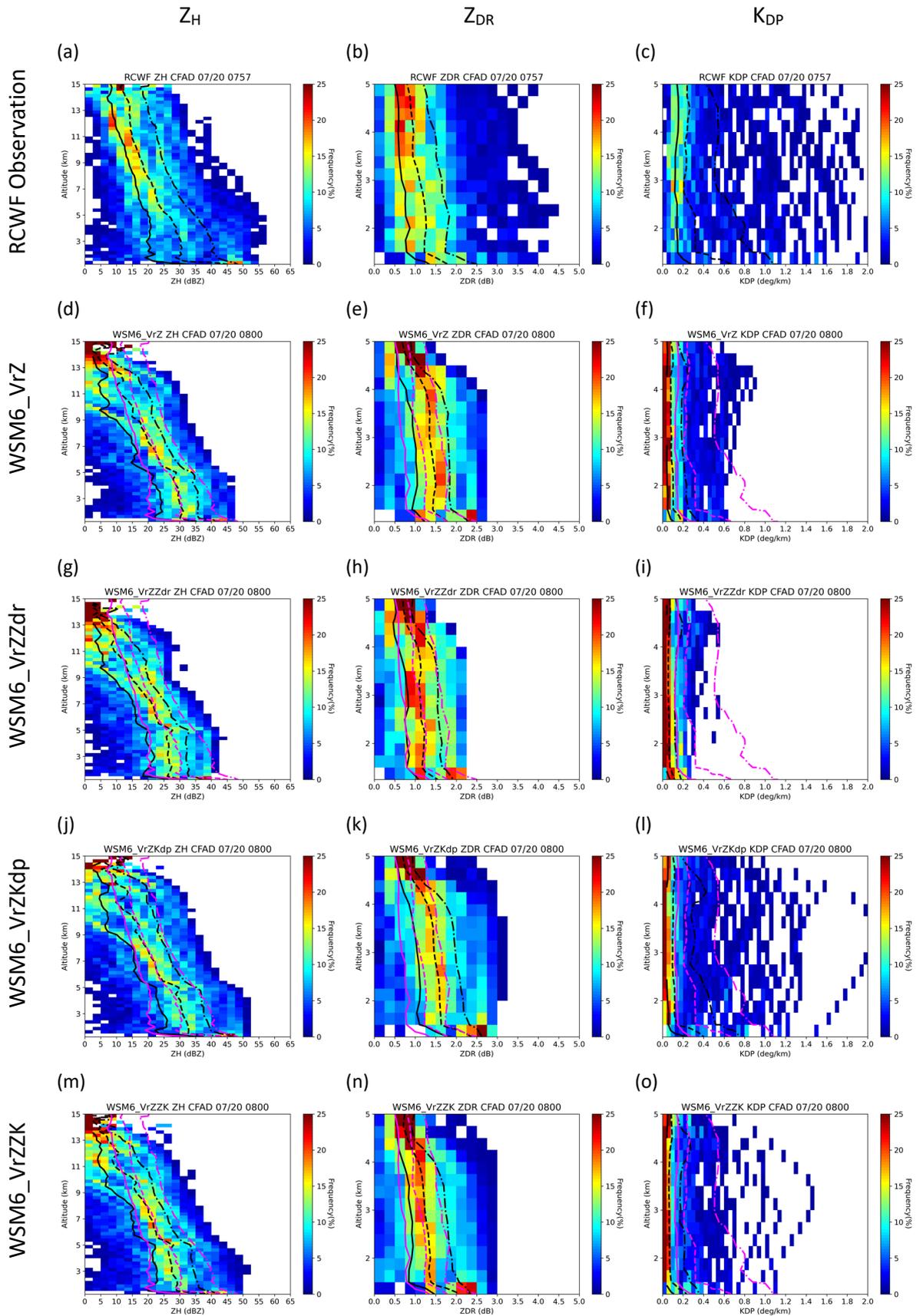


Figure 26 Polarimetric parameter CFADs of RCWF observation and the experiments with WSM6 scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020. The pink lines are the accumulated 25%, 50% and 75% of RCWF observation.

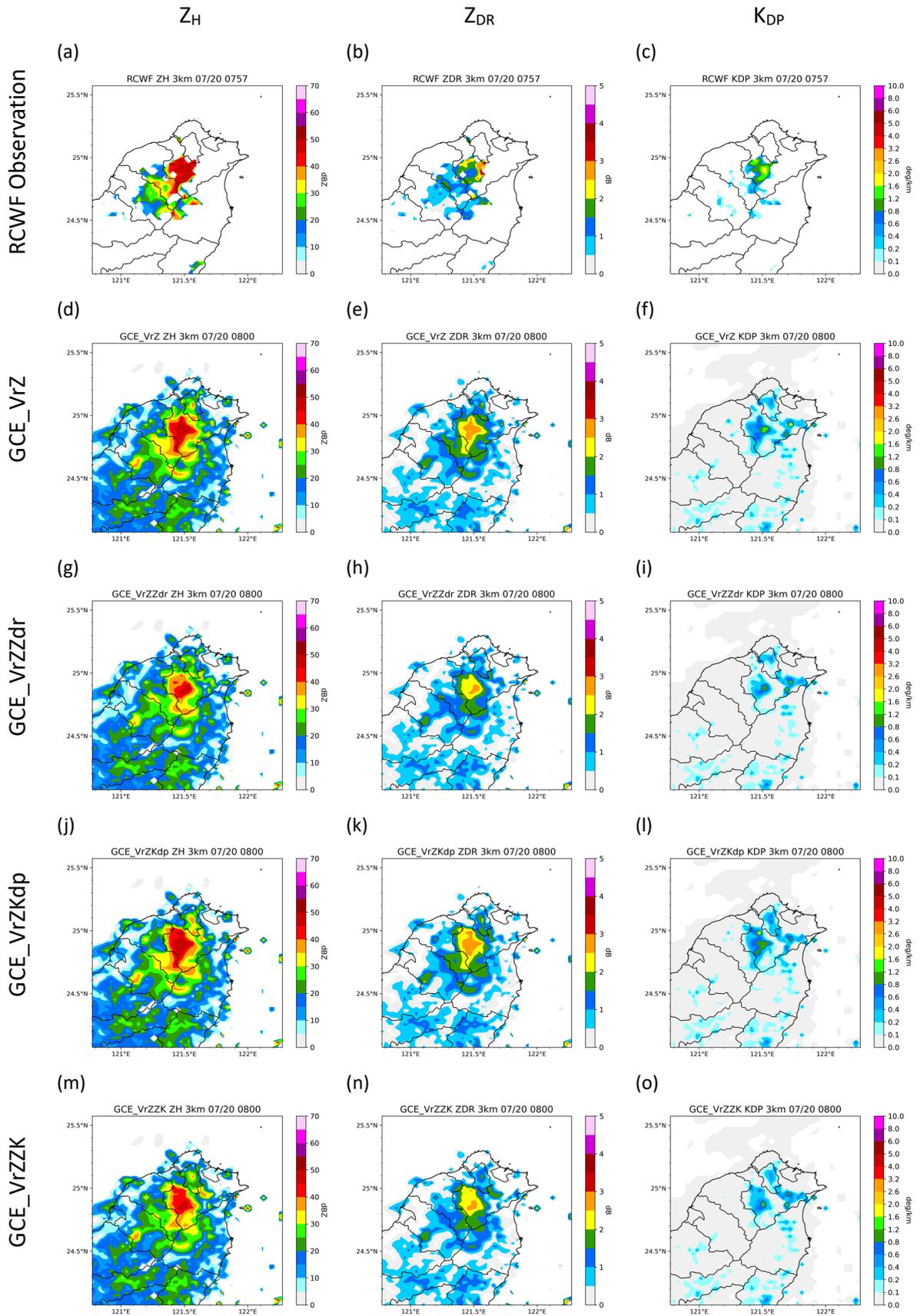


Figure 27 Polarimetric parameter at 3-km height of RCWF observation and the experiments with GCE scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020.

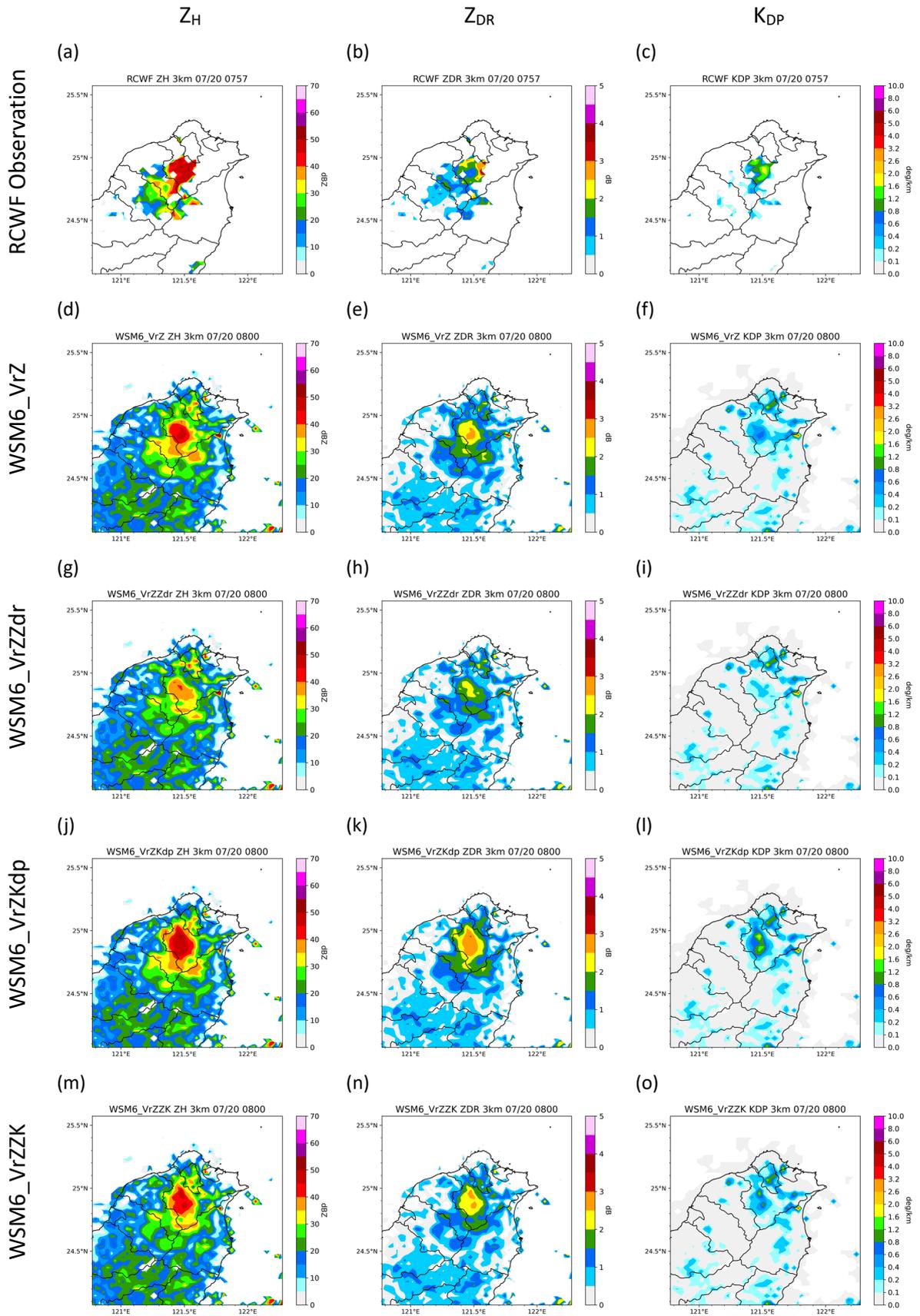


Figure 28 Polarimetric parameter at 3-km height of RCWF observation and the experiments with WSM6 scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020.

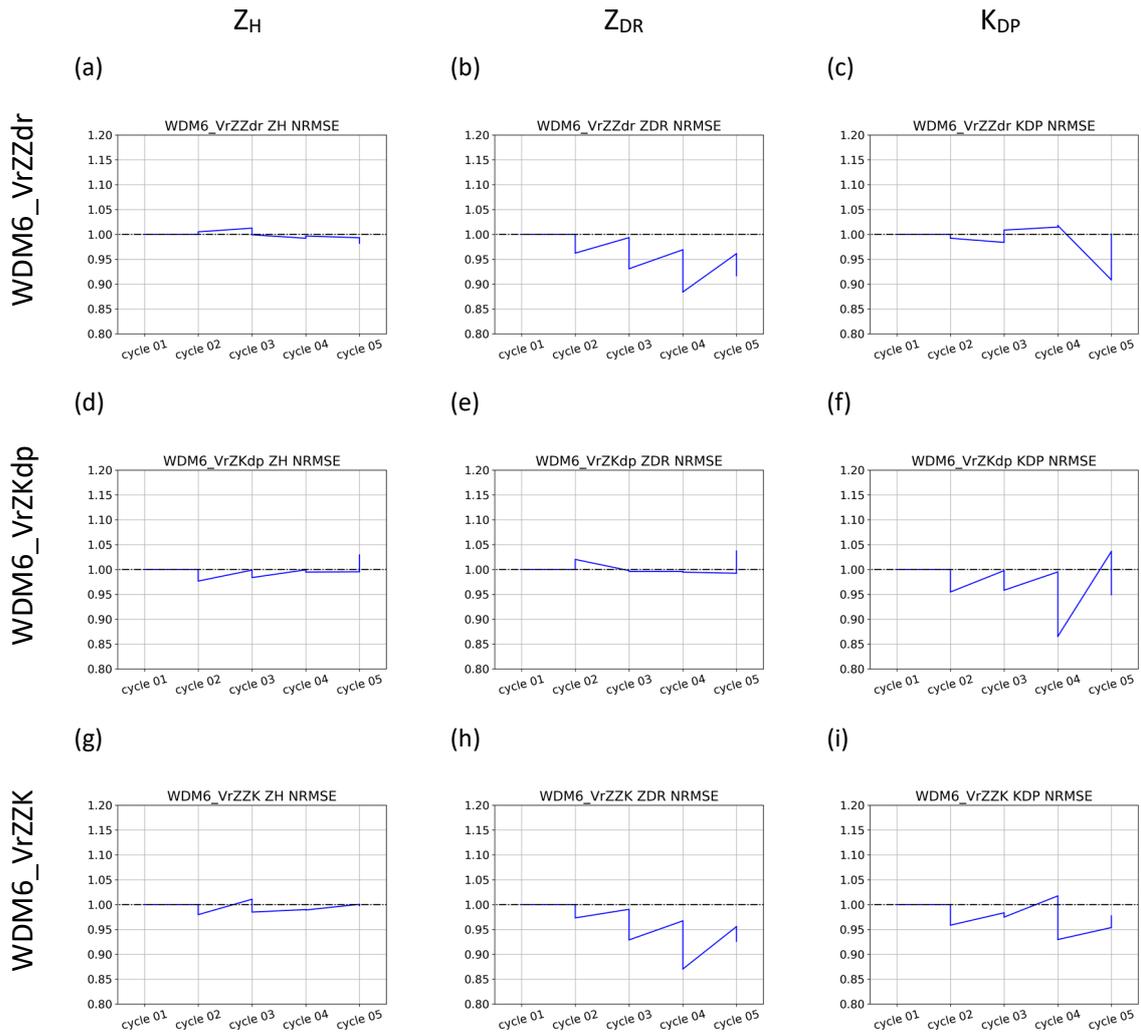


Figure 29 NRMSE of polarimetric parameter in the experiments with WDM6 scheme in the squall line case from 1000 UTC to 1100 UTC on 14<sup>th</sup> June 2008.

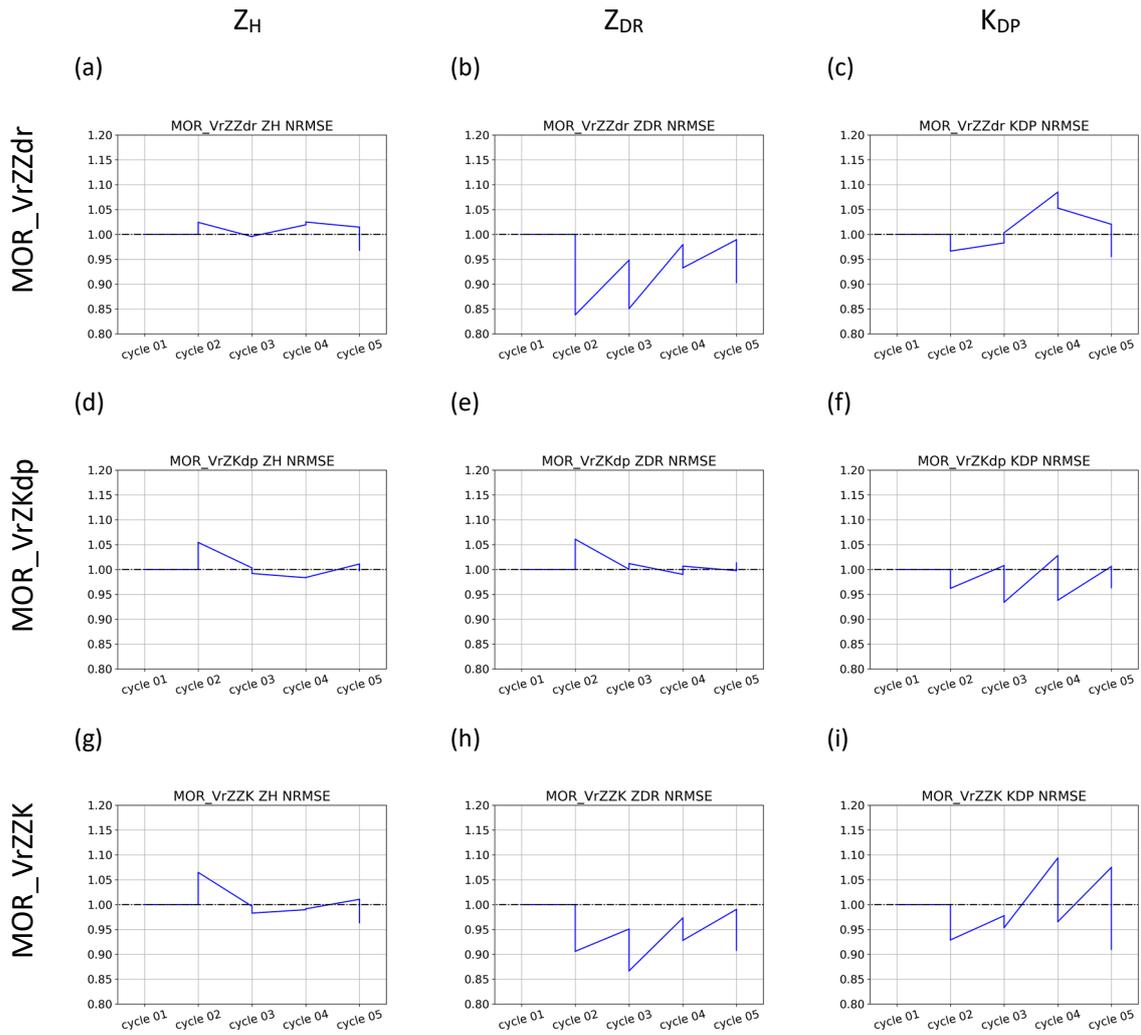


Figure 30 NRMSE of polarimetric parameters in the experiments with MOR scheme in the squall line case from 1000 UTC to 1100 UTC on 14<sup>th</sup> June 2008.

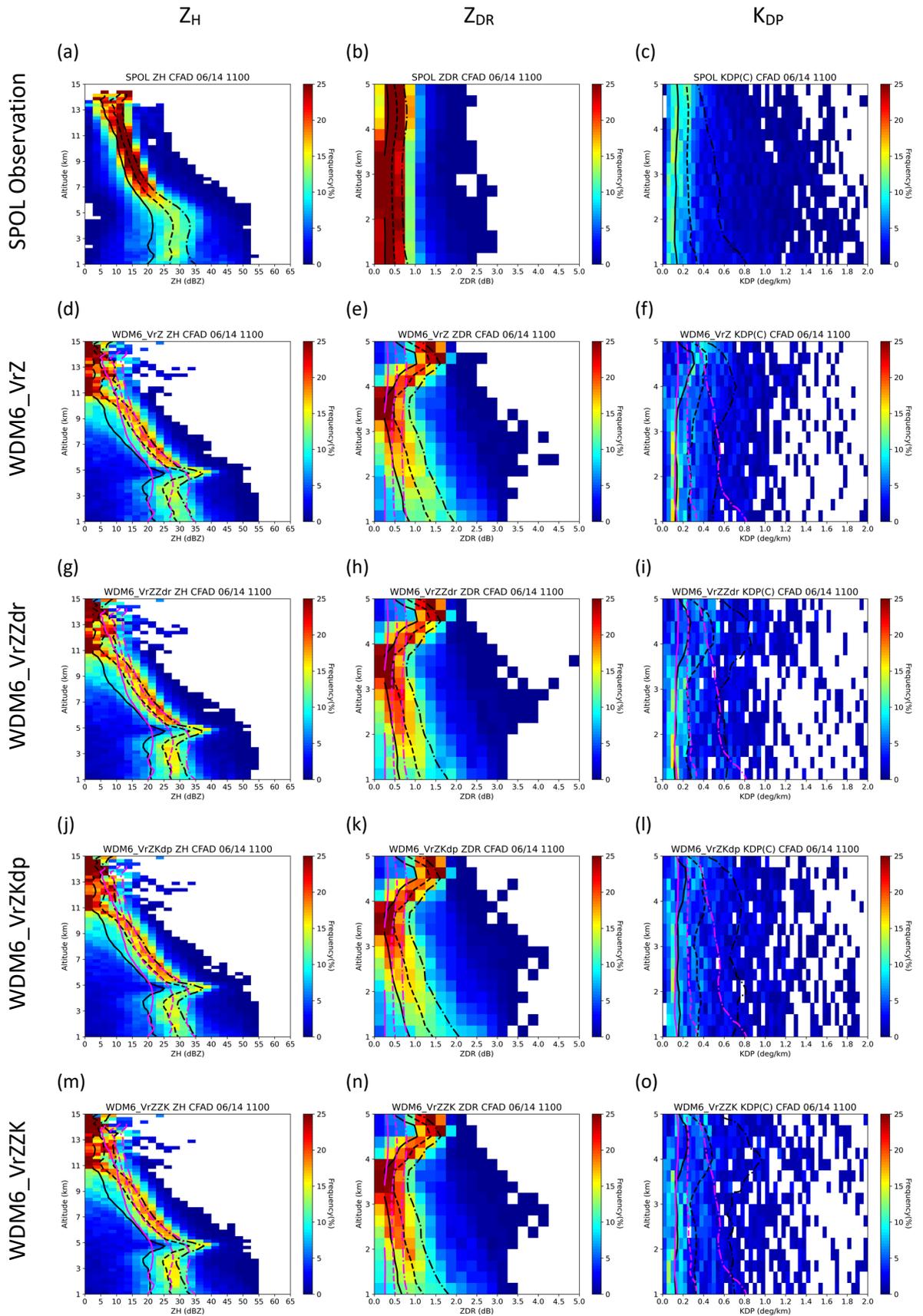


Figure 31 Polarimetric parameter CFADs of SPOL observation and the experiments with WDM6 scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008. The pink lines are the accumulated 25%, 50% and 75% of SPOL observation.

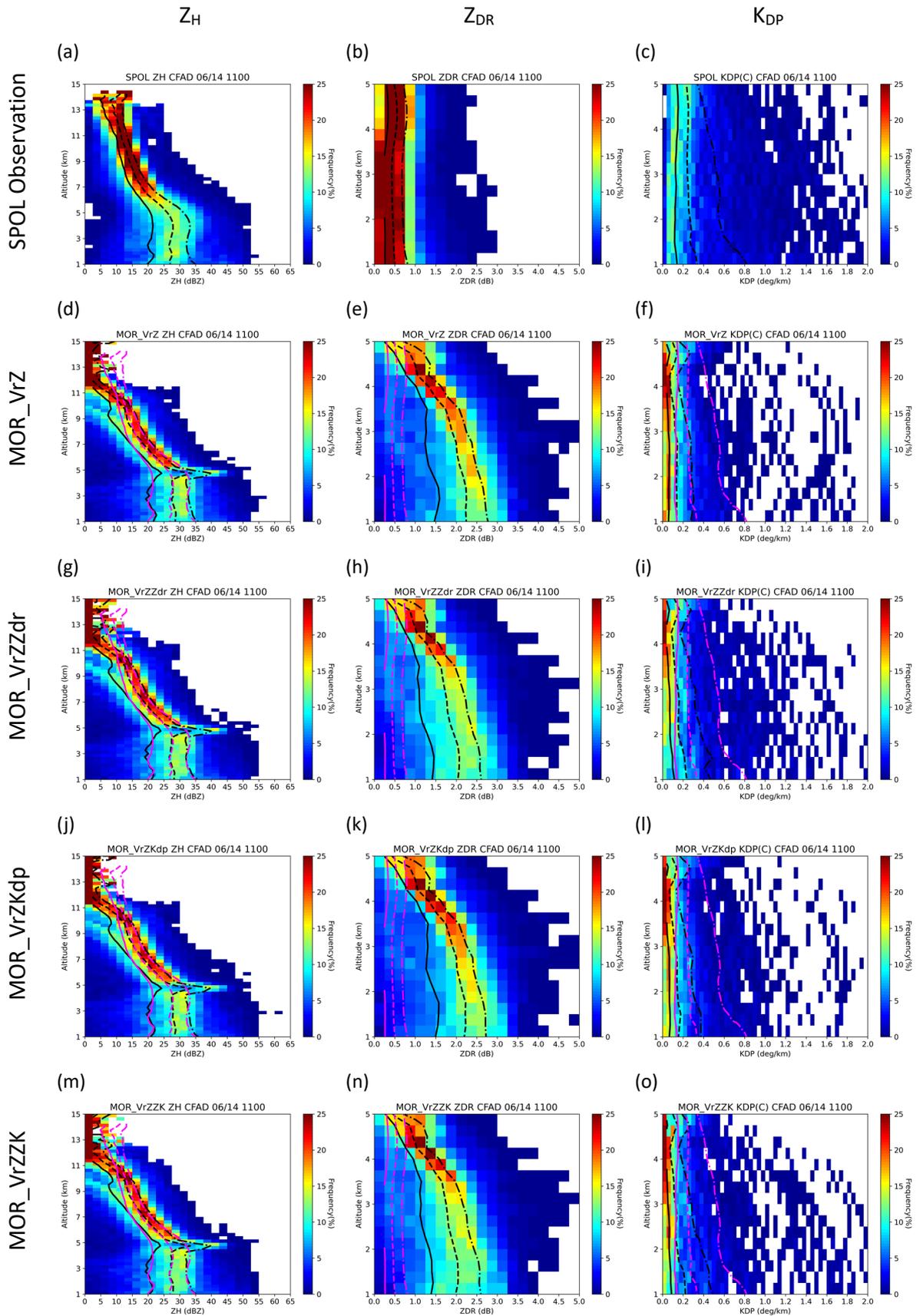


Figure 32 Polarimetric parameter CFADs of SPOL observation and the experiments with MOR scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008. The pink lines are the accumulated 25%, 50% and 75% of SPOL observation.

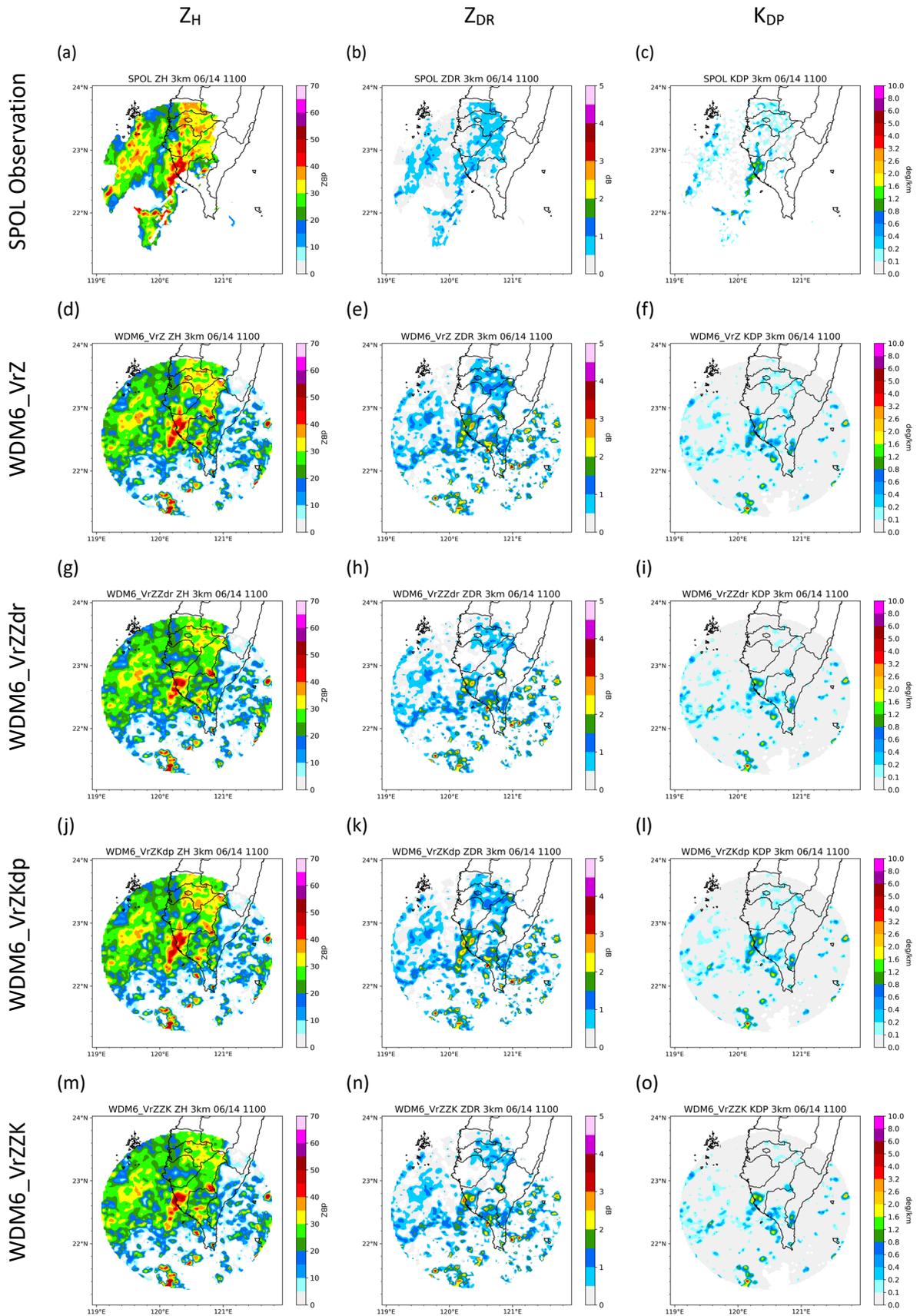


Figure 33 Polarimetric parameter at 3-km height of SPOL observation and the experiments with WDM6 scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008.

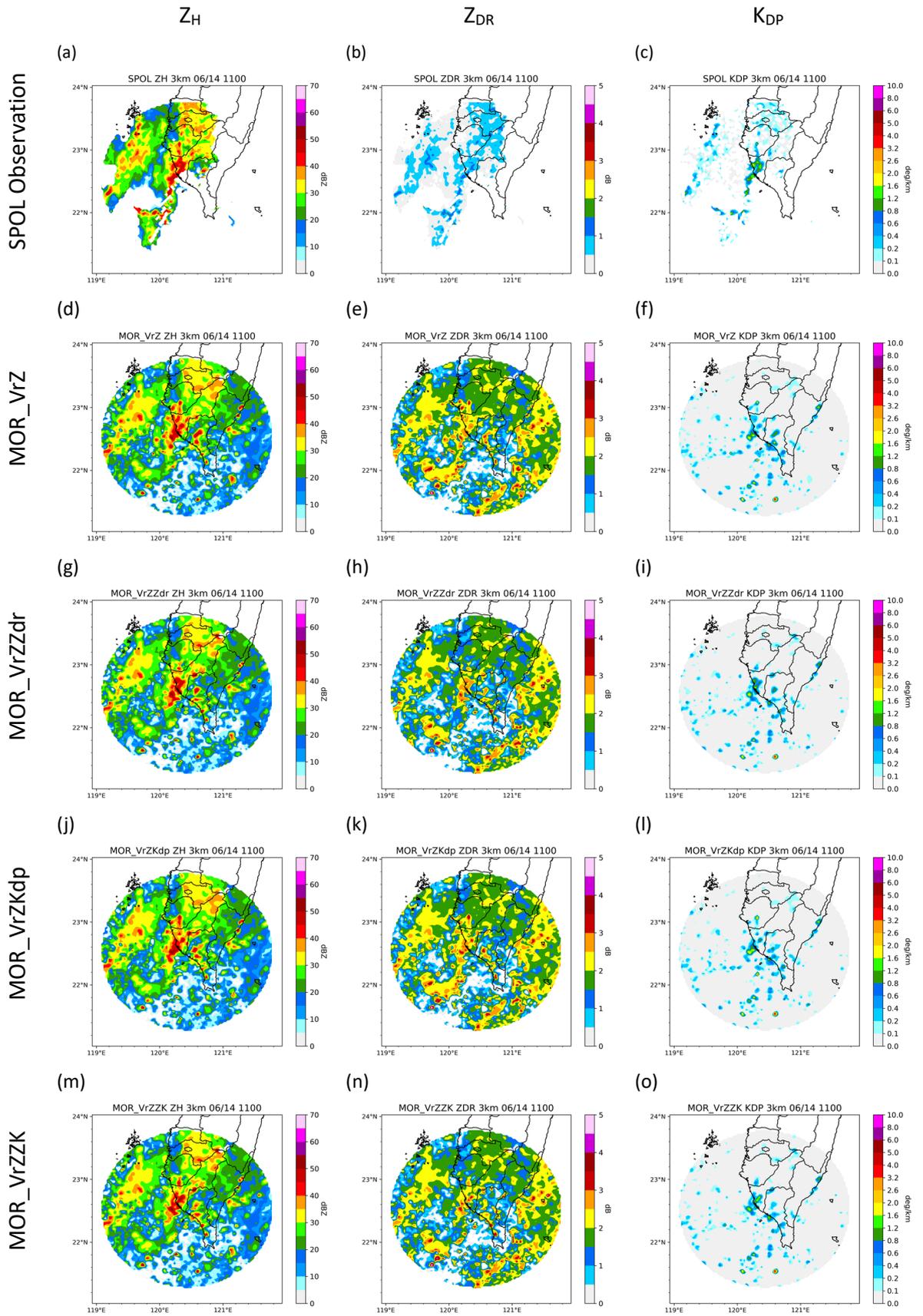


Figure 34 Polarimetric parameter at 3-km height of SPOL observation and the experiments with MOR scheme in the squall line case at 1100 UTC 14<sup>th</sup> June 2008.

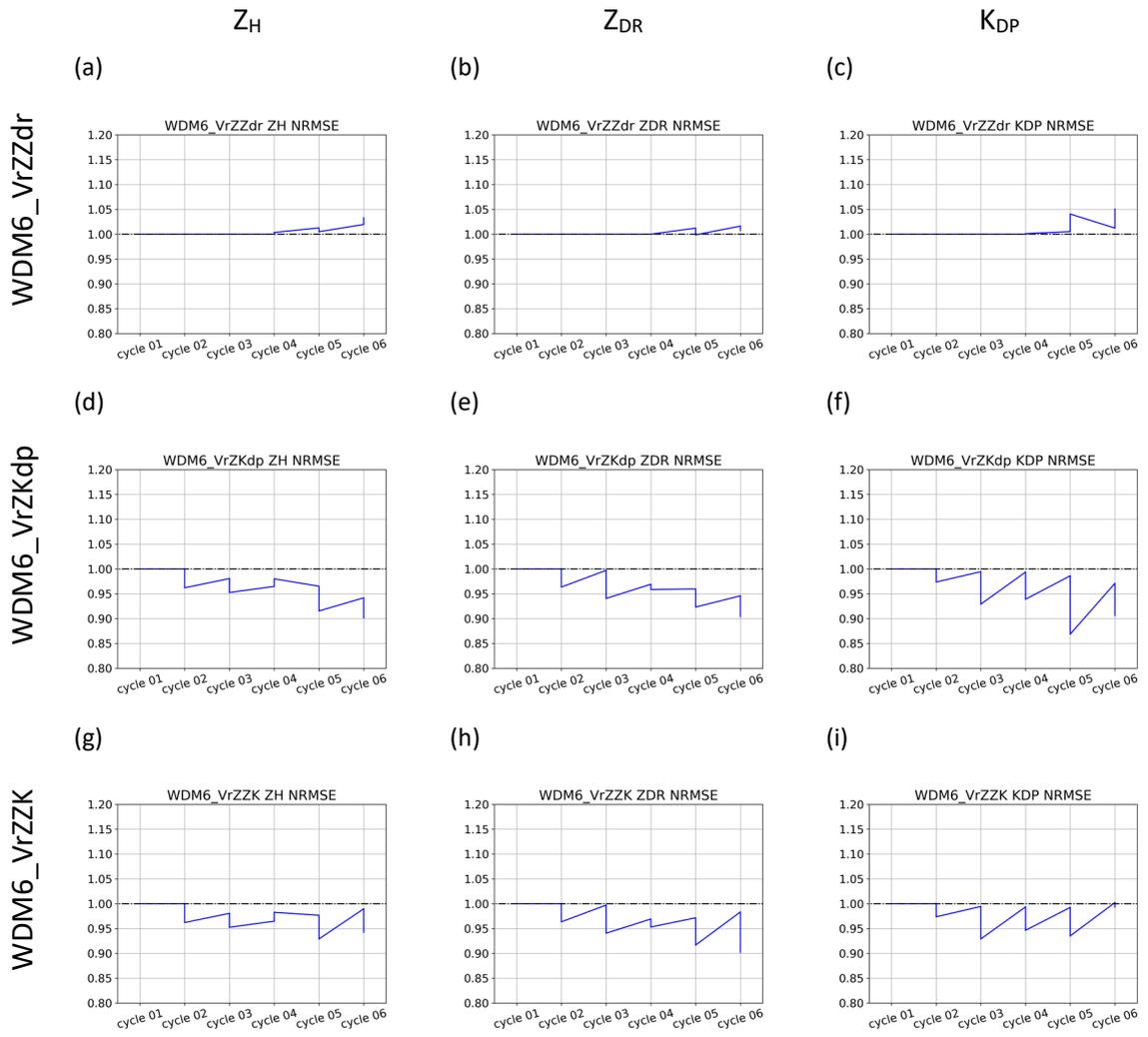


Figure 35 NRMSE of polarimetric parameters in the experiments with WDM6 scheme in the afternoon thunderstorm case from 0700 UTC to 0800 UTC on 20<sup>th</sup> July 2020.

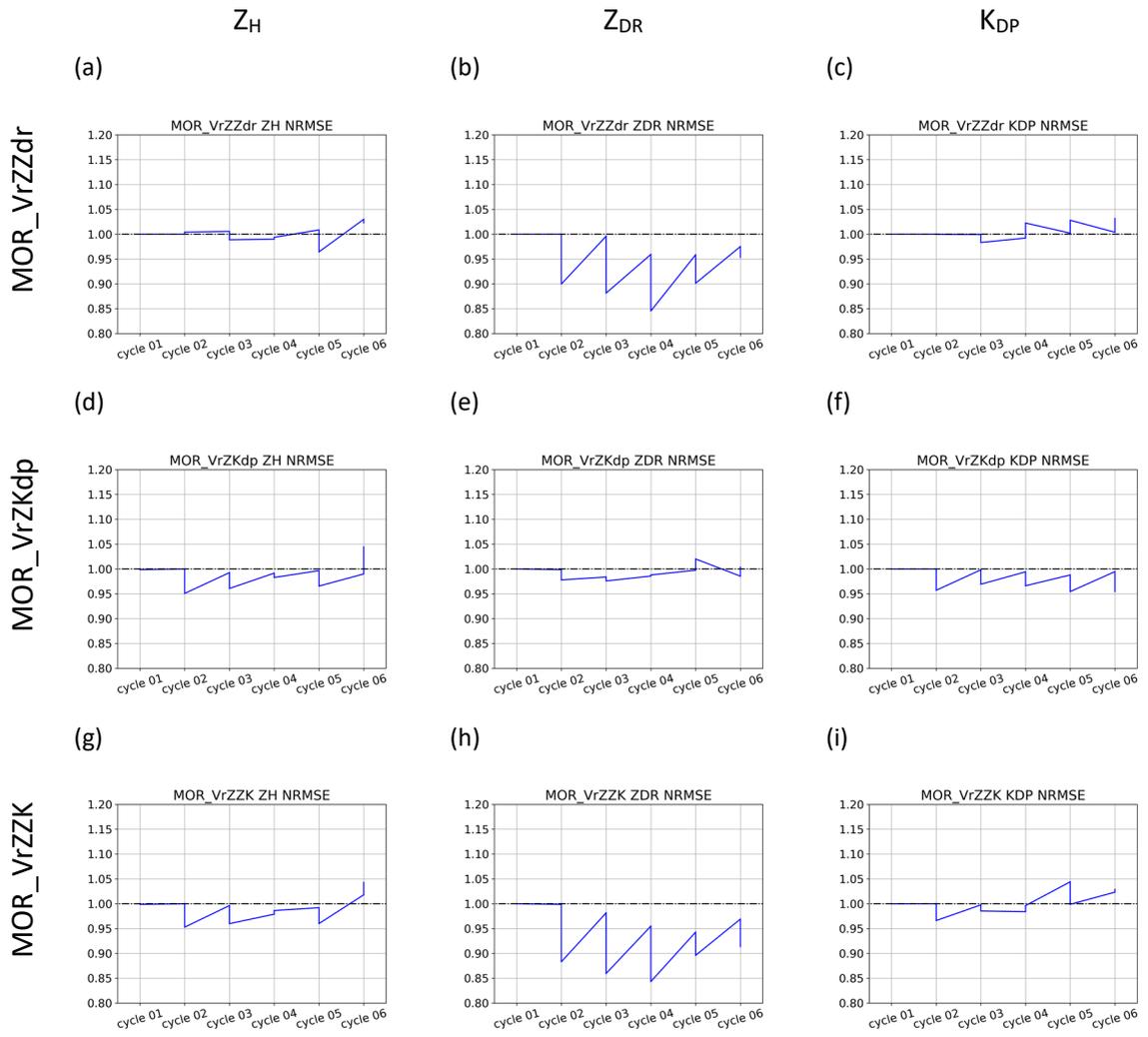


Figure 36 NRMSE of polarimetric parameters in the experiments with MOR scheme in the afternoon thunderstorm case from 0700 UTC to 0800 UTC on 20<sup>th</sup> July 2020.

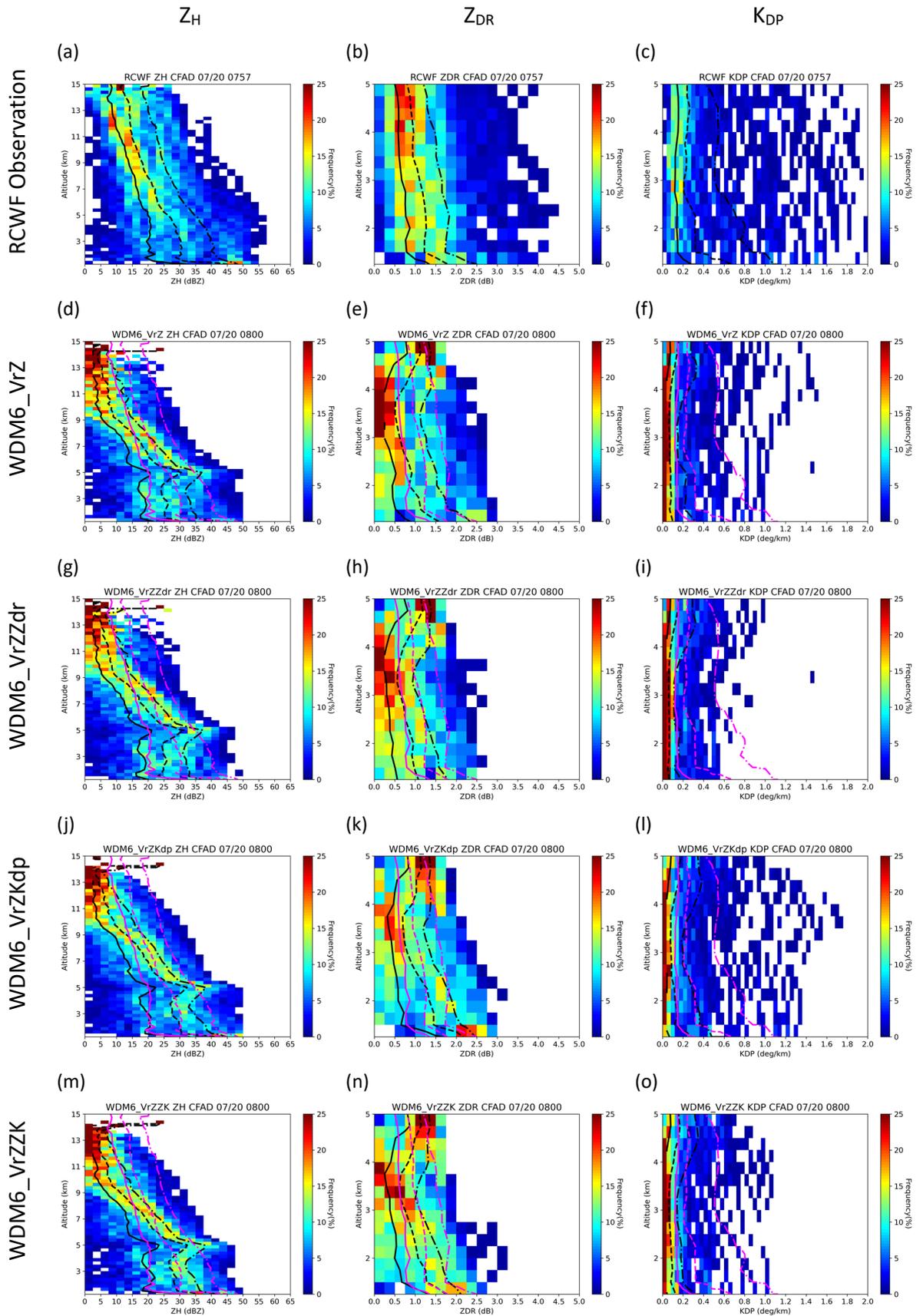


Figure 37 Polarimetric parameter CFADs of RCWF observation and the experiments with WDM6 scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020. The pink lines are the accumulated 25%, 50% and 75% of RCWF observation.

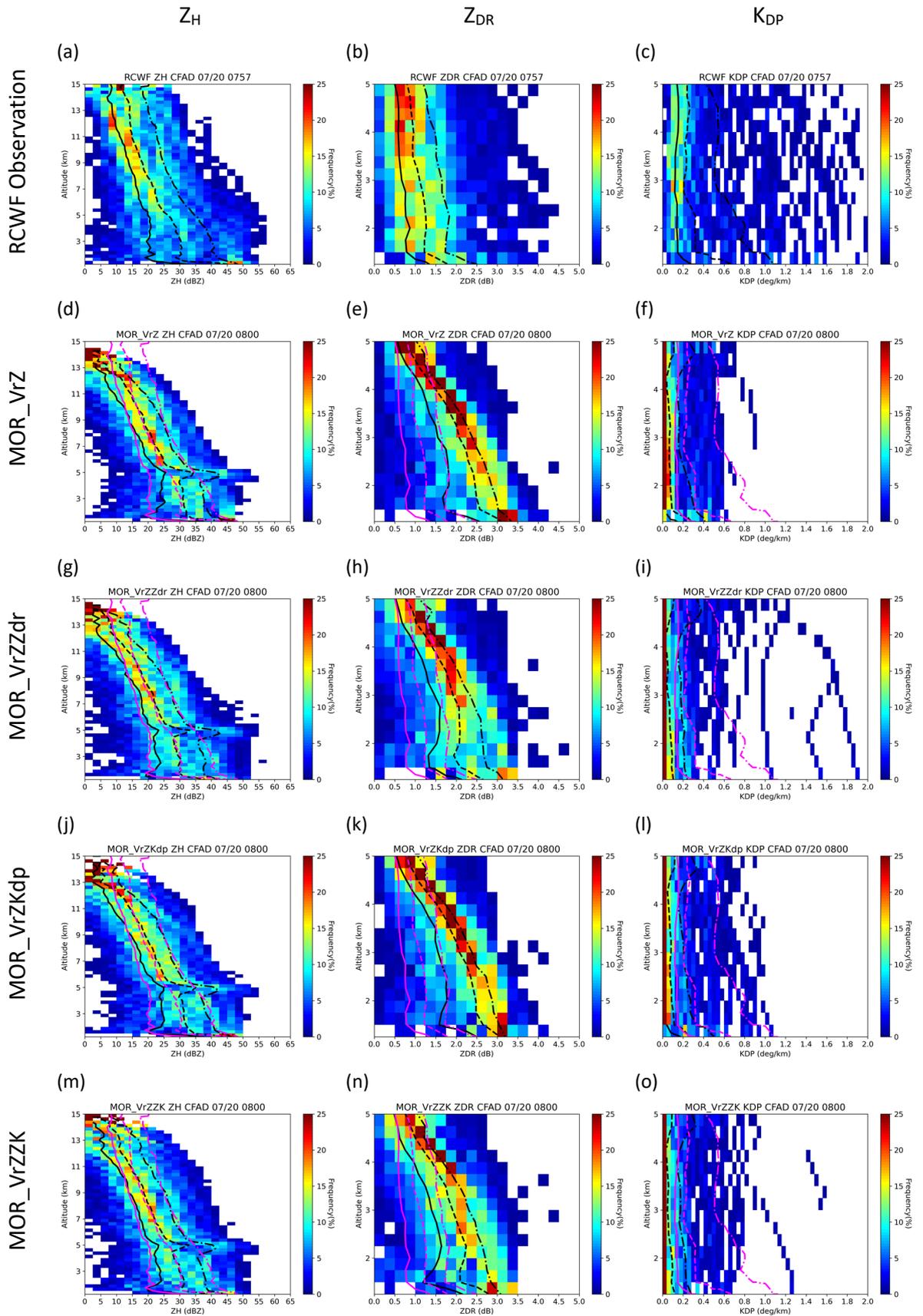


Figure 38 Polarimetric parameter CFADs of RCWF observation and the experiments with MOR scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020. The pink lines are the accumulated 25%, 50% and 75% of RCWF observation.

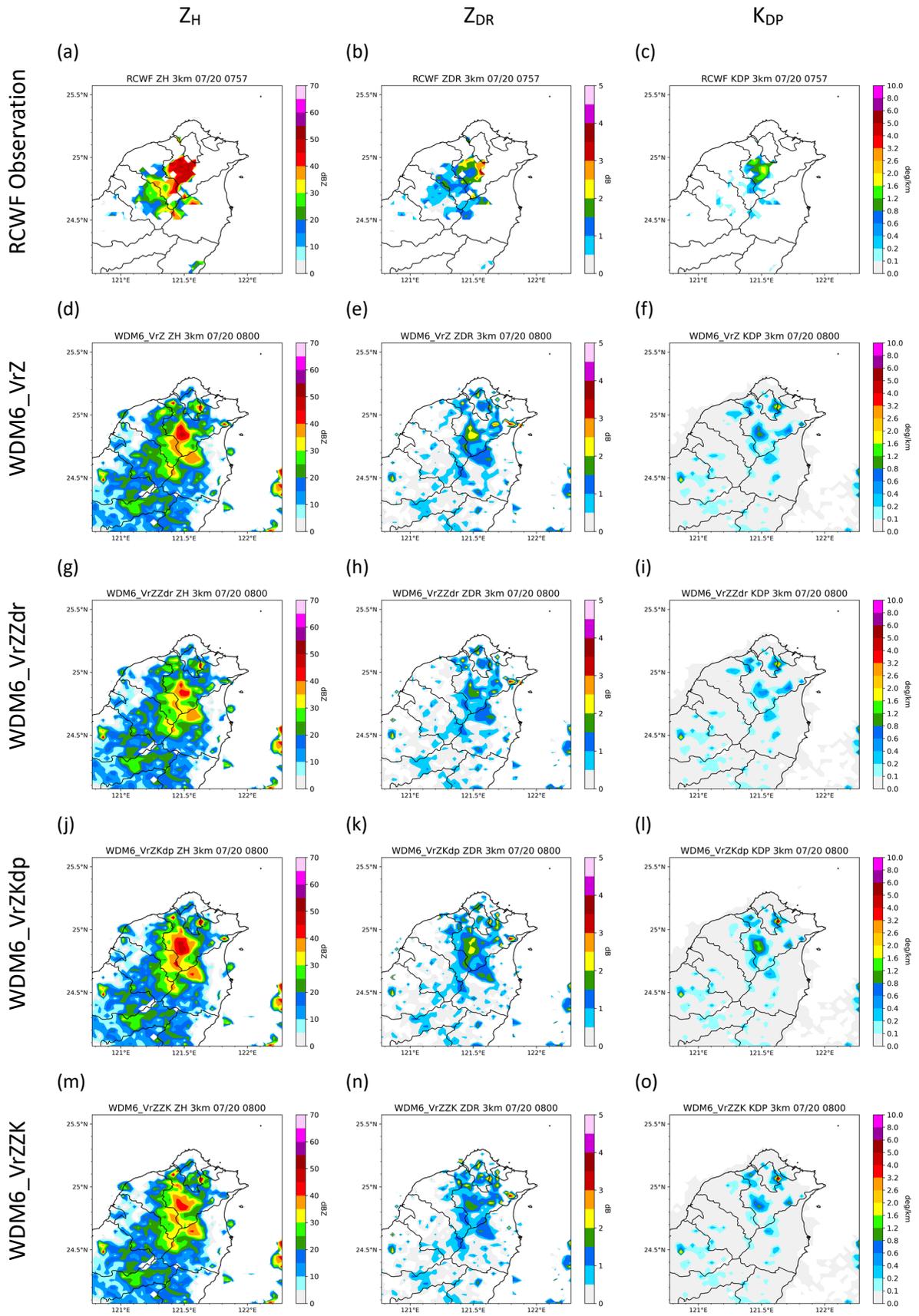


Figure 39 Polarimetric parameter at 3-km height of RCWF observation and the experiments with WDM6 scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020.

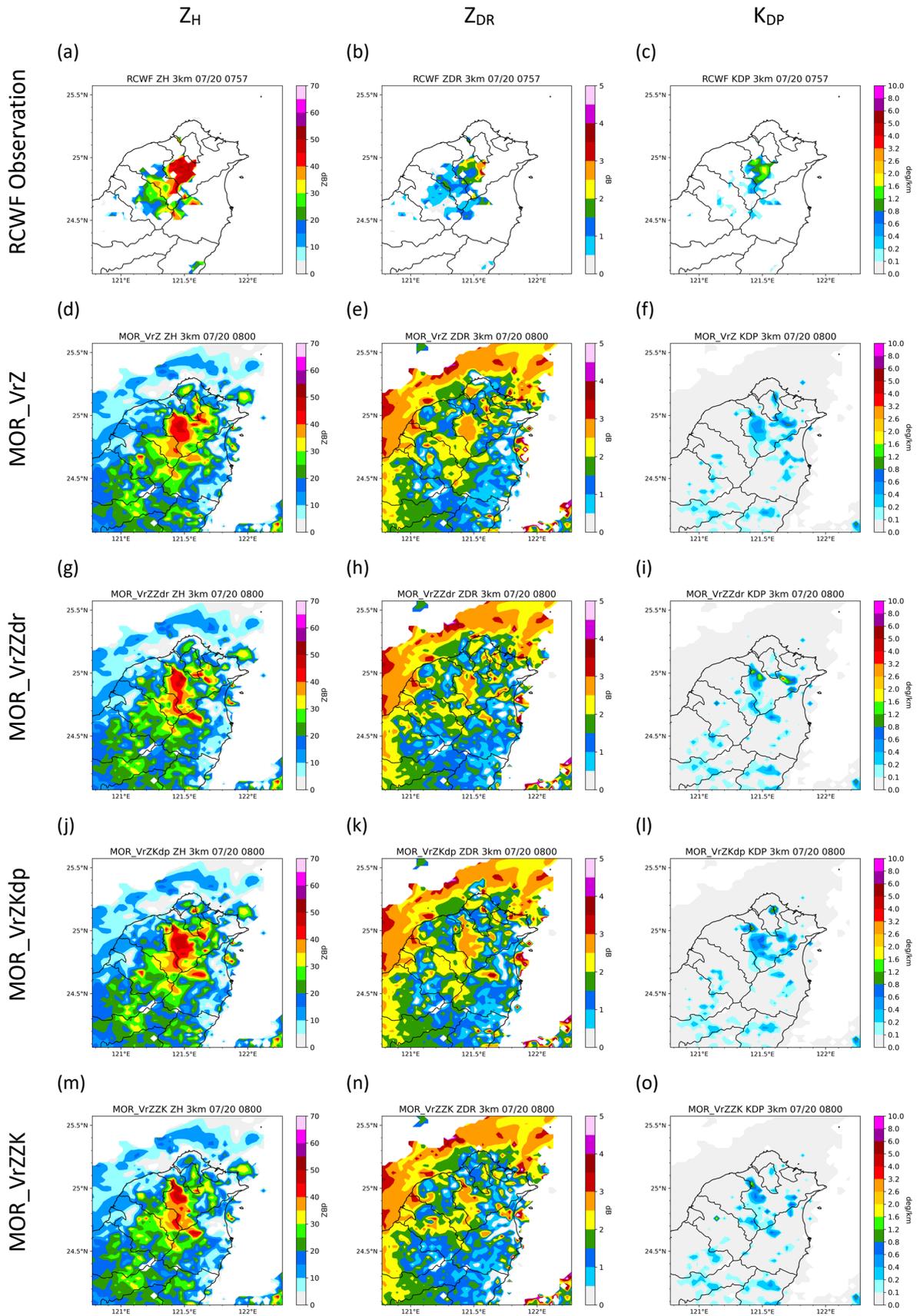


Figure 40 Polarimetric parameter at 3-km height of RCWF observation and the experiments with MOR scheme in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020.

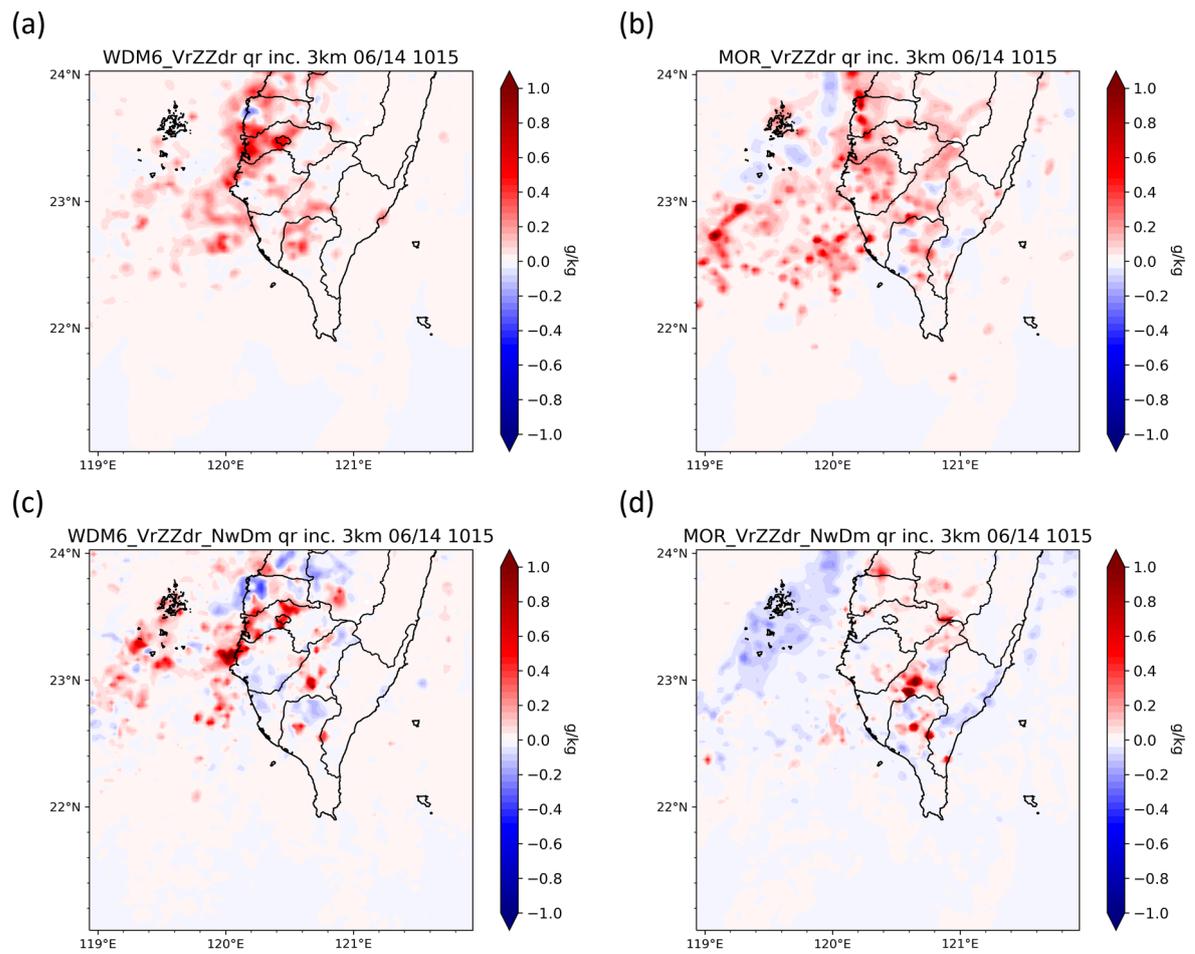


Figure 41 Increment of  $q_r$  at 3-km height in the squall line case at 1015 UTC 14<sup>th</sup> June 2008. (a) WDM6\_VrZZdr (b) MOR\_VrZZdr (c) MOR\_VrZZdr\_NwDm (d) MOR\_VrZZdr\_NwDm.

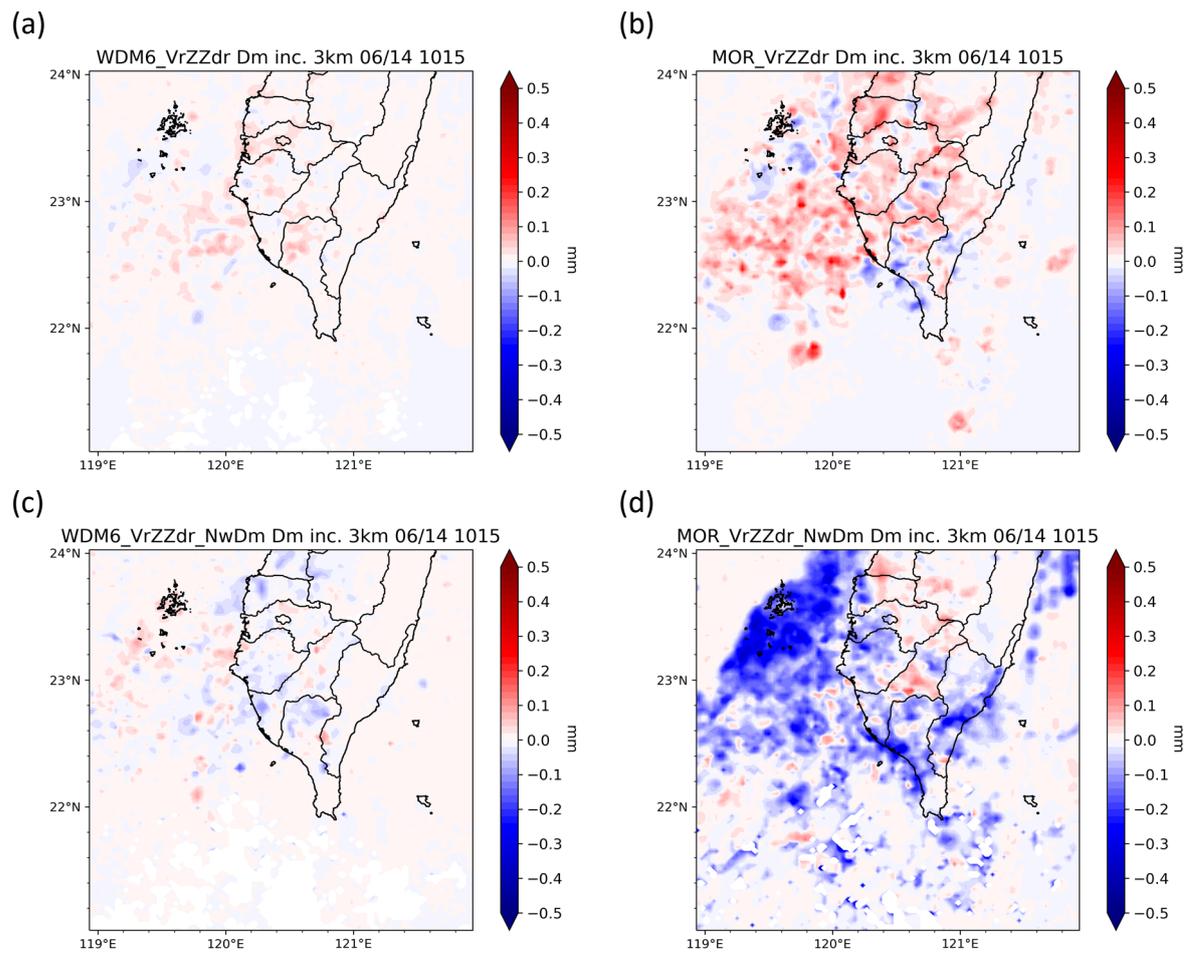


Figure 42 Increment of  $D_m$  at 3-km height in the squall line case at 1015 UTC 14<sup>th</sup> June 2008. (a) WDM6\_VrZZdr (b) MOR\_VrZZdr (c) MOR\_VrZZdr\_NwDm (d) MOR\_VrZZdr\_NwDm.

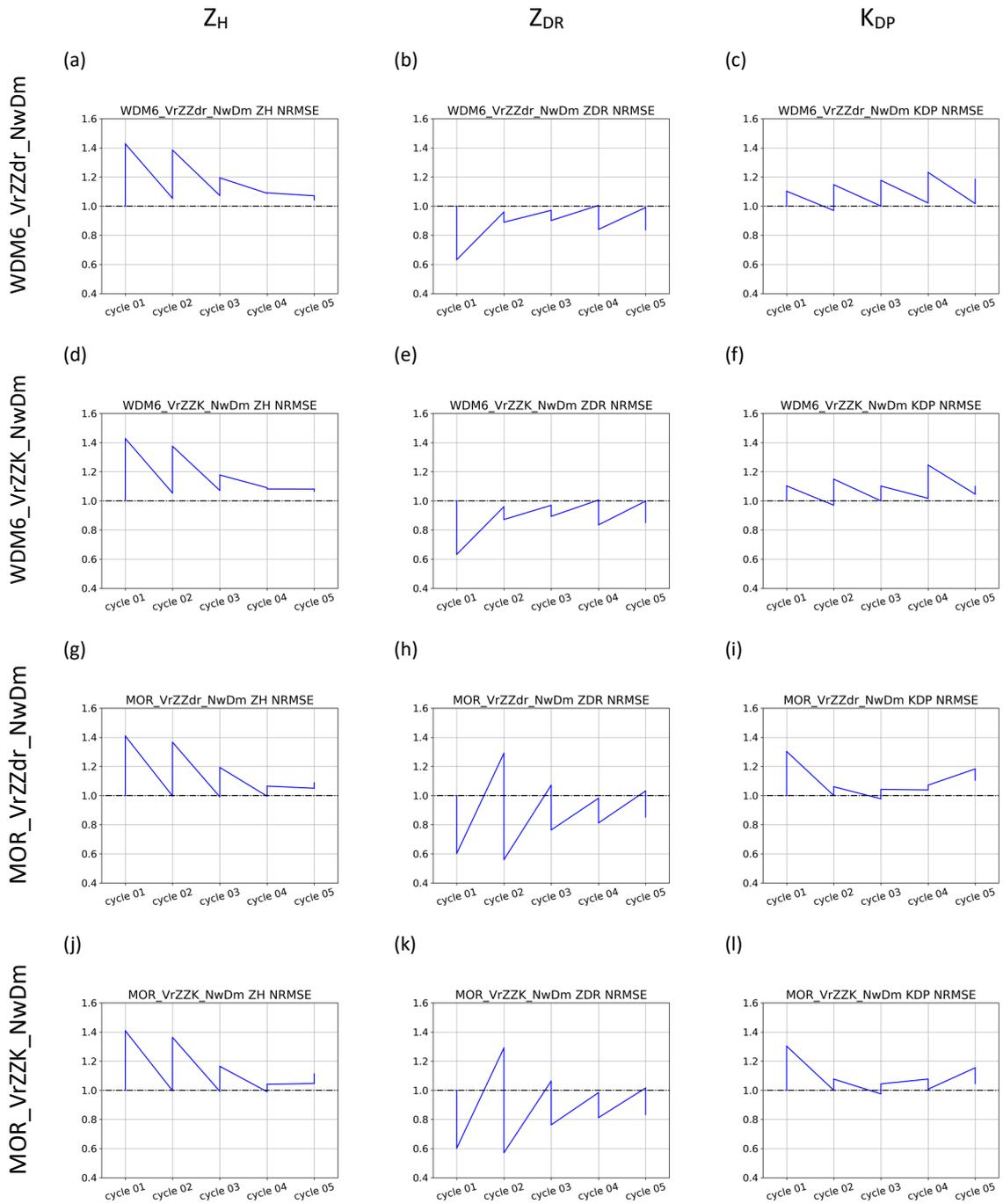


Figure 43 NRMSE of polarimetric parameters in the experiments with the new approach in the squall line case from 1000 UTC to 1100 UTC 14<sup>th</sup> June 2020.

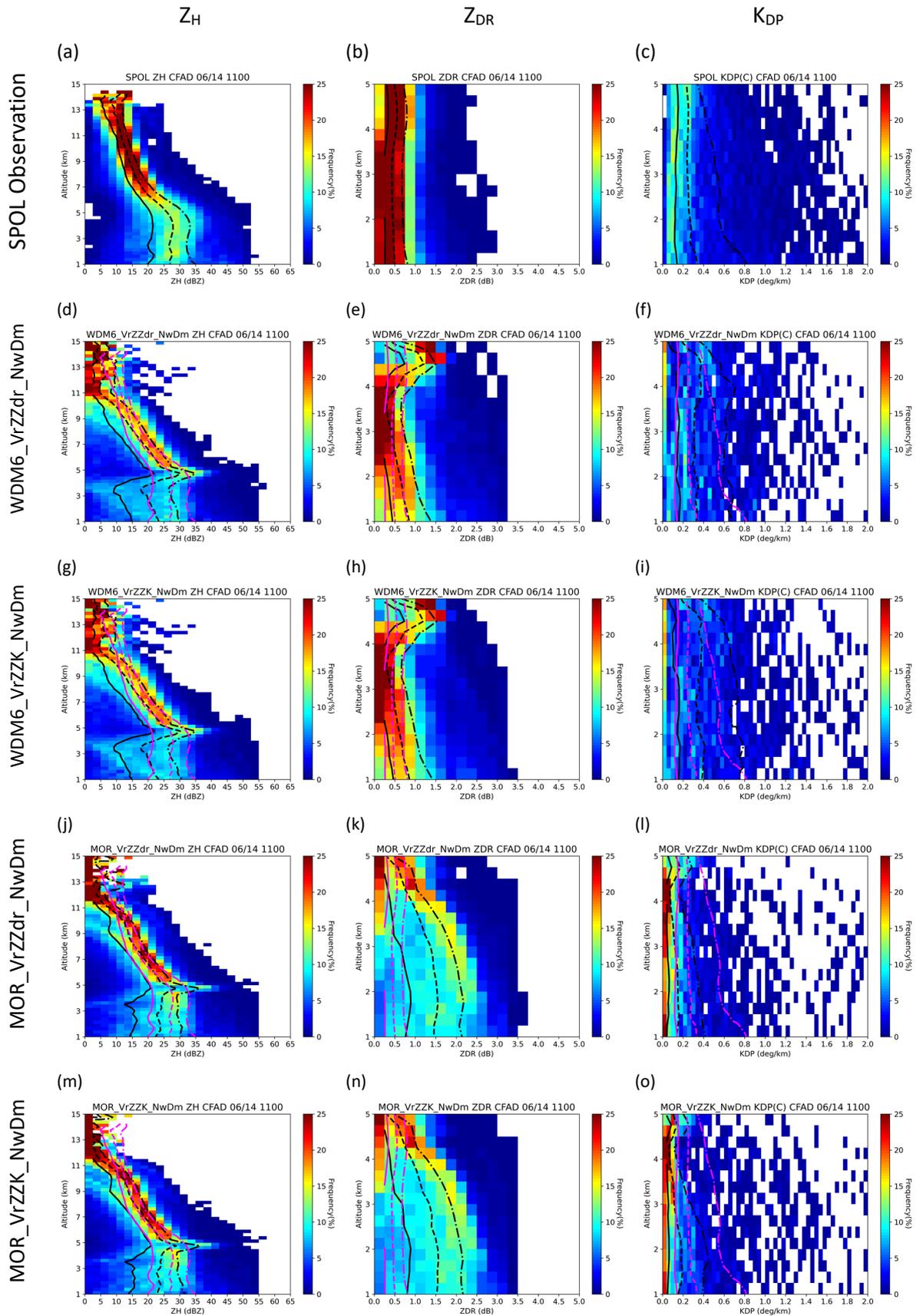


Figure 44 Polarimetric parameter CFADs of SPOL observation and the experiments with the new approach in the squall line case at 1100 UTC 14<sup>th</sup> June 2008. The pink lines are the accumulated 25%, 50% and 75% of SPOL observation.

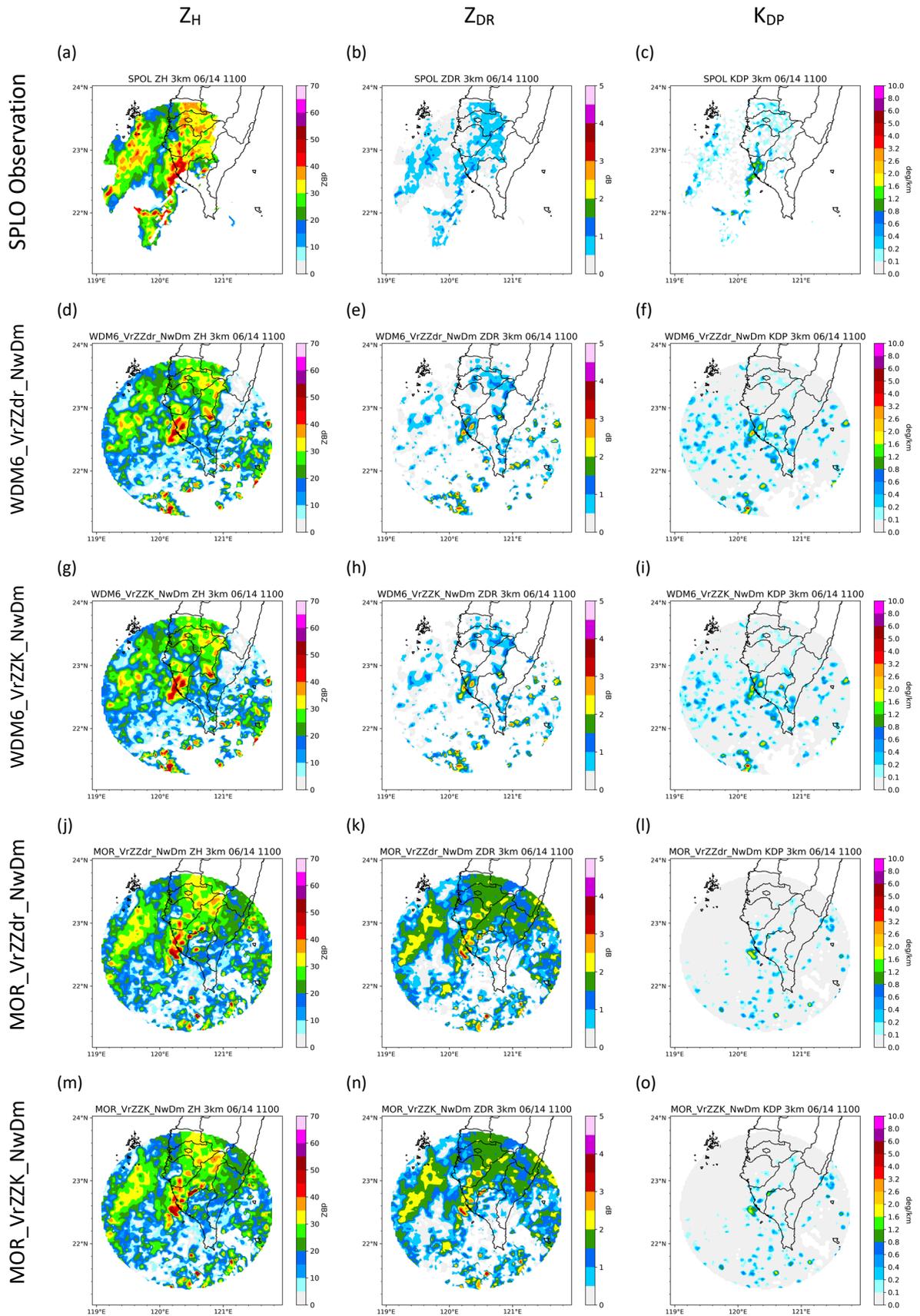


Figure 45 Polarimetric parameter at 3-km height of SPOL observation and the experiments with the new approach in the squall line case at 1100 UTC 14<sup>th</sup> June 2008.

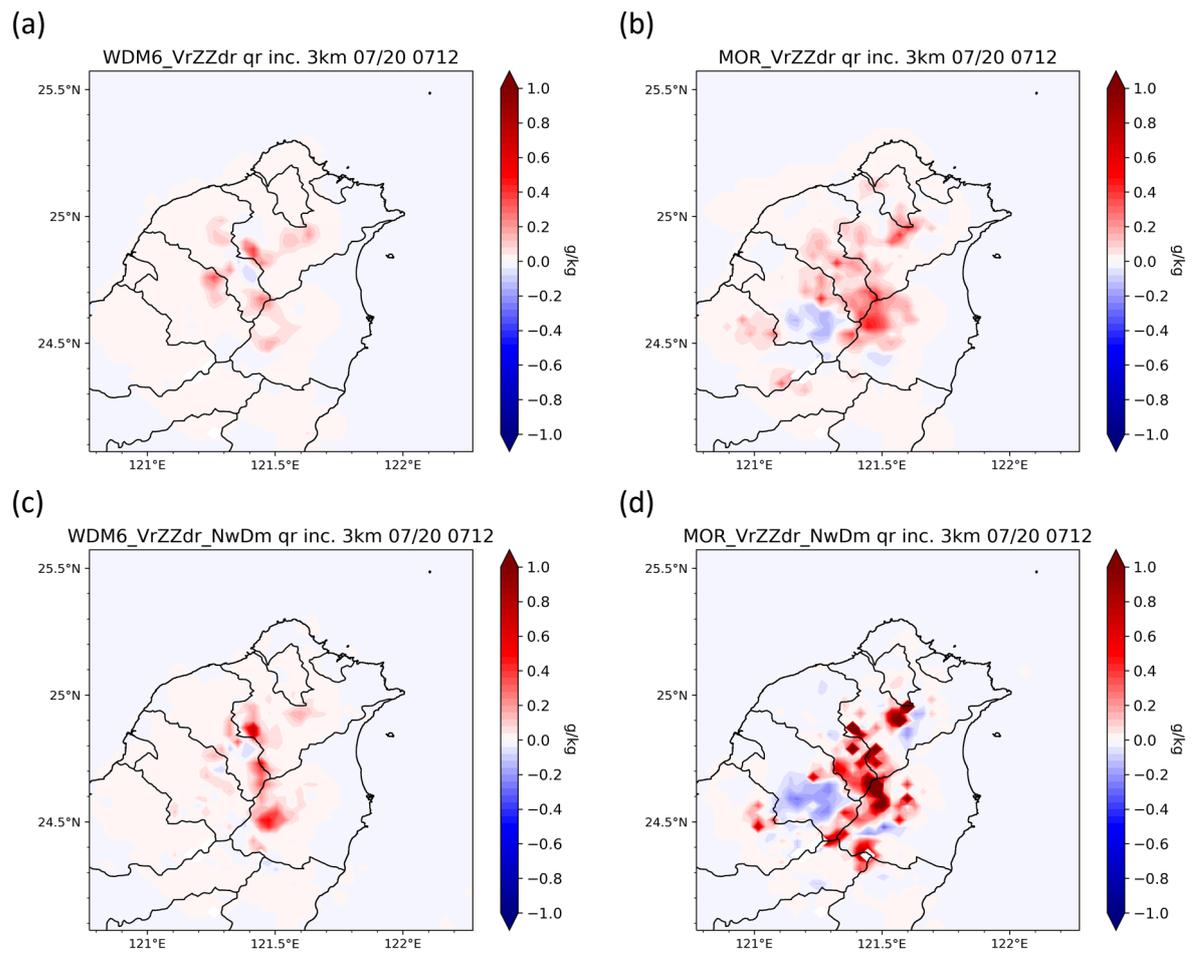


Figure 46 Increment of  $q_r$  at 3-km height in the afternoon thunderstorm case at 0712 UTC 20<sup>th</sup> July 2020. (a) WDM6\_VrZZdr (b) MOR\_VrZZdr (c) MOR\_VrZZdr\_NwDm (d) MOR\_VrZZdr\_NwDm.

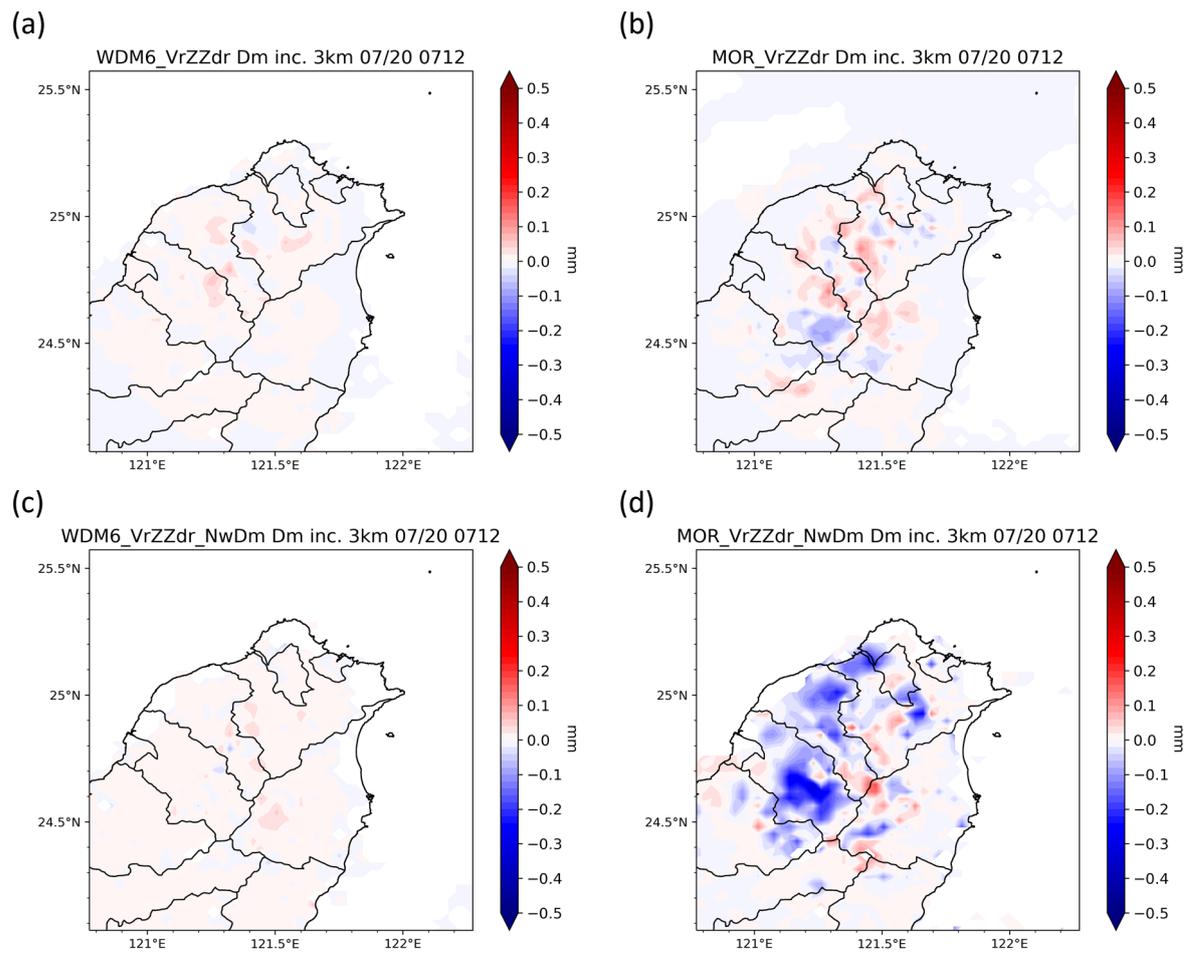


Figure 47 Increment of  $D_m$  at 3-km height in the afternoon thunderstorm case at 0712 UTC 20<sup>th</sup> July 2008. (a) WDM6\_VrZZdr (b) MOR\_VrZZdr (c) WDM6\_VrZZdr\_NwDm (d) MOR\_VrZZdr\_NwDm.

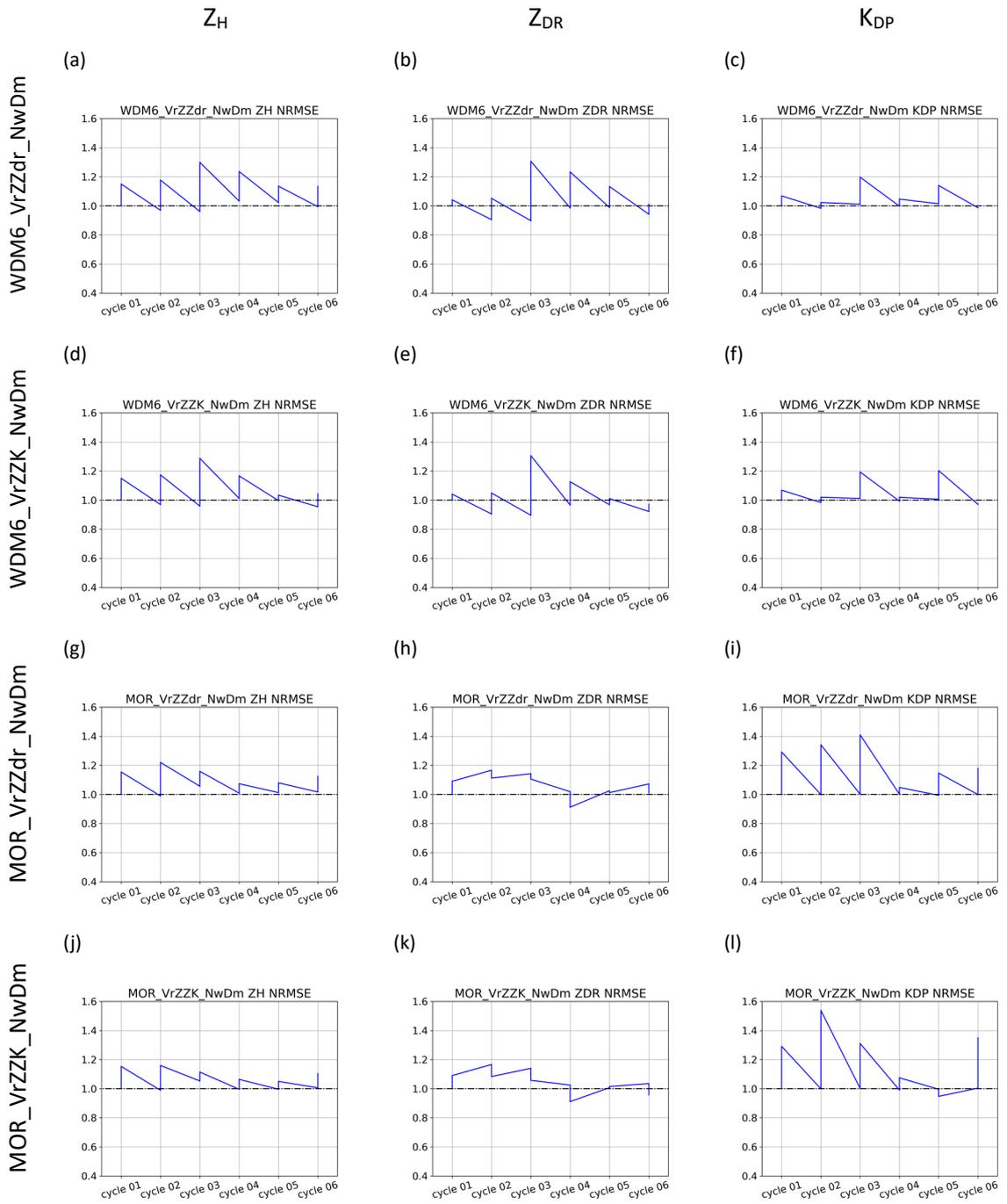


Figure 48 NRMSE of polarimetric parameters in the experiments with the new approach in the afternoon thunderstorm case from 0700UTC to 0800UTC on 20th July 2020.

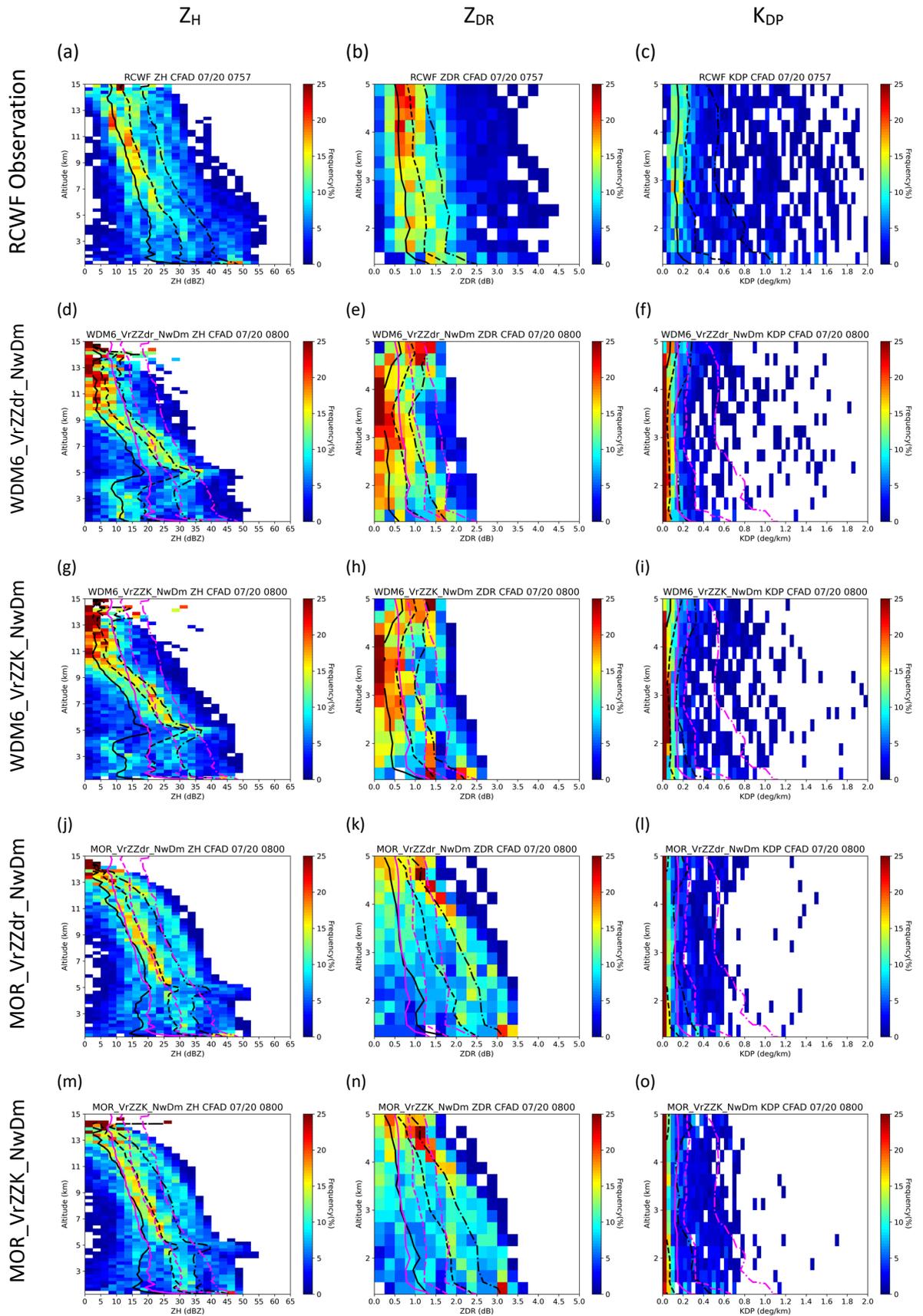


Figure 49 Polarimetric parameter CFADs of RCWF observation and the experiments with the new approach in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020. The pink lines are the accumulated 25%, 50% and 75% of RCWF observation.

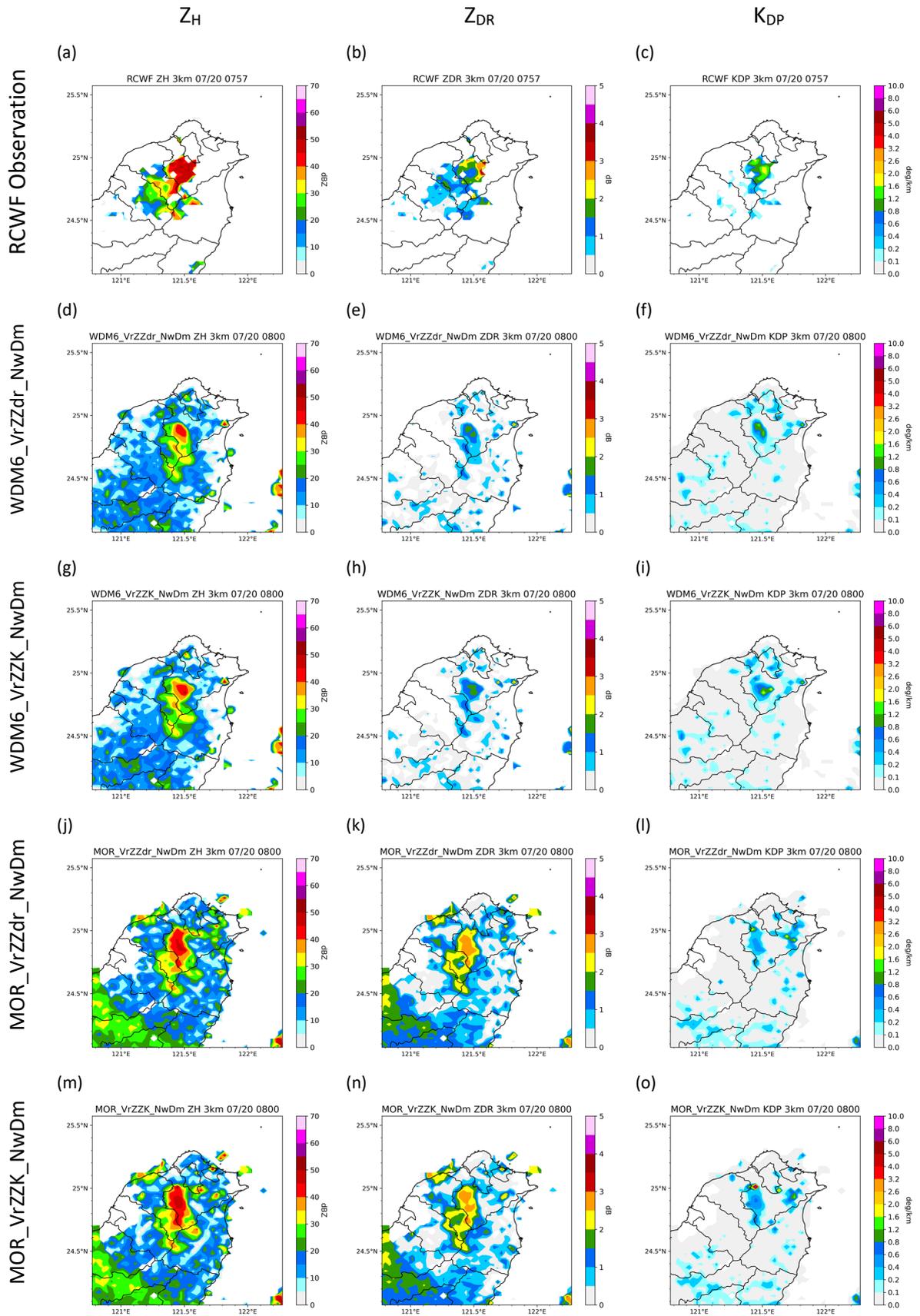


Figure 50 Polarimetric parameter at 3-km height of SPOL observation and the experiments with the new approach in the afternoon thunderstorm case at 0800 UTC 20<sup>th</sup> July 2020.

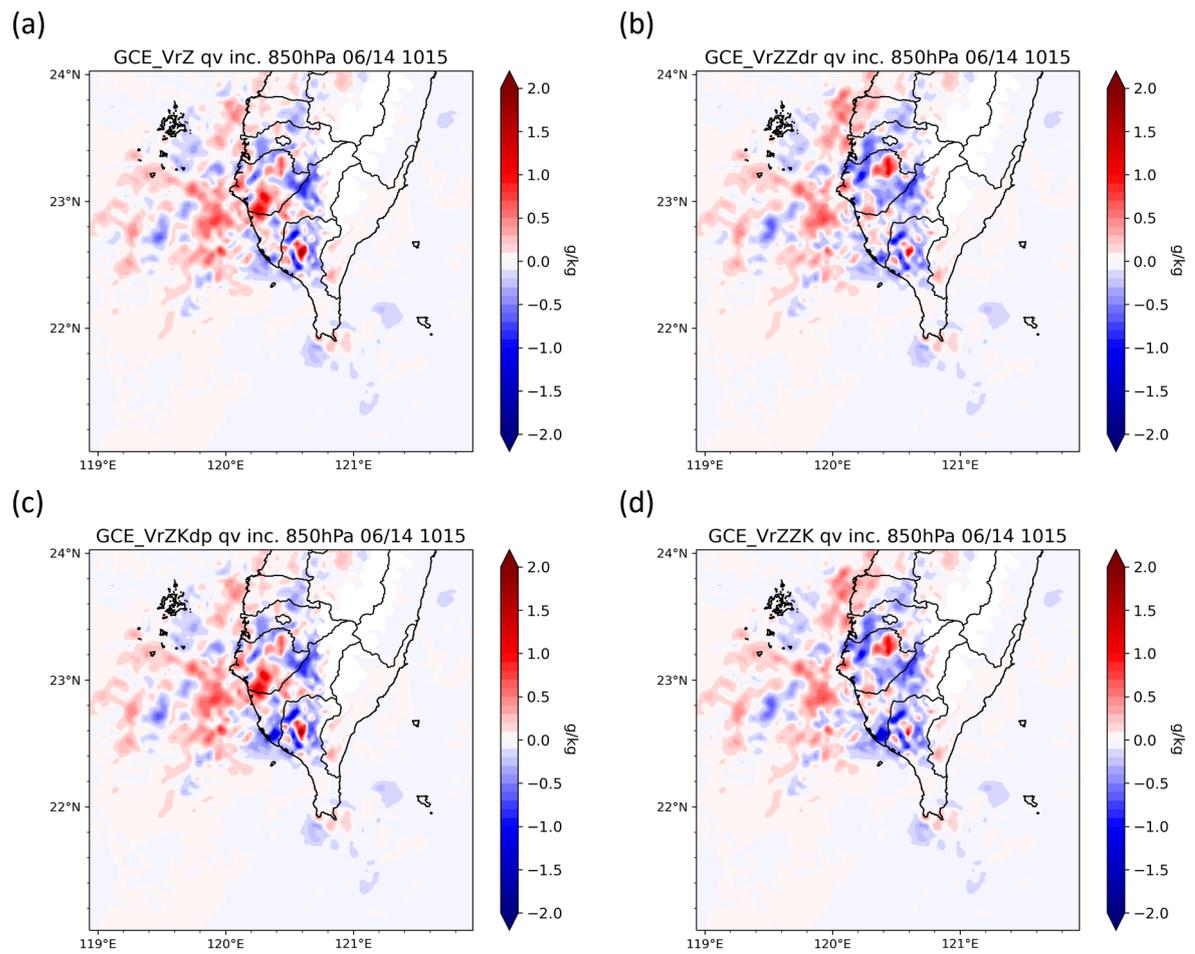


Figure 51 Water vapor increment at 850 hPa in the squall line experiments with GCE scheme at 1015 UTC 14<sup>th</sup> June 2008. (a) GCE\_VrZ (b) GCE\_VrZZdr (c) GCE\_VrZKdp (d) GCE\_VrZZK.

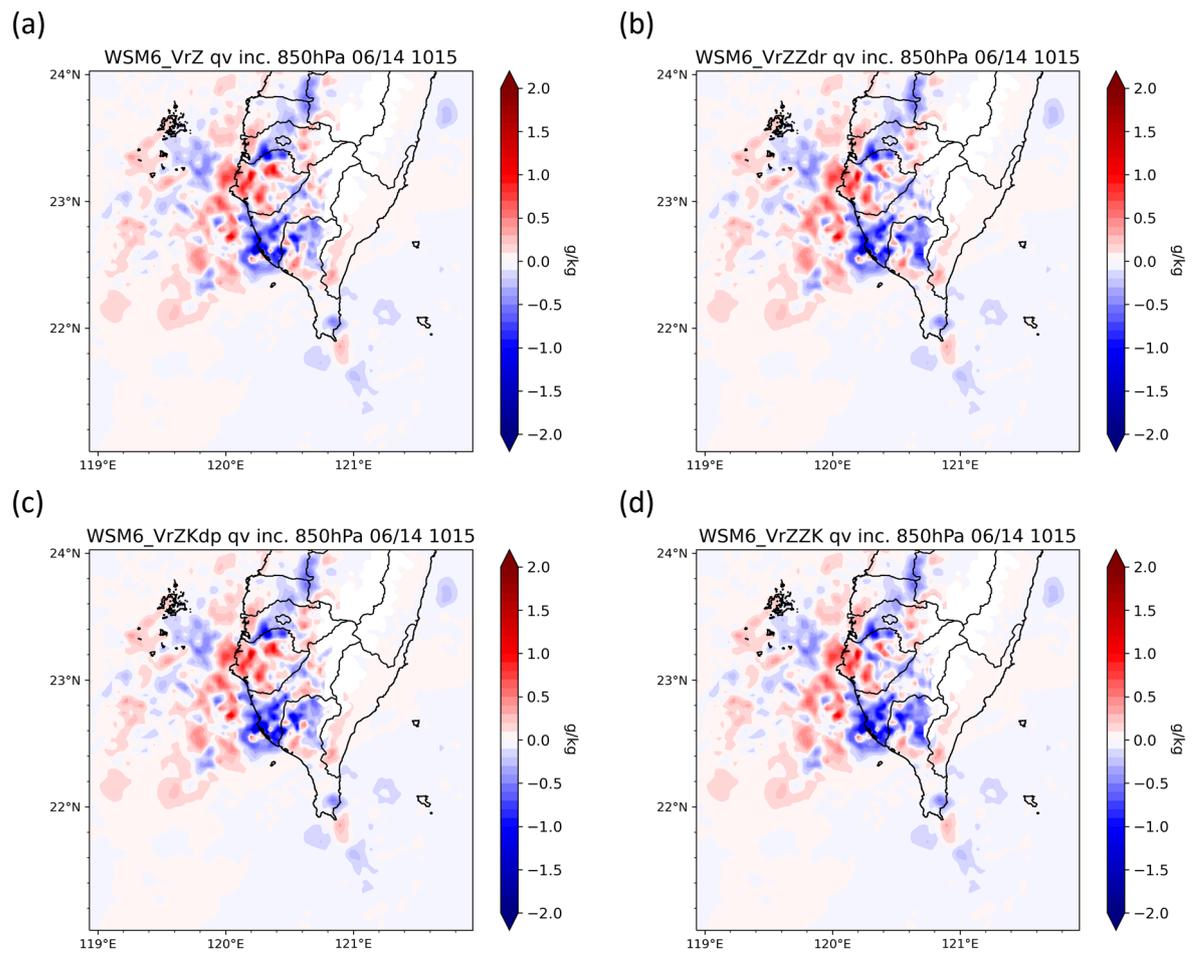


Figure 52 Water vapor increment at 850 hPa in the squall line experiments with WSM6 scheme at 1015 UTC 14<sup>th</sup> June 2008. (a) WSM6\_VrZ (b) WSM6\_VrZZdr (c) WSM6\_VrZKdp (d) WSM6\_VrZZK.

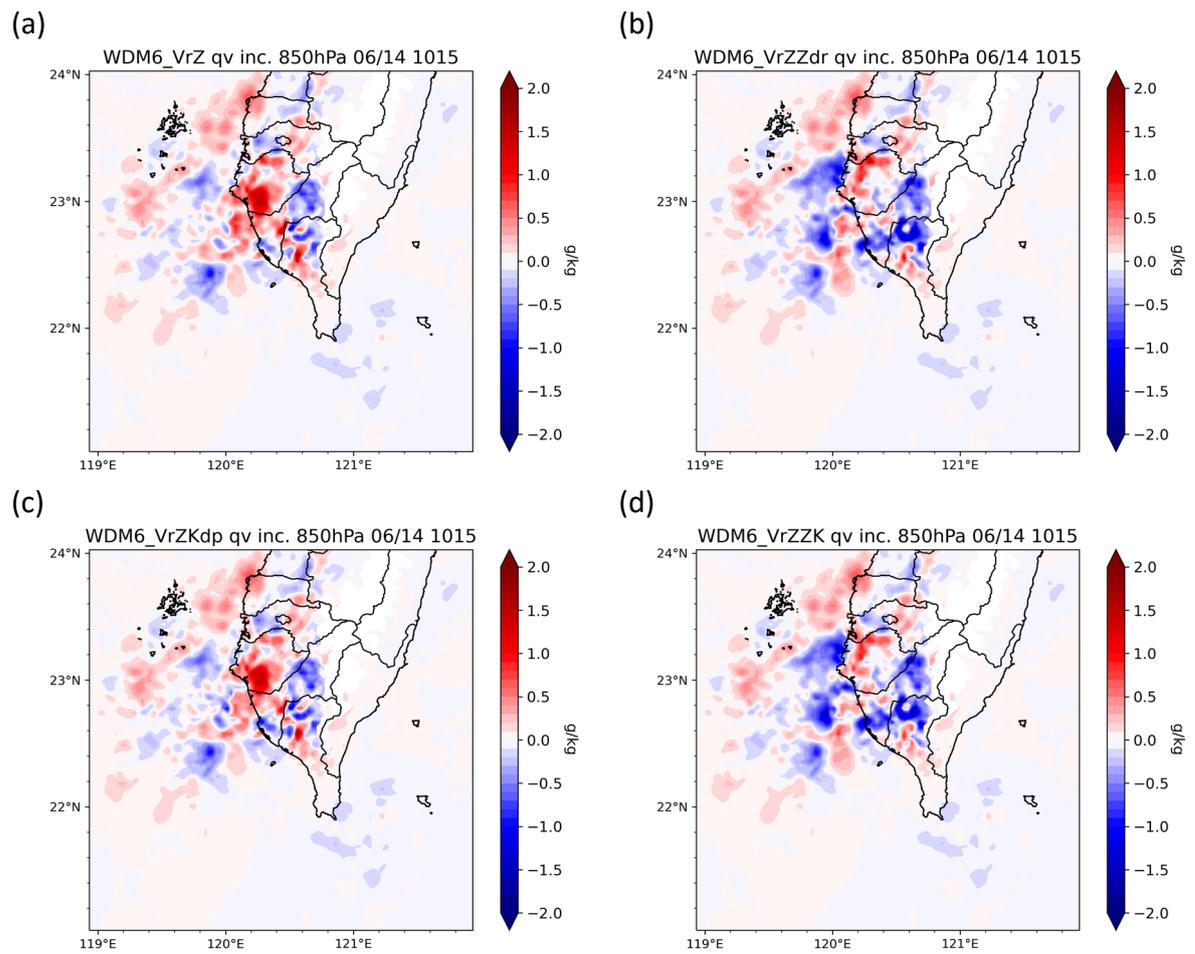


Figure 53 Water vapor increment at 850 hPa in the squall line experiments with WDM6 scheme at 1015 UTC 14<sup>th</sup> June 2008. (a) WDM6\_VrZ (b) WDM6\_VrZZdr (c) WDM6\_VrZKdp (d) WDM6\_VrZZK.

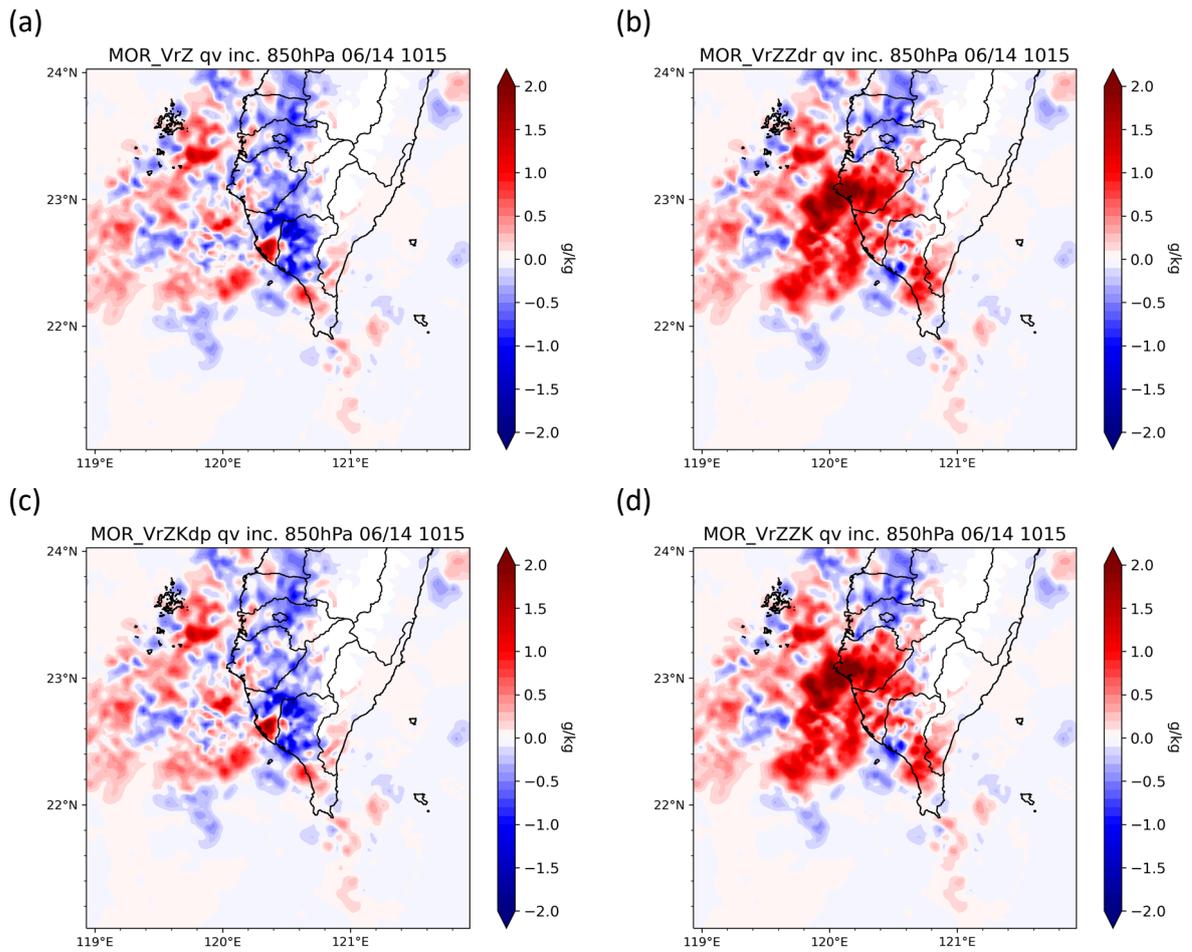


Figure 54 Water vapor increment at 850 hPa in the squall line experiments with MOR scheme at 1015 UTC 14<sup>th</sup> June 2008. (a) MOR\_VrZ (b) MOR\_VrZZdr (c) MOR\_VrZKdp (d) MOR\_VrZZK.

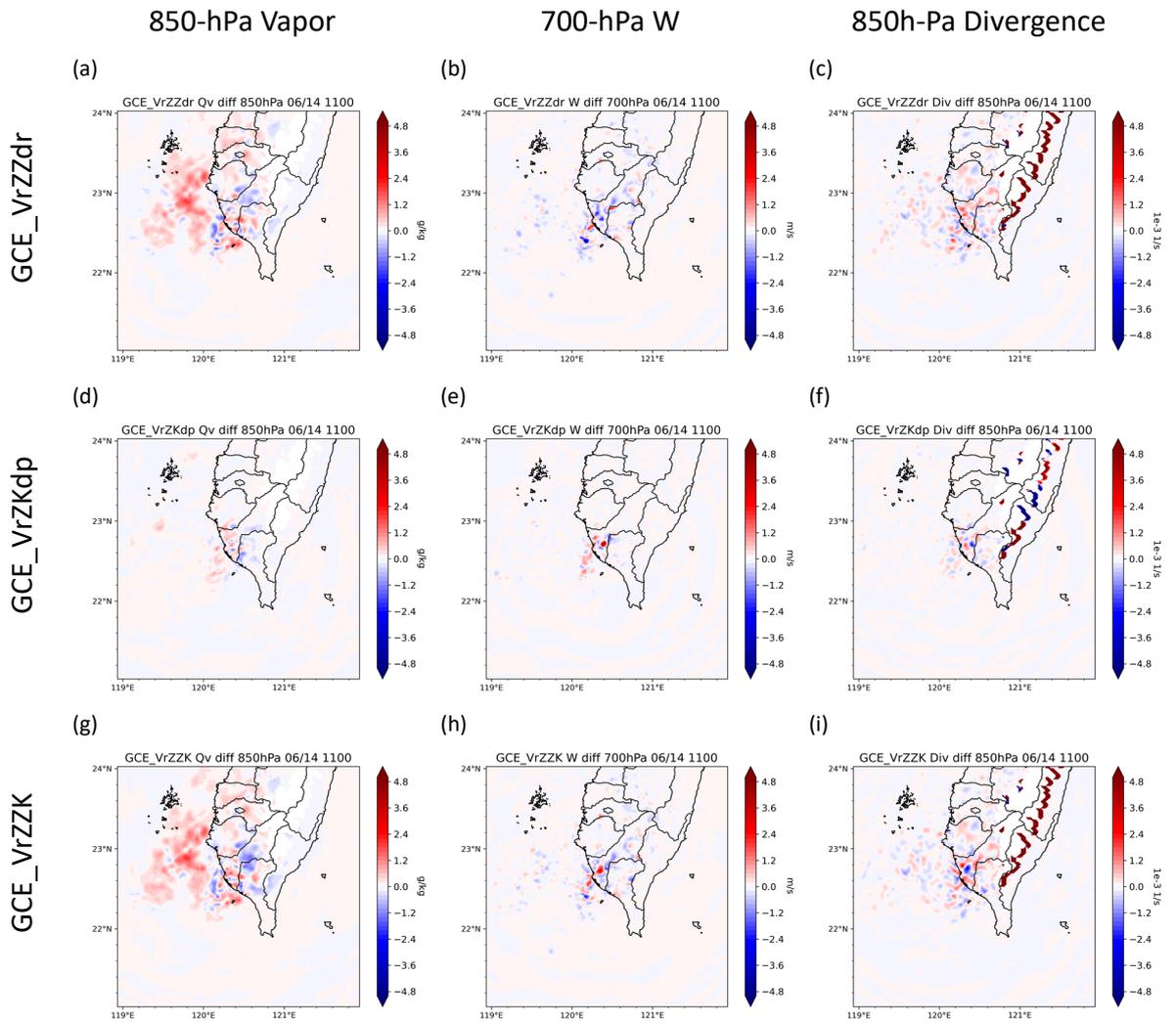


Figure 55 Analysis mean difference of 850-hPa water vapor, 700-hPa vertical velocity and 850-hPa divergence in the squall line experiments with GCE scheme at 1100 UTC 14<sup>th</sup> June 2008. GCE\_VrZ is the reference.

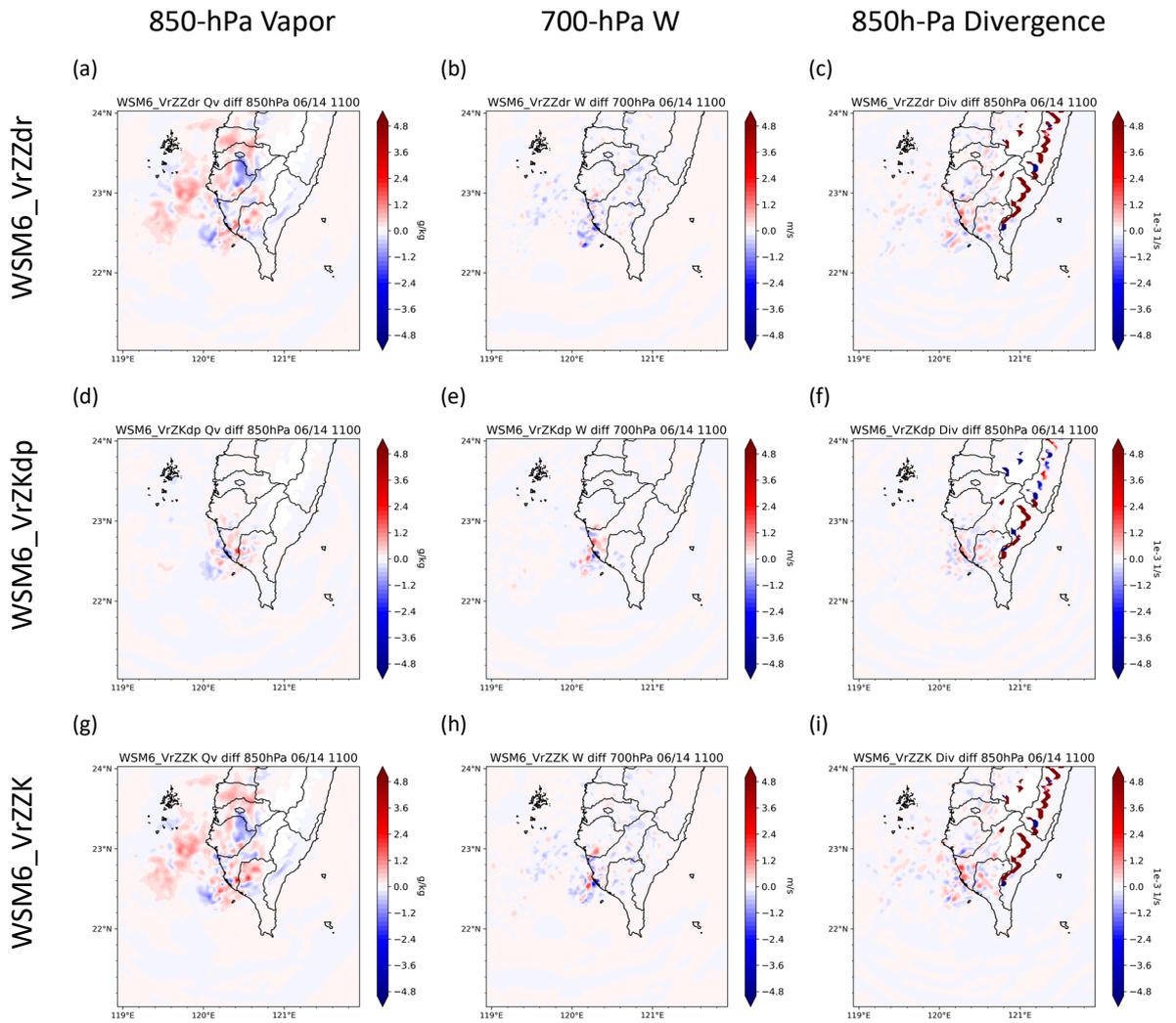


Figure 56 Analysis mean difference of 850-hPa water vapor, 700-hPa vertical velocity and 850-hPa divergence in the squall line experiments with WSM6 scheme at 1100 UTC 14<sup>th</sup> June 2008. WSM6\_VrZ is the reference.

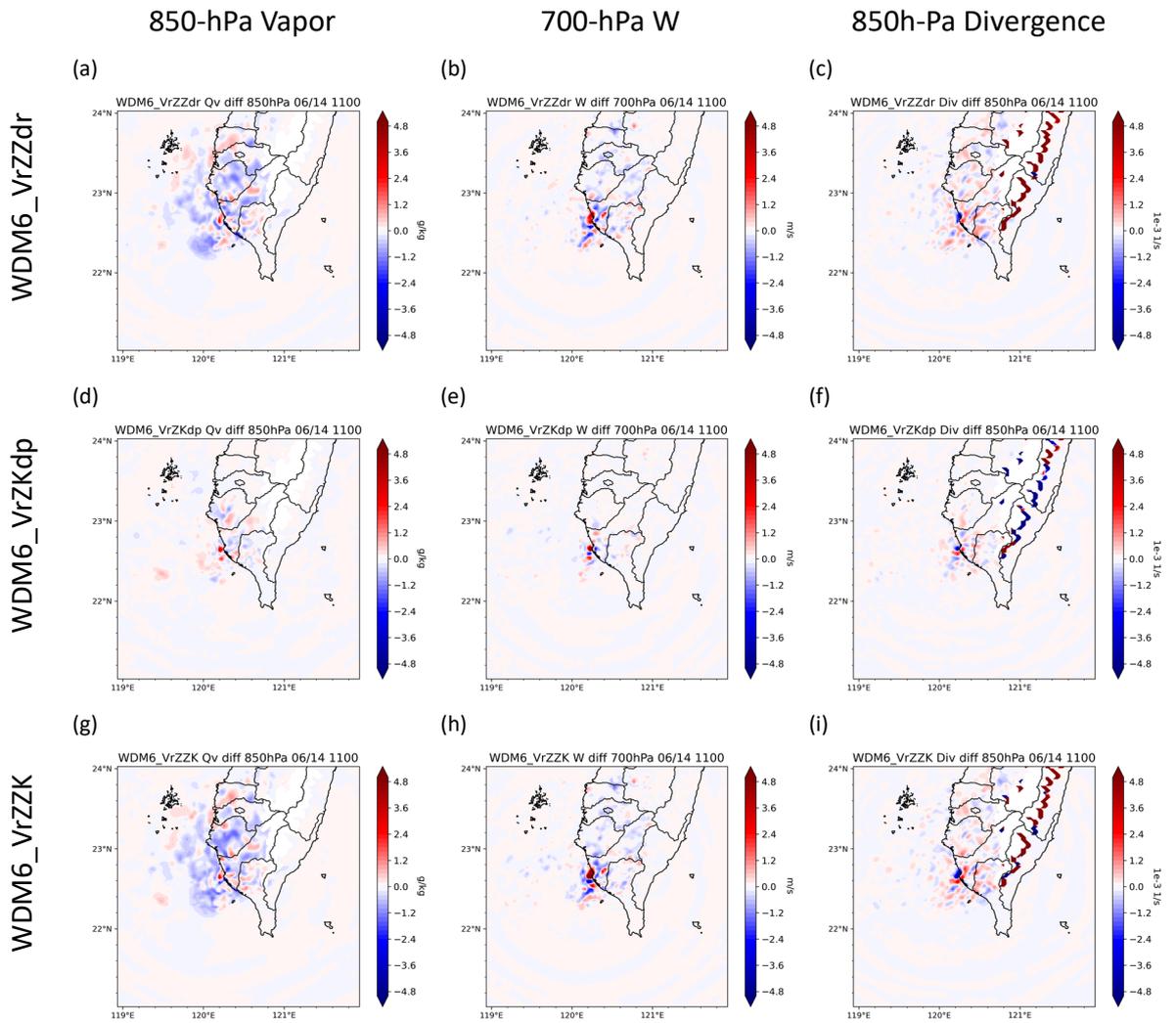


Figure 57 Analysis mean difference of 850-hPa water vapor, 700-hPa vertical velocity and 850-hPa divergence in the squall line experiments with WDM6 scheme at 1100 UTC 14<sup>th</sup> June 2008. WDM6\_VrZ is the reference.

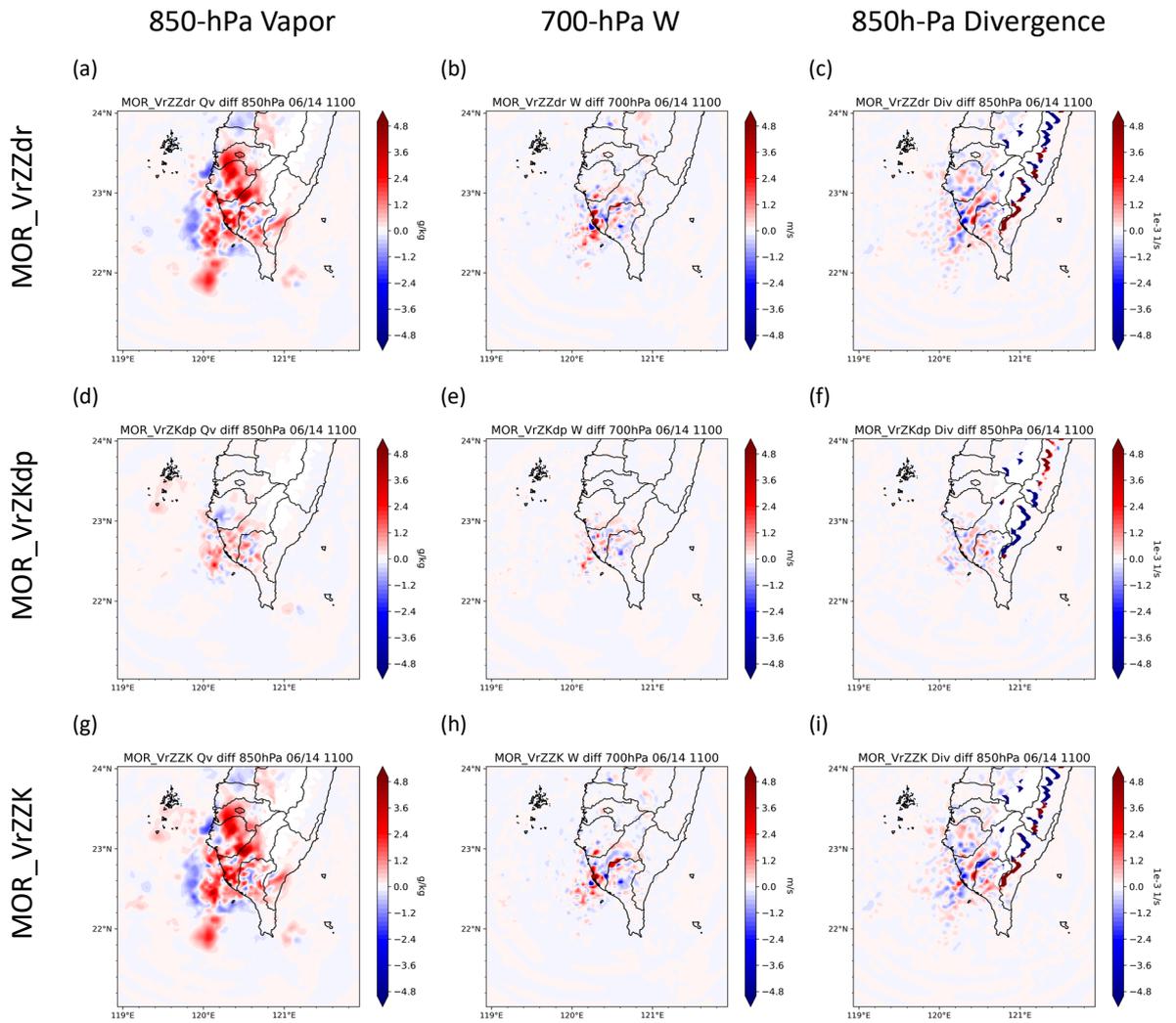


Figure 58 Analysis mean difference of 850-hPa water vapor, 700-hPa vertical velocity and 850-hPa divergence in the squall line experiments with MOR scheme at 1100 UTC 14<sup>th</sup> June 2008. MOR\_VrZ is the reference.

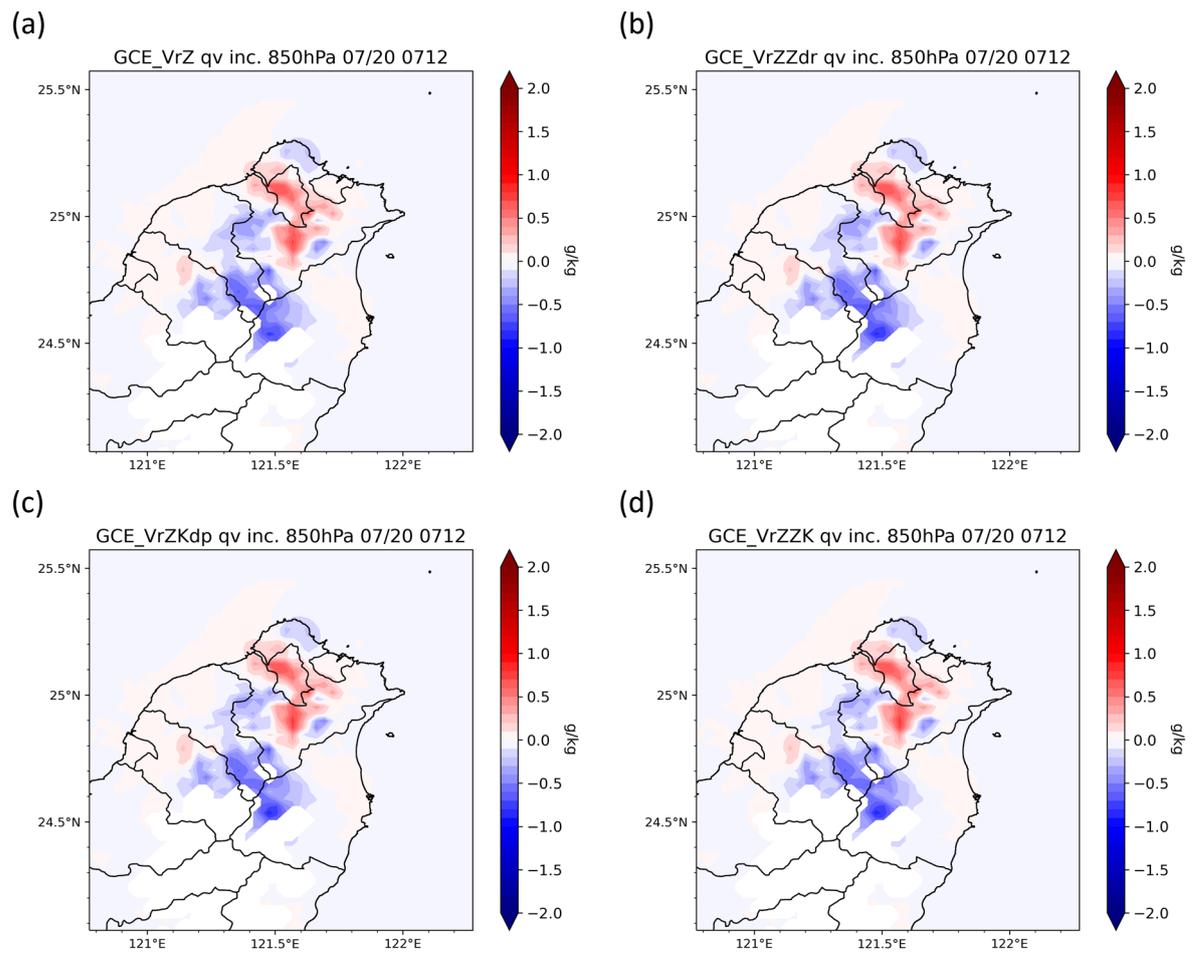


Figure 59 Water vapor increment at 850 hPa in the afternoon thunderstorm experiments with GCE scheme at 0712 UTC 20<sup>th</sup> July 2020. (a) GCE\_VrZ (b) GCE\_VrZZdr (c) GCE\_VrZKdp (d) GCE\_VrZZK

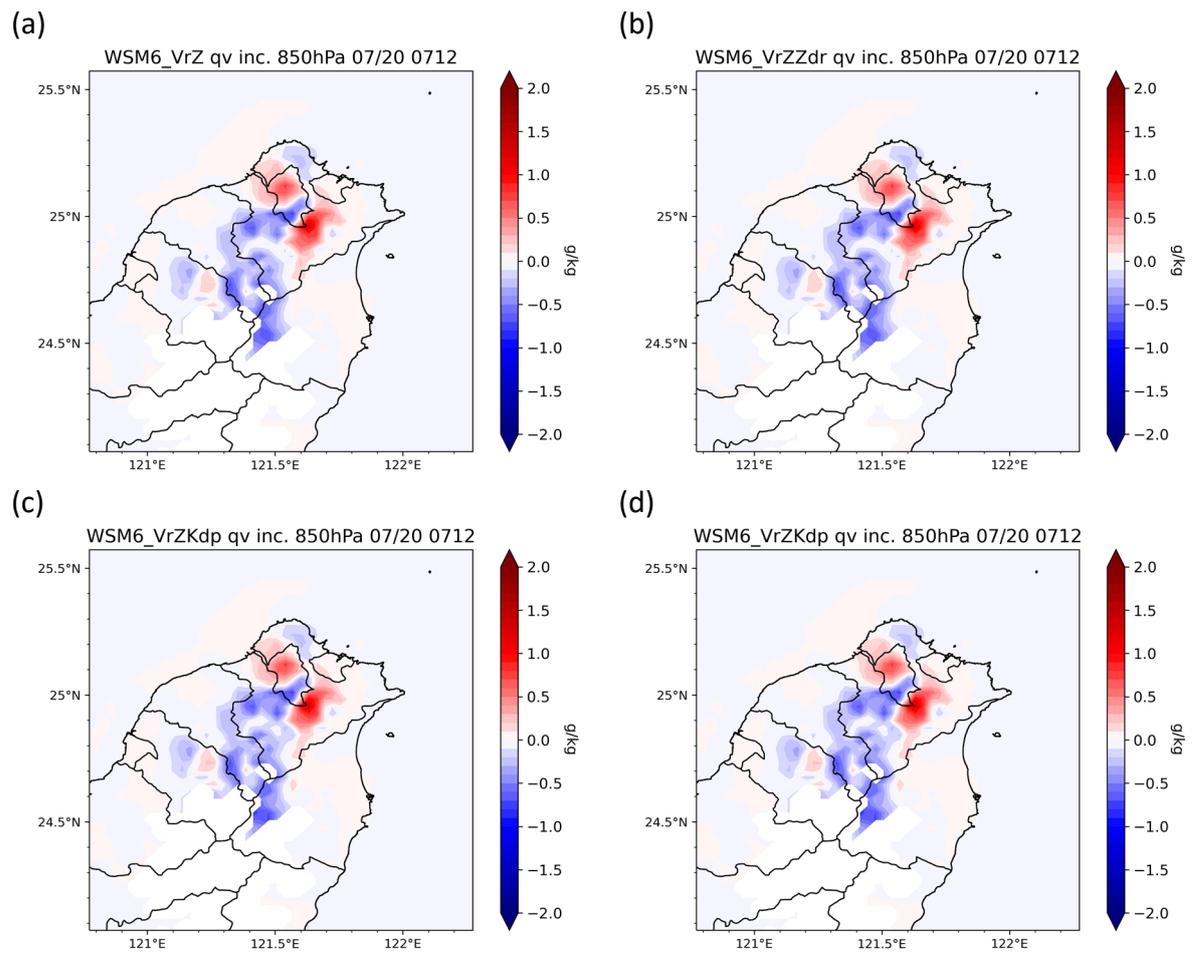


Figure 60 Water vapor increment at 850 hPa in the afternoon thunderstorm experiments with WSM6 scheme at 0712 UTC 20<sup>th</sup> July 2020. (a) WSM6\_VrZ (b) WSM6\_VrZZdr (c) WSM6\_VrZKdp (d) WSM6\_VrZZK.

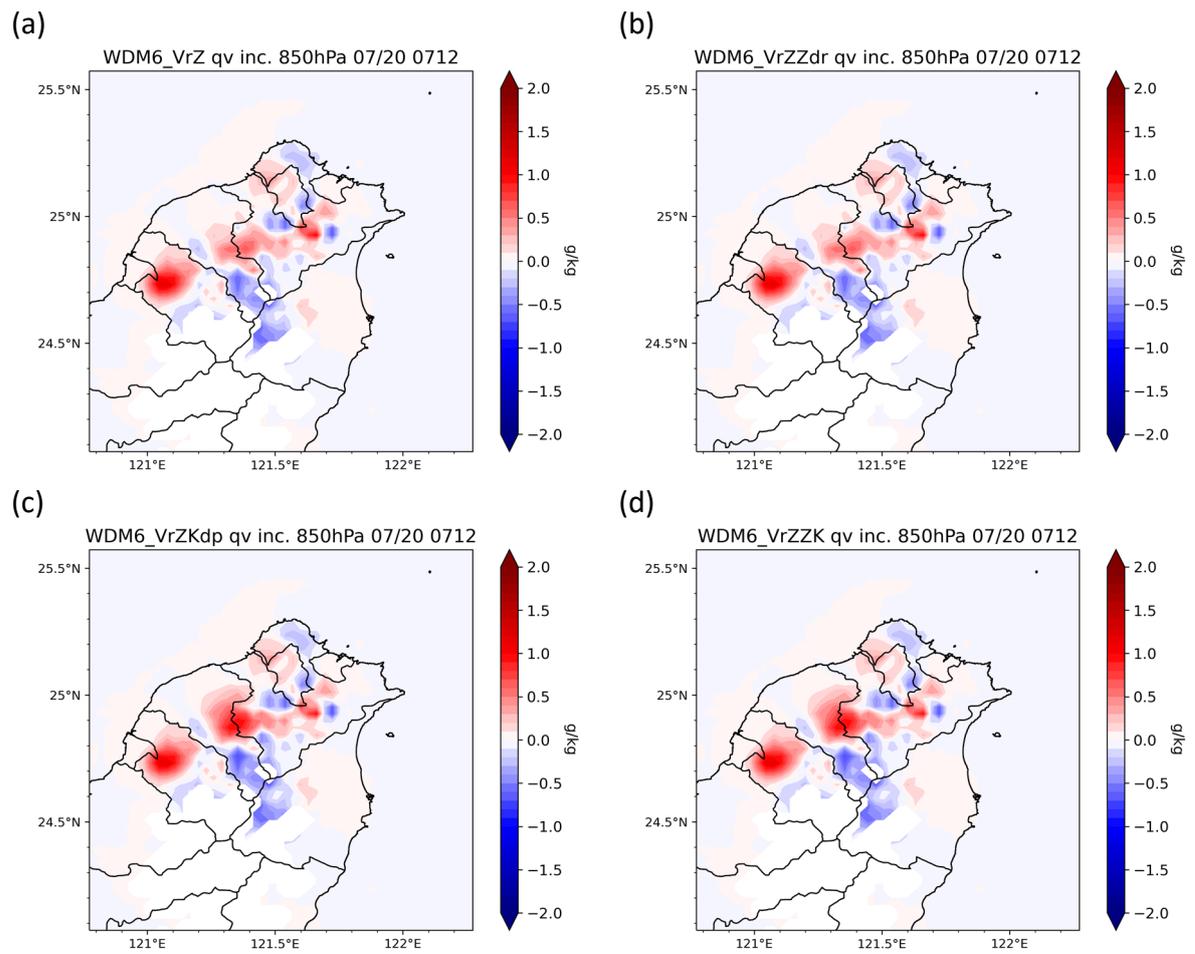


Figure 61 Water vapor increment at 850 hPa in the afternoon thunderstorm experiments with WDM6 scheme at 0712 UTC 20<sup>th</sup> July 2020. (a) WDM6\_VrZ (b) WDM6\_VrZZdr (c) WDM6\_VrZKdp (d) WDM6\_VrZZK.

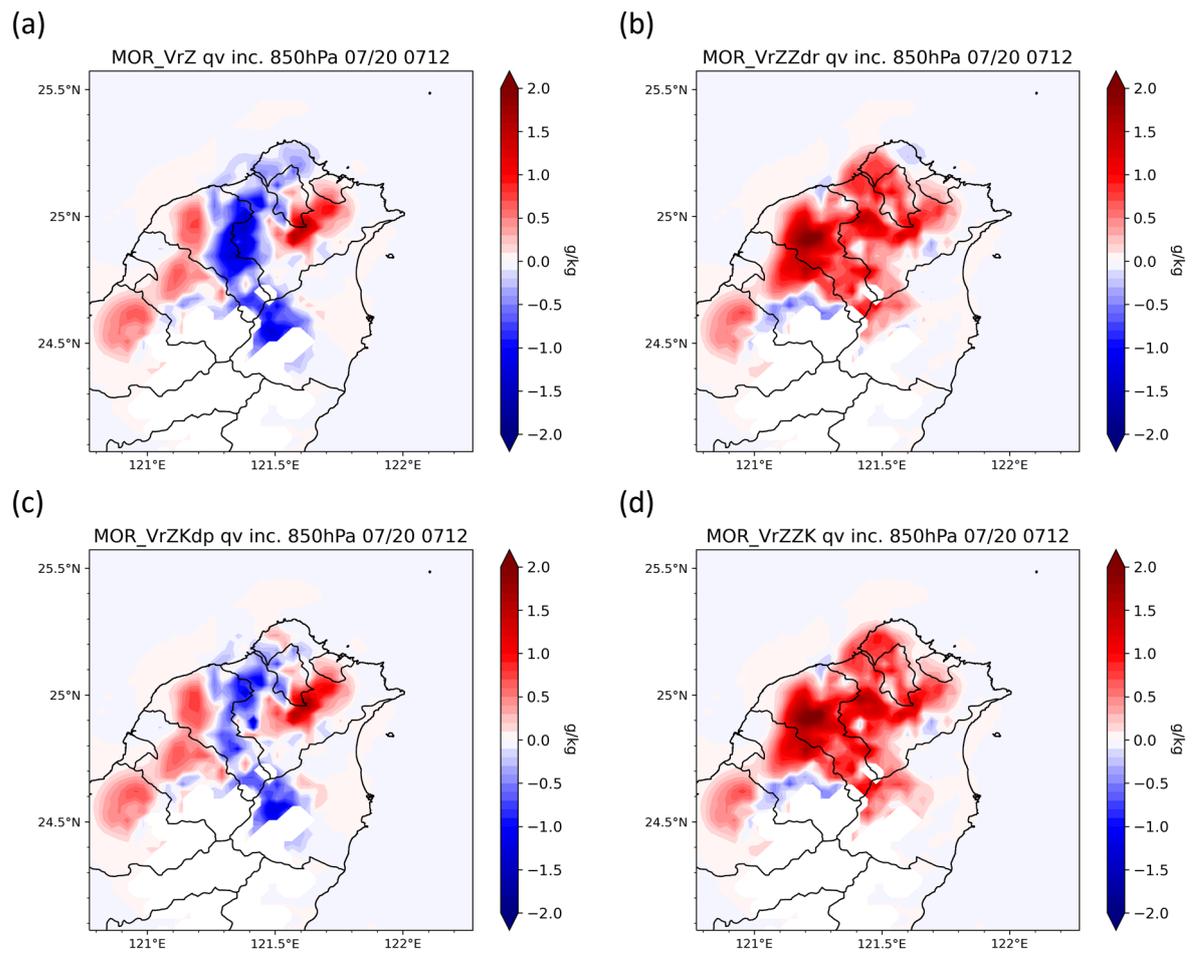


Figure 62 Water vapor increment at 850 hPa in the afternoon thunderstorm experiments with MOR scheme at 0712 UTC 20<sup>th</sup> July 2020. (a) MOR\_VrZ (b) MOR\_VrZZdr (c) MOR\_VrZKdp (d) MOR\_VrZZK.

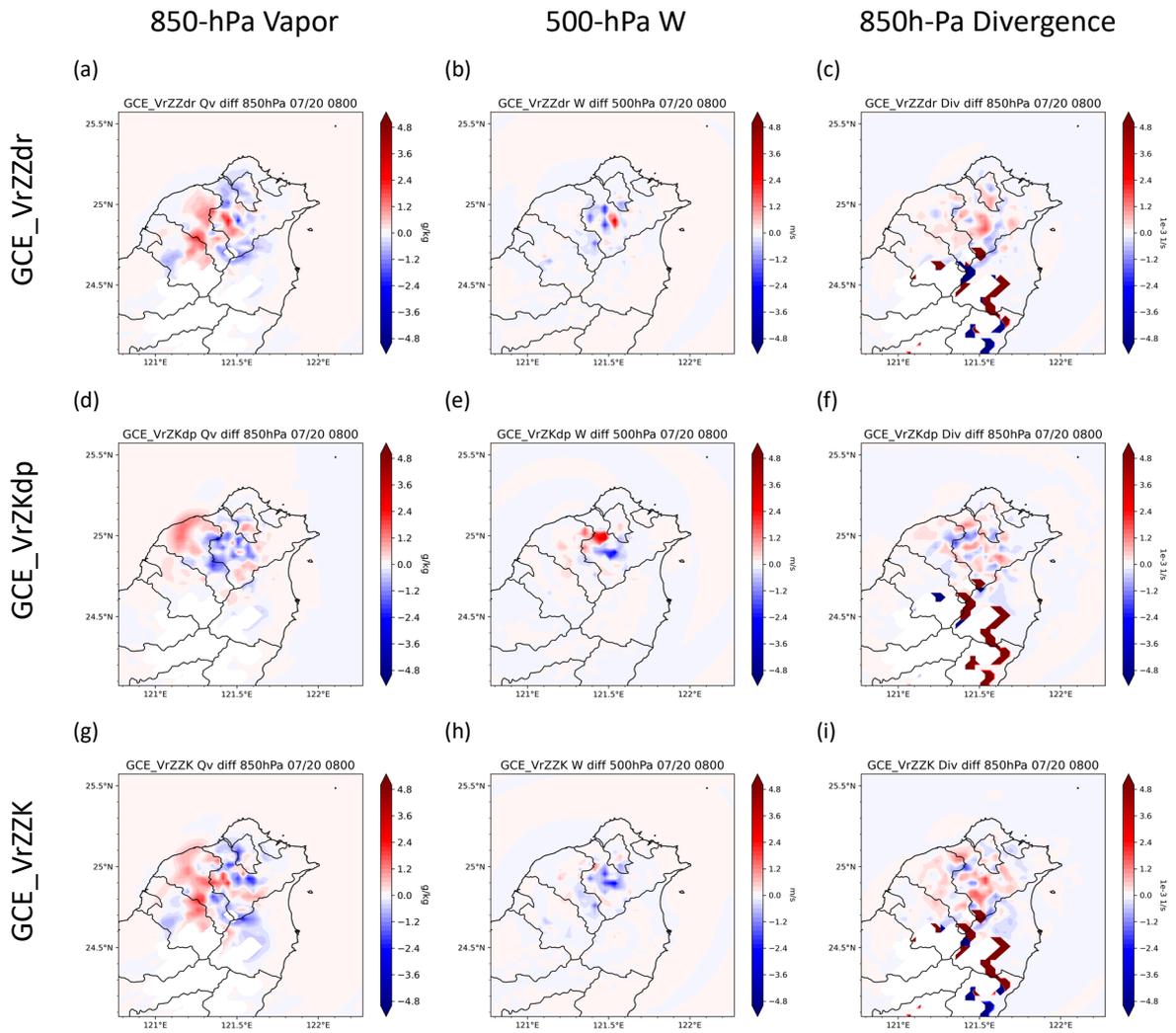


Figure 63 Analysis mean difference of 850-hPa water vapor, 500-hPa vertical velocity and 850-hPa divergence in the squall line experiments with GCE scheme at 0800 UTC 20<sup>th</sup> July 2020. GCE\_VrZ is the reference.

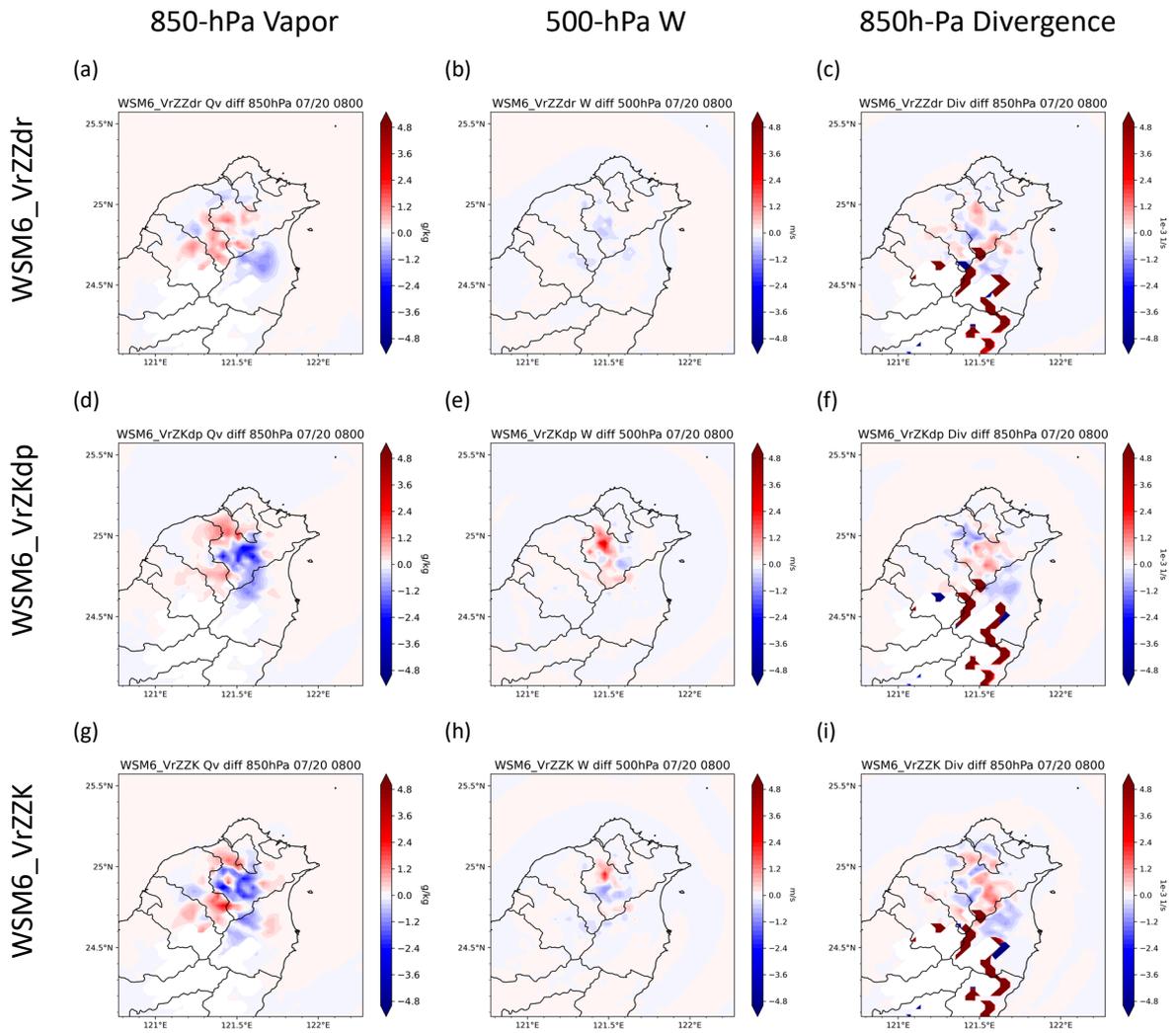


Figure 64 Analysis mean difference of 850-hPa water vapor, 500-hPa vertical velocity and 850-hPa divergence in the squall line experiments with WSM6 scheme at 0800 UTC 20<sup>th</sup> July 2020. WSM6\_VrZ is the reference.

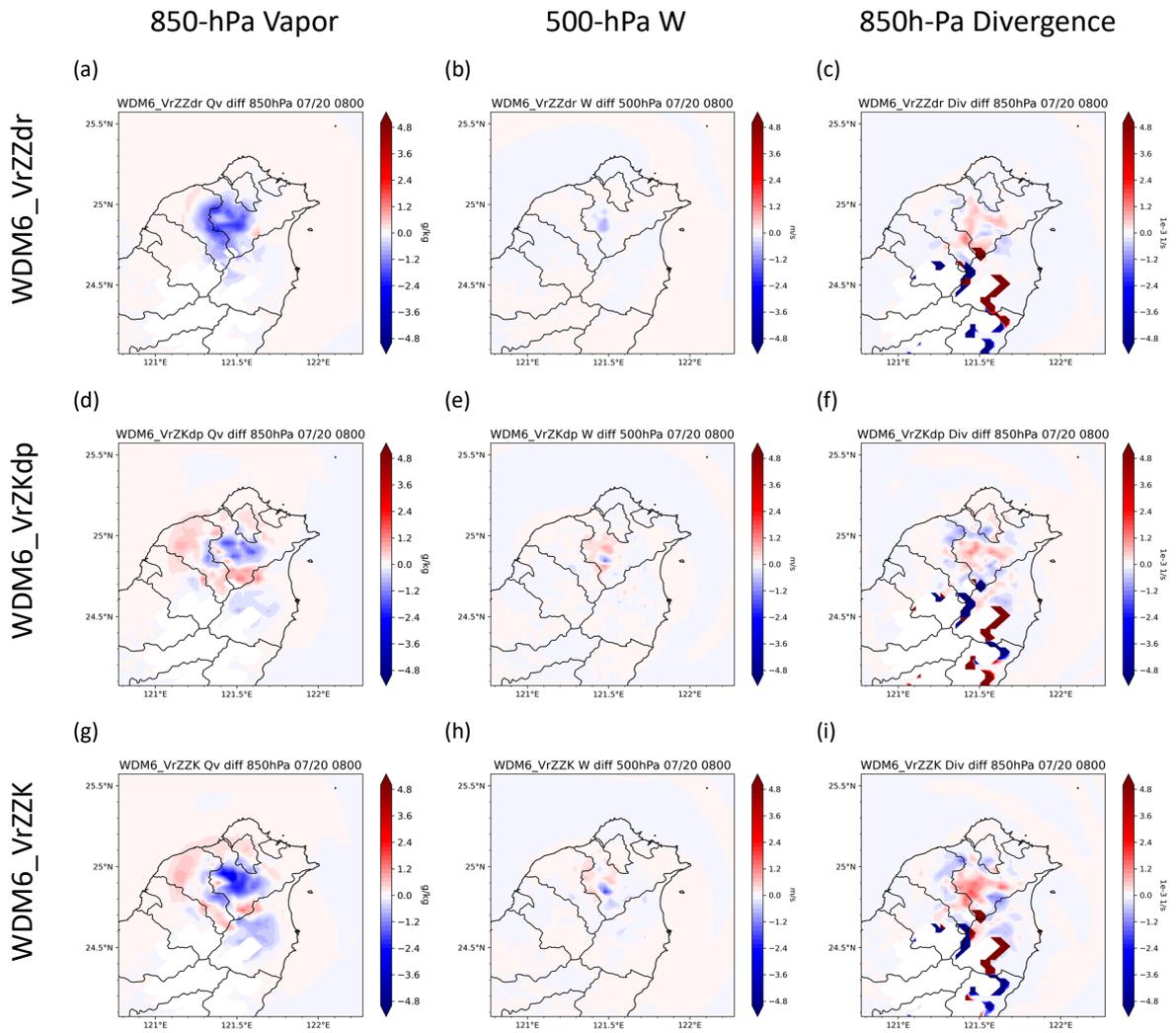


Figure 65 Analysis mean difference of 850-hPa water vapor, 500-hPa vertical velocity and 850-hPa divergence in the squall line experiments with WDM6 scheme at 0800 UTC 20<sup>th</sup> July 2020. WDM6\_VrZ is the reference.

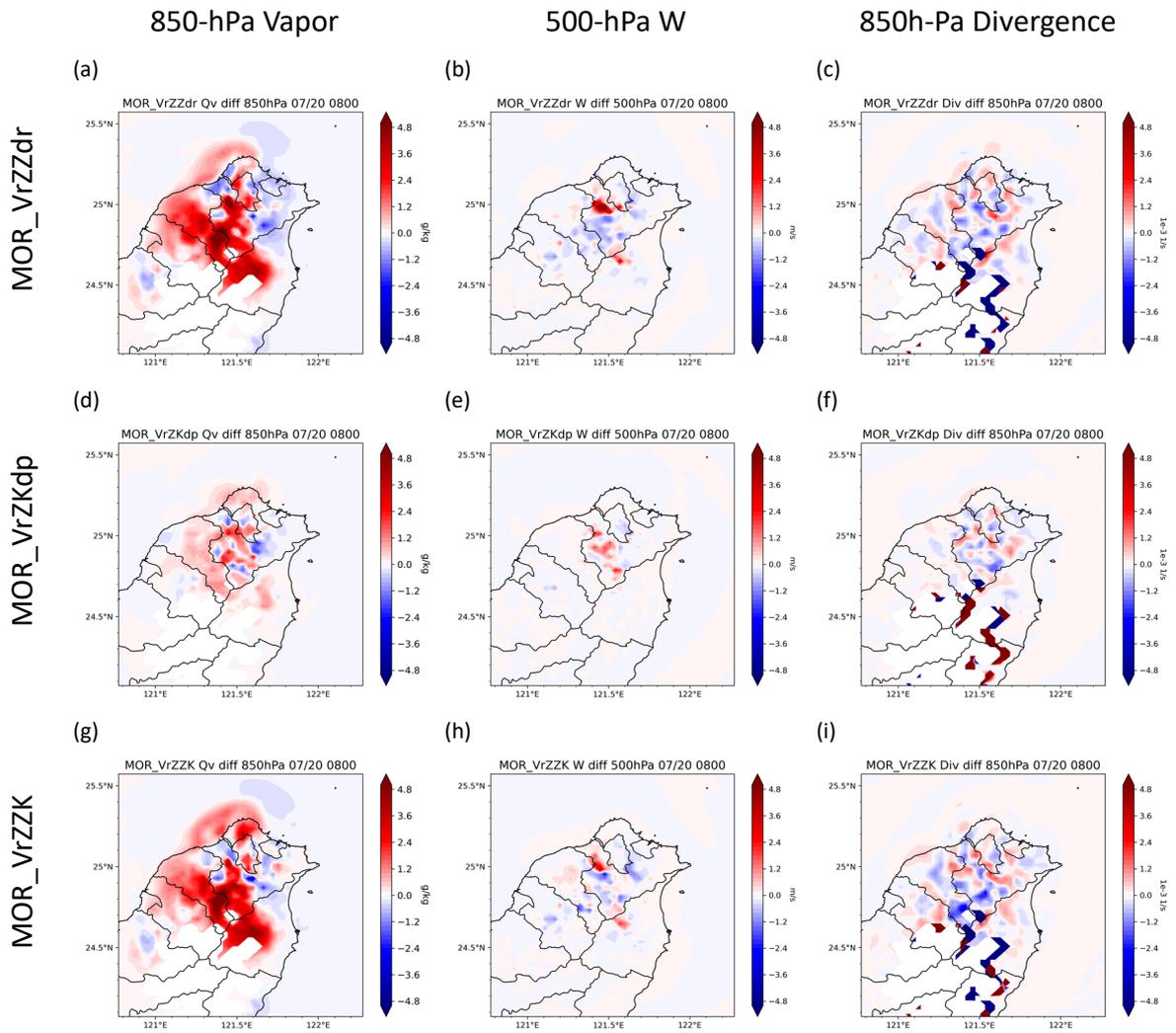


Figure 66 Analysis mean difference of 850-hPa water vapor, 500-hPa vertical velocity and 850-hPa divergence in the squall line experiments with MOR scheme at 0800 UTC 20<sup>th</sup> July 2020. MOR\_VrZ is the reference.

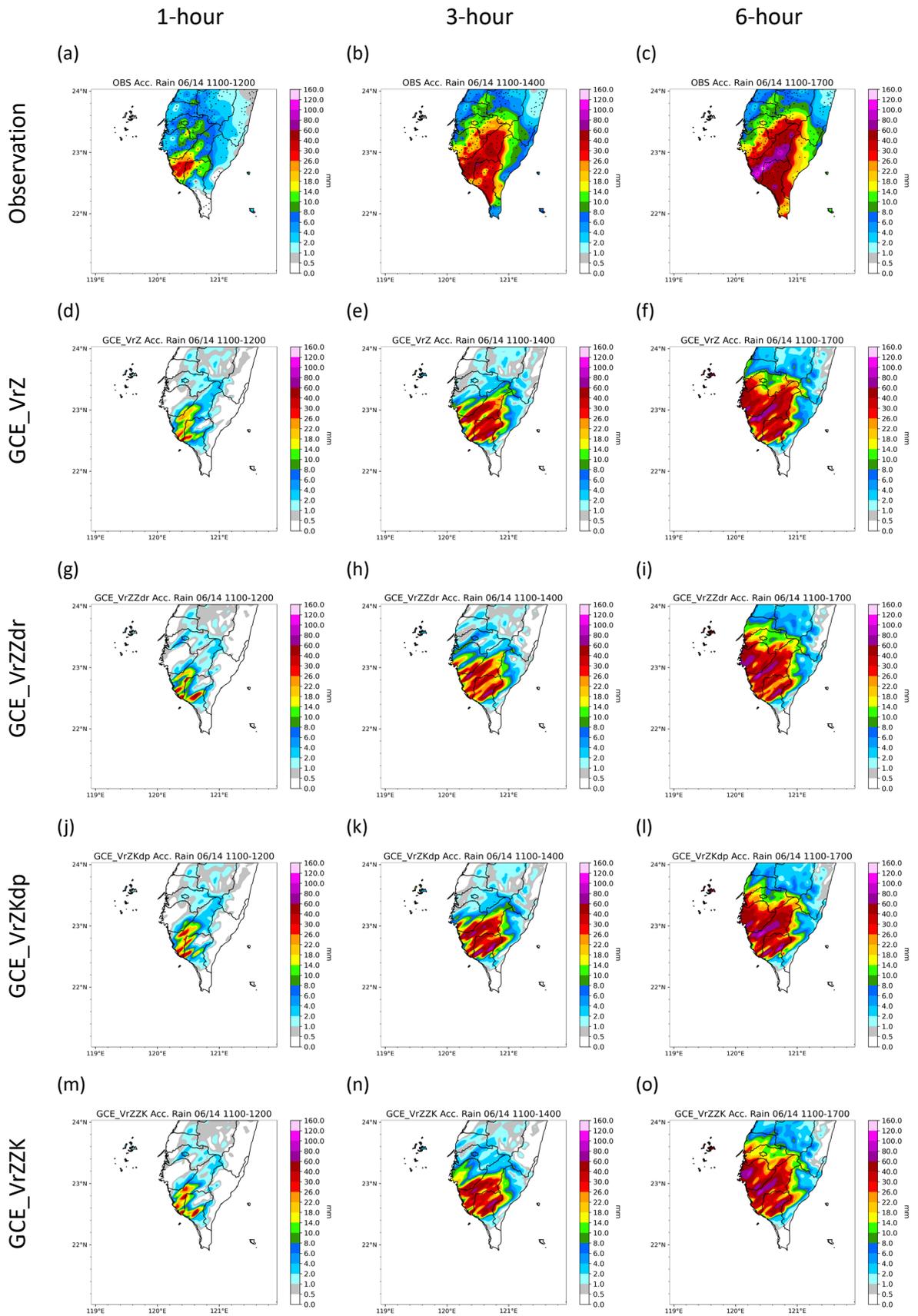


Figure 67 1-hour, 3-hour and 6-hour accumulated rainfall observation and analysis mean QPF after data assimilation in the squall line experiments with GCE scheme.

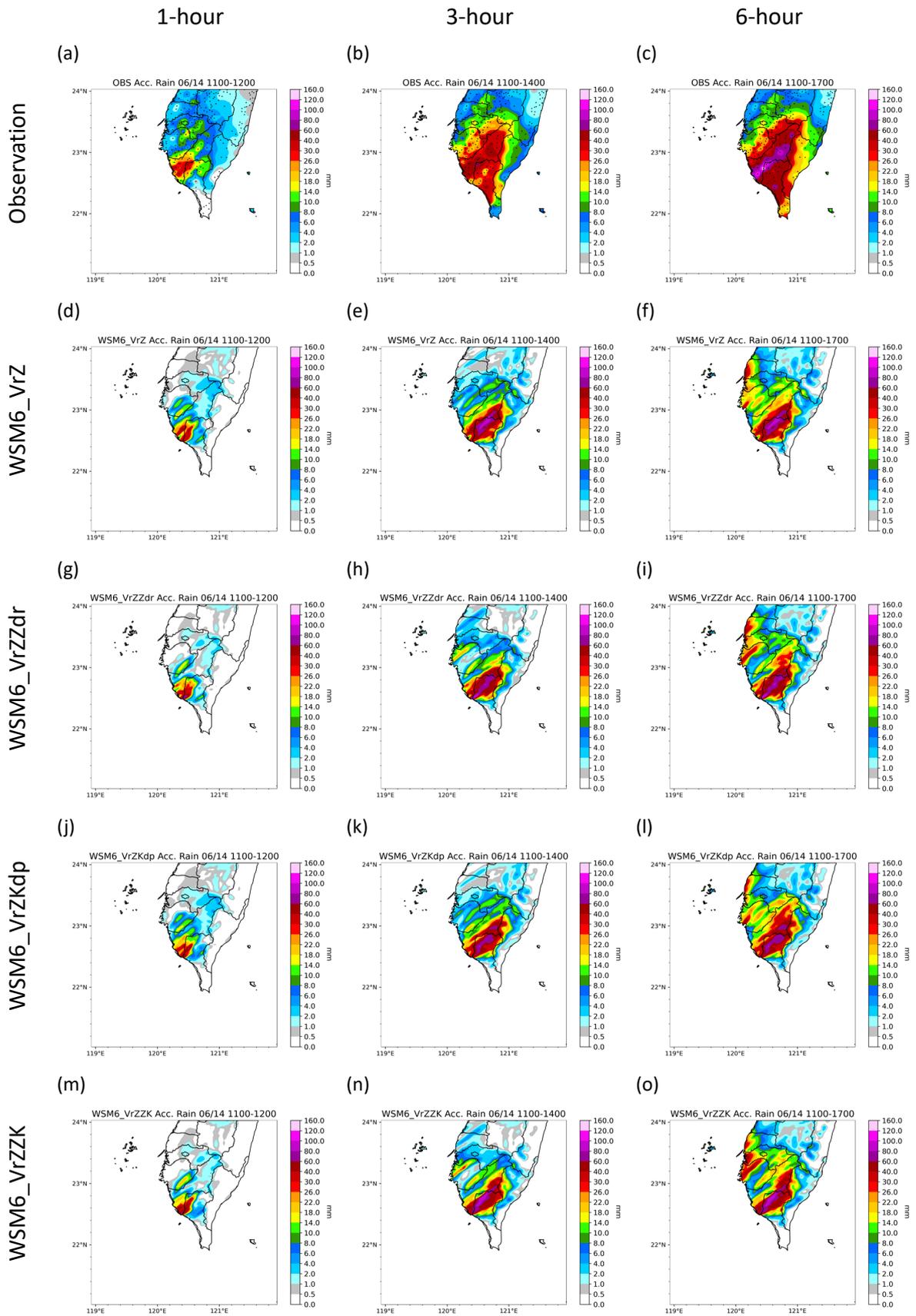


Figure 68 1-hour, 3-hour and 6-hour accumulated rainfall observation and analysis mean QPF after data assimilation in the squall line experiments with WSM6 scheme.

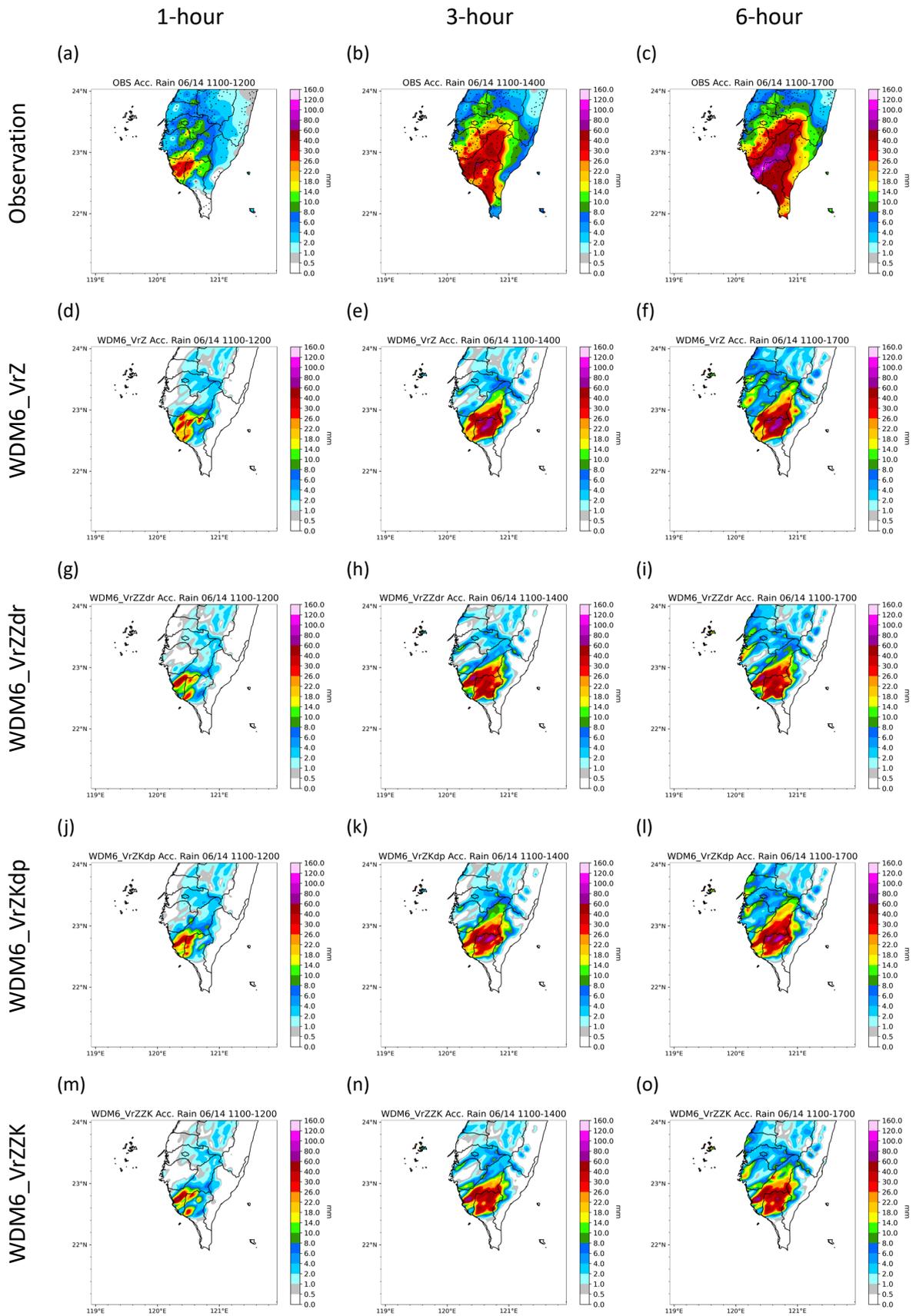


Figure 69 1-hour, 3-hour and 6-hour accumulated rainfall observation and analysis mean QPF after data assimilation in the squall line experiments with WDM6 scheme.

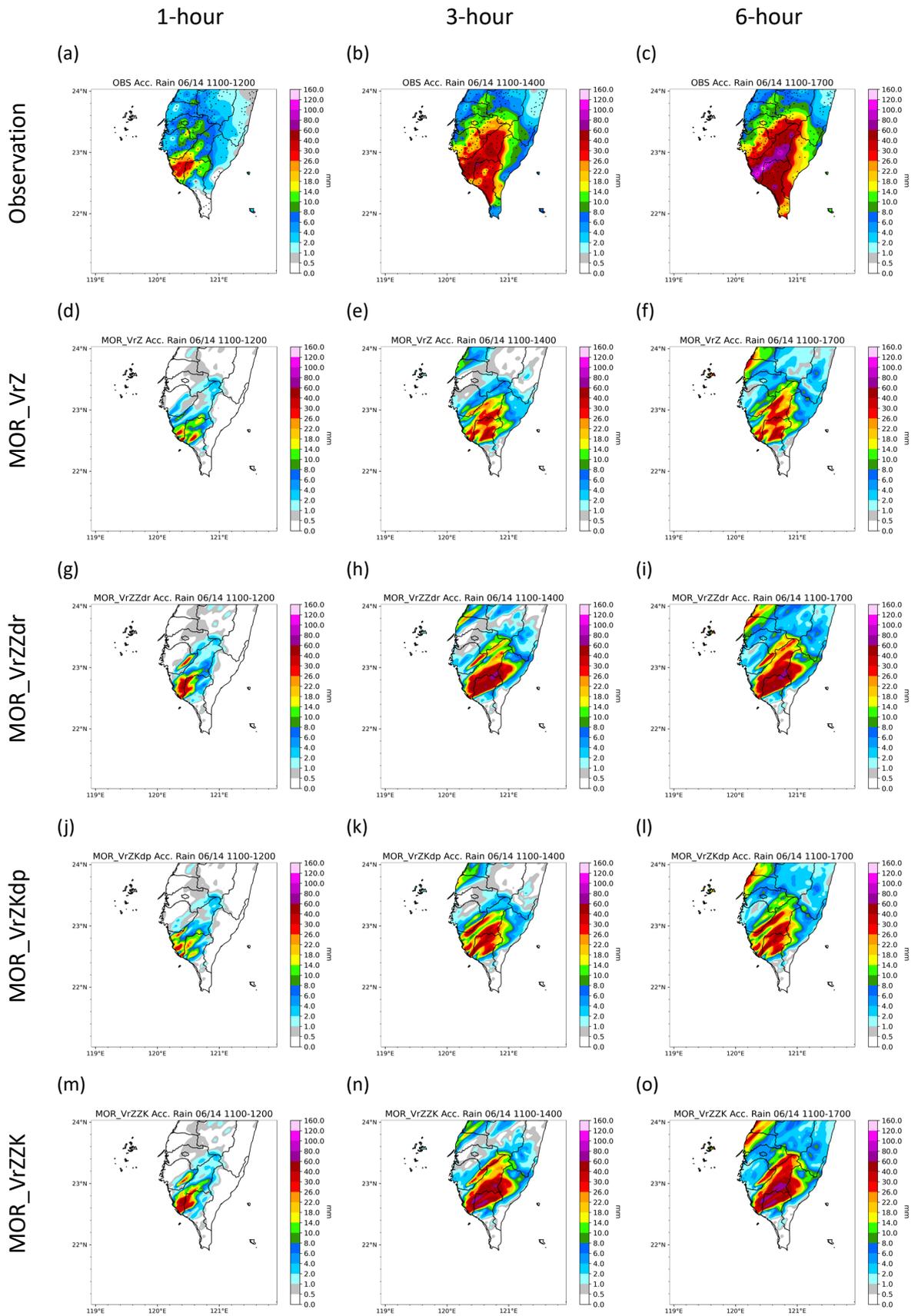


Figure 70 1-hour, 3-hour and 6-hour accumulated rainfall observation and analysis mean QPF after data assimilation in the squall line experiments with MOR scheme.

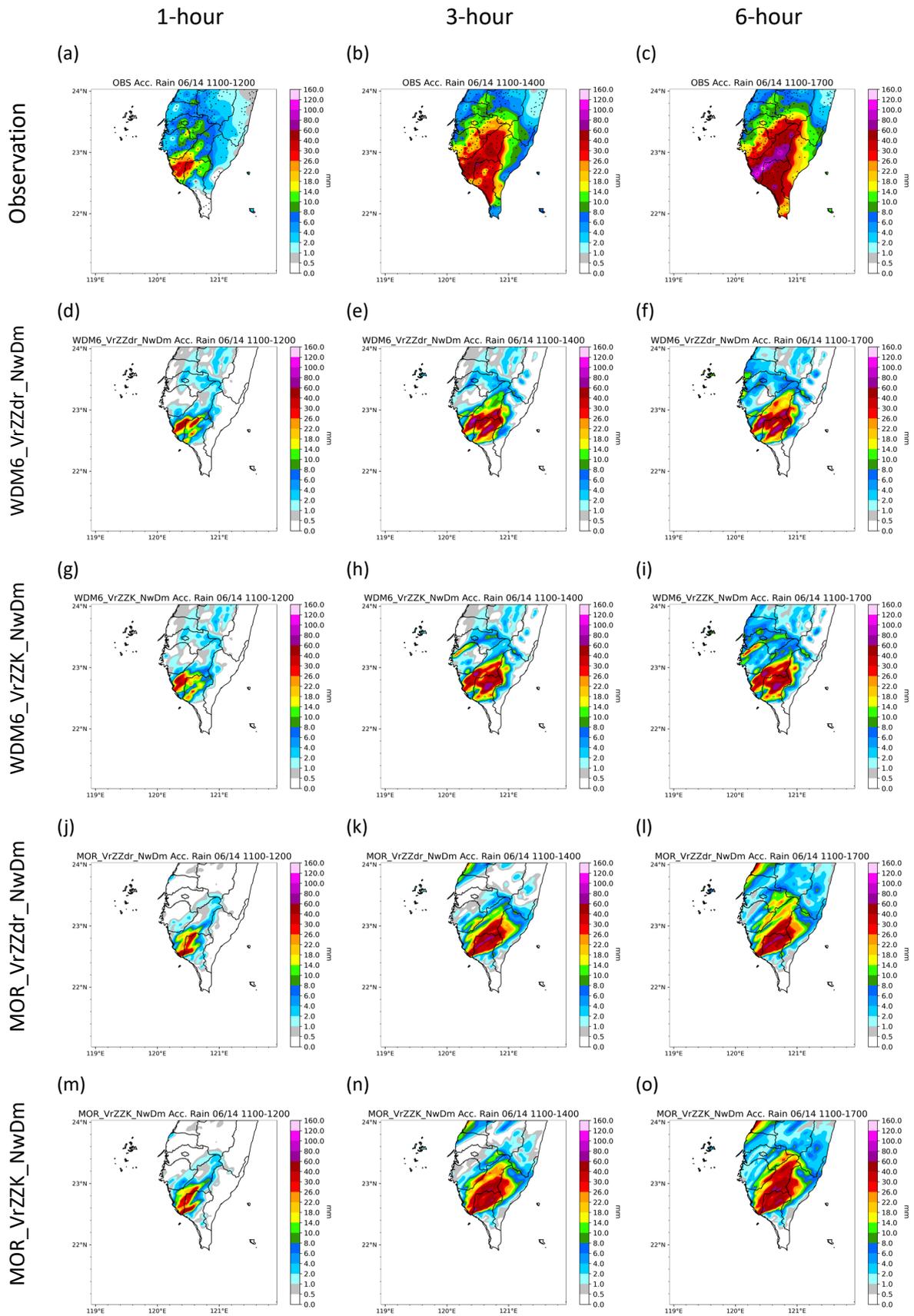


Figure 71 1-hour, 3-hour and 6-hour accumulated rainfall observation and analysis mean QPF after data assimilation in the squall line experiments with the new approach.

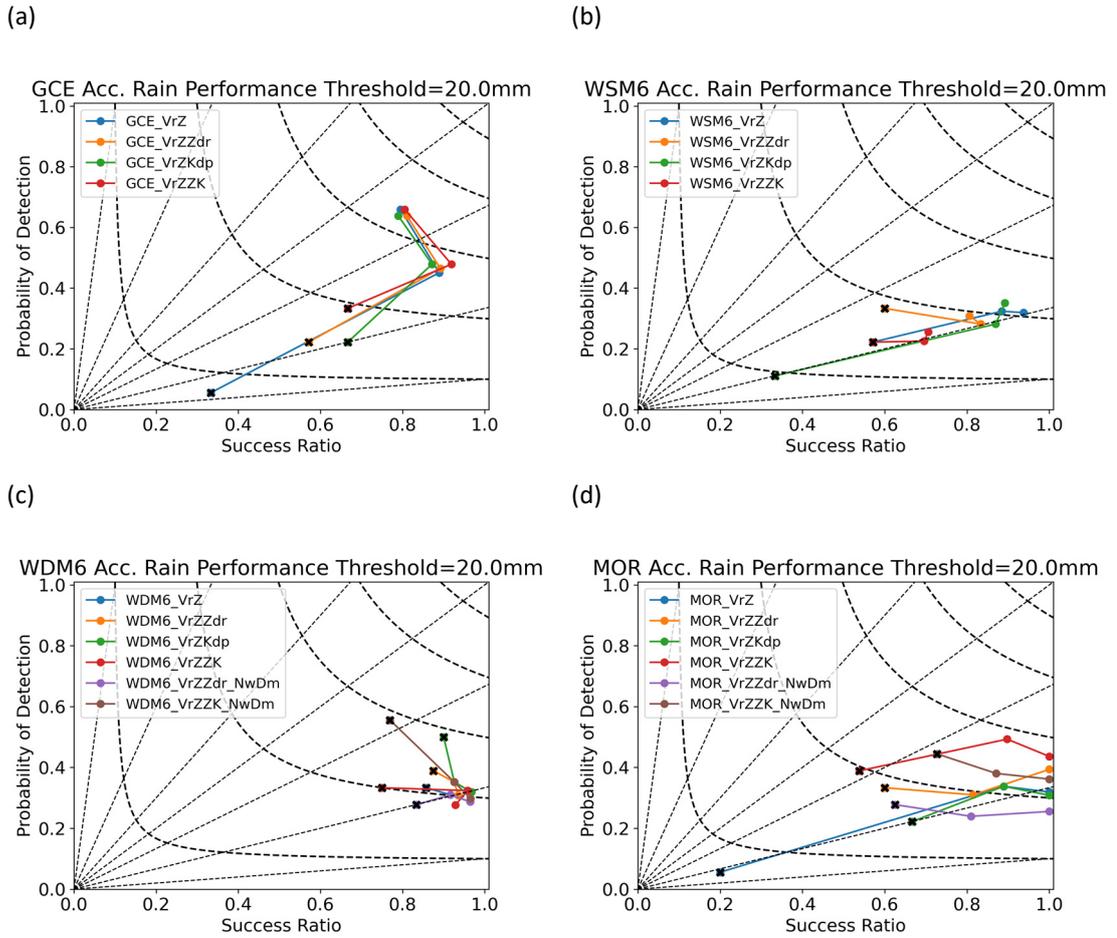
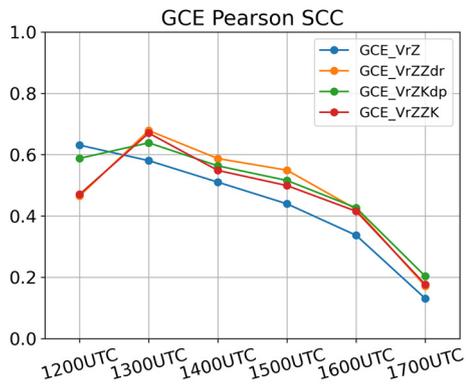
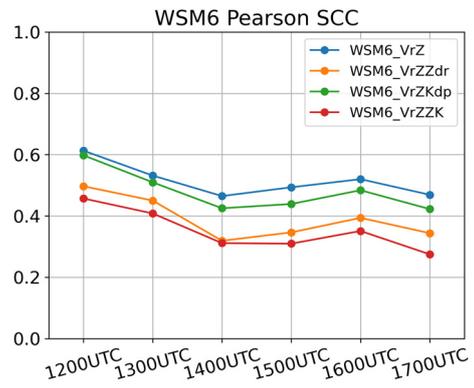


Figure 72 Performance diagrams of 1-hour, 3-hour and 6-hour accumulated rainfall exceeding 20 mm in the squall line case. The black cross indicates the 1<sup>st</sup> hour. (a) GCE (b) WSM6 (c) WDM6 (d) MOR.

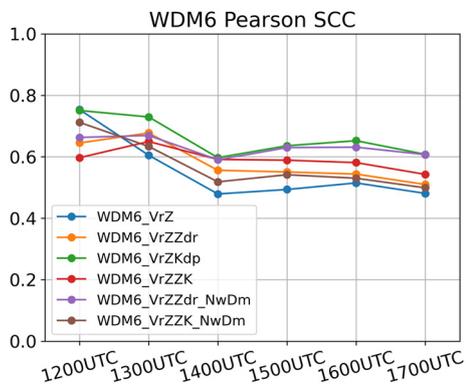
(a)



(b)



(c)



(d)

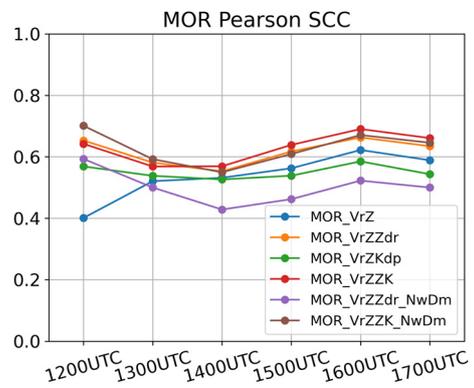


Figure 73 Pearson spatial correlation coefficient time series of 6-hour accumulated rainfall in the squall line case.

(a) GCE (b) WSM6 (c) WDM6 (d) MOR.

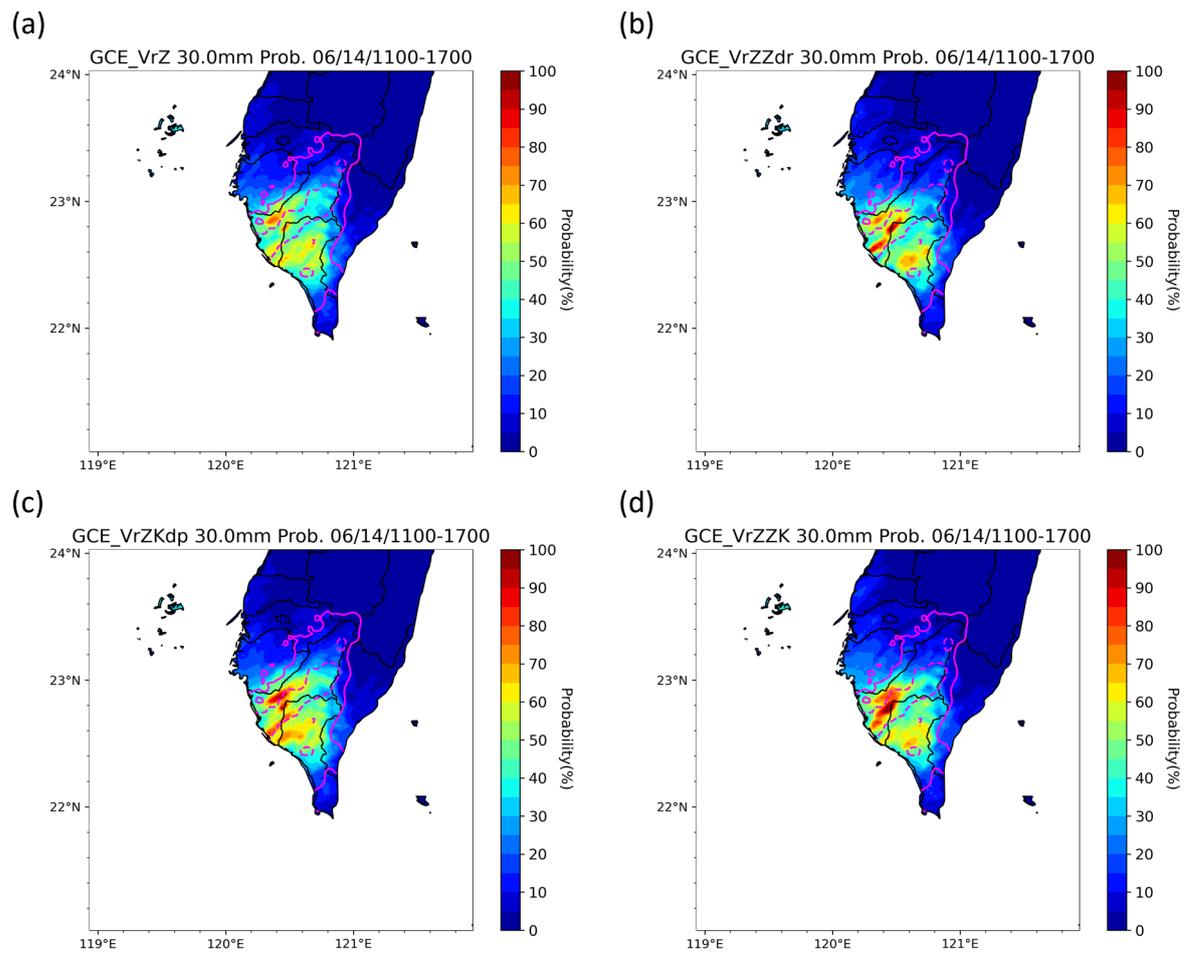


Figure 74 Probability of 6-hour accumulated rainfall exceeding 30 mm in the squall line experiments with GCE scheme. The solid contour and the dashed contour represent 30-mm and 60-mm contours respectively.

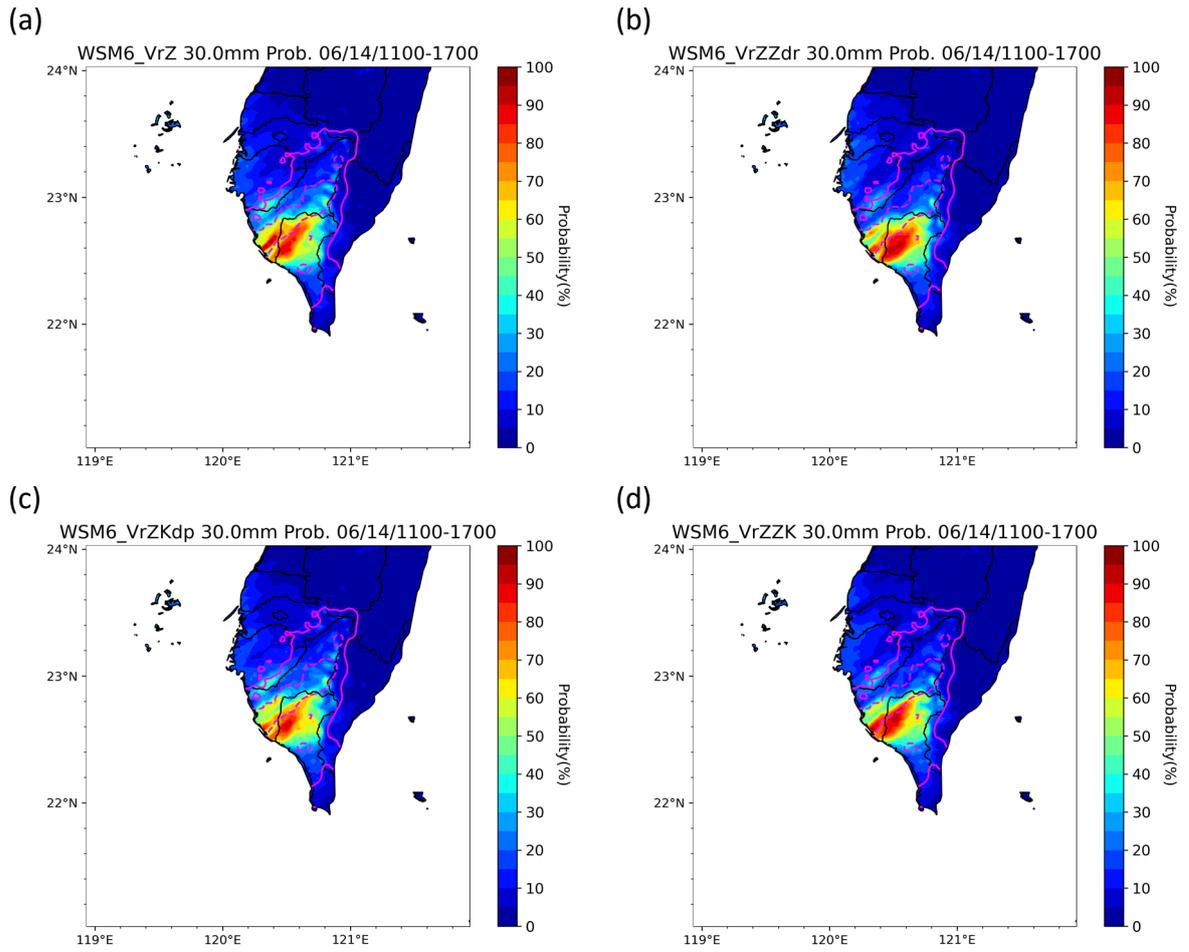


Figure 75 Probability of 6-hour accumulated rainfall exceeding 30 mm in the squall line experiments with WSM6 scheme. The solid contour and the dashed contour represent 30-mm and 60-mm contours respectively.

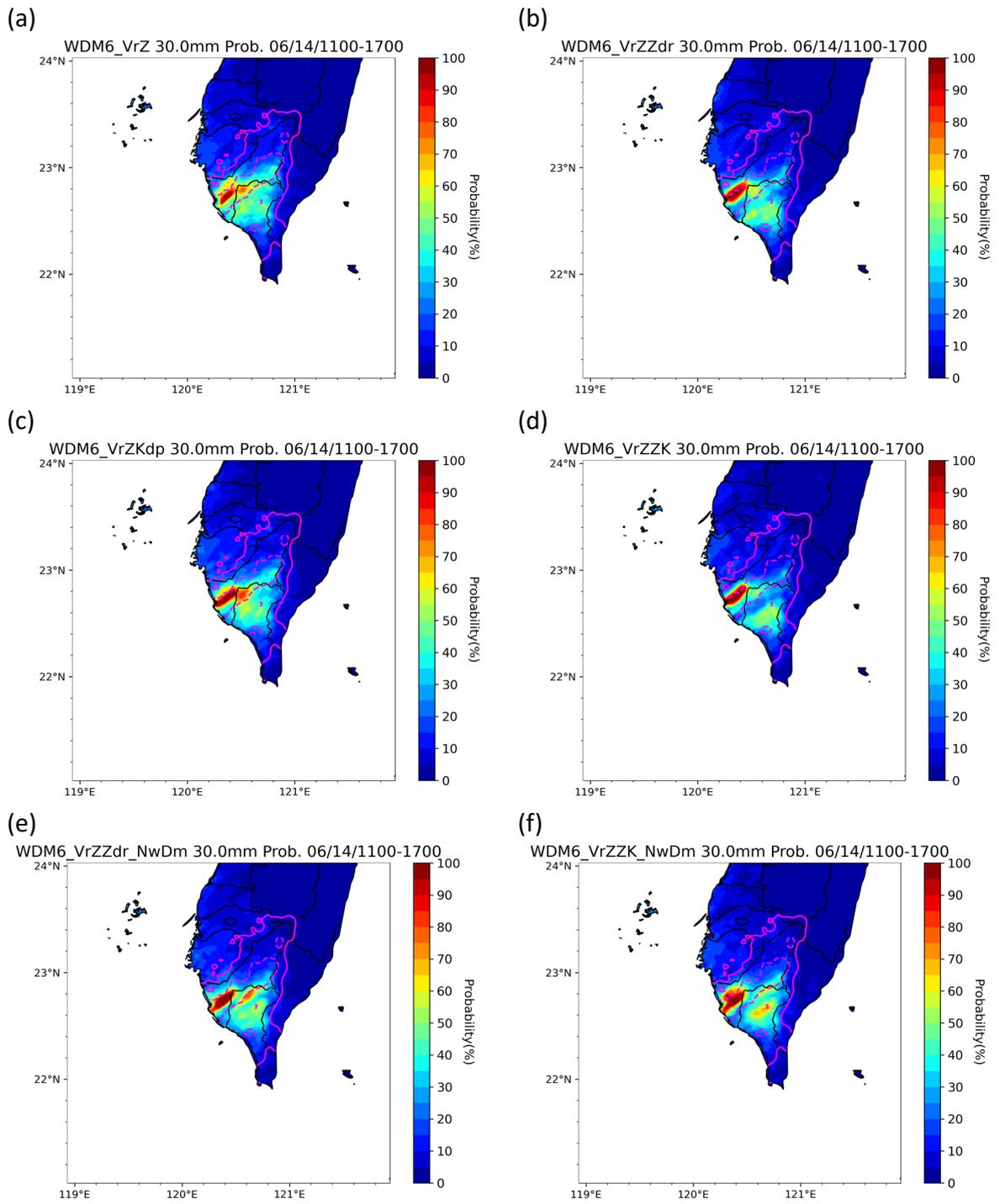


Figure 76 Probability of 6-hour accumulated rainfall exceeding 30 mm in the squall line experiments with WDM6 scheme. The solid contour and the dashed contour represent 30-mm and 60-mm contours respectively.

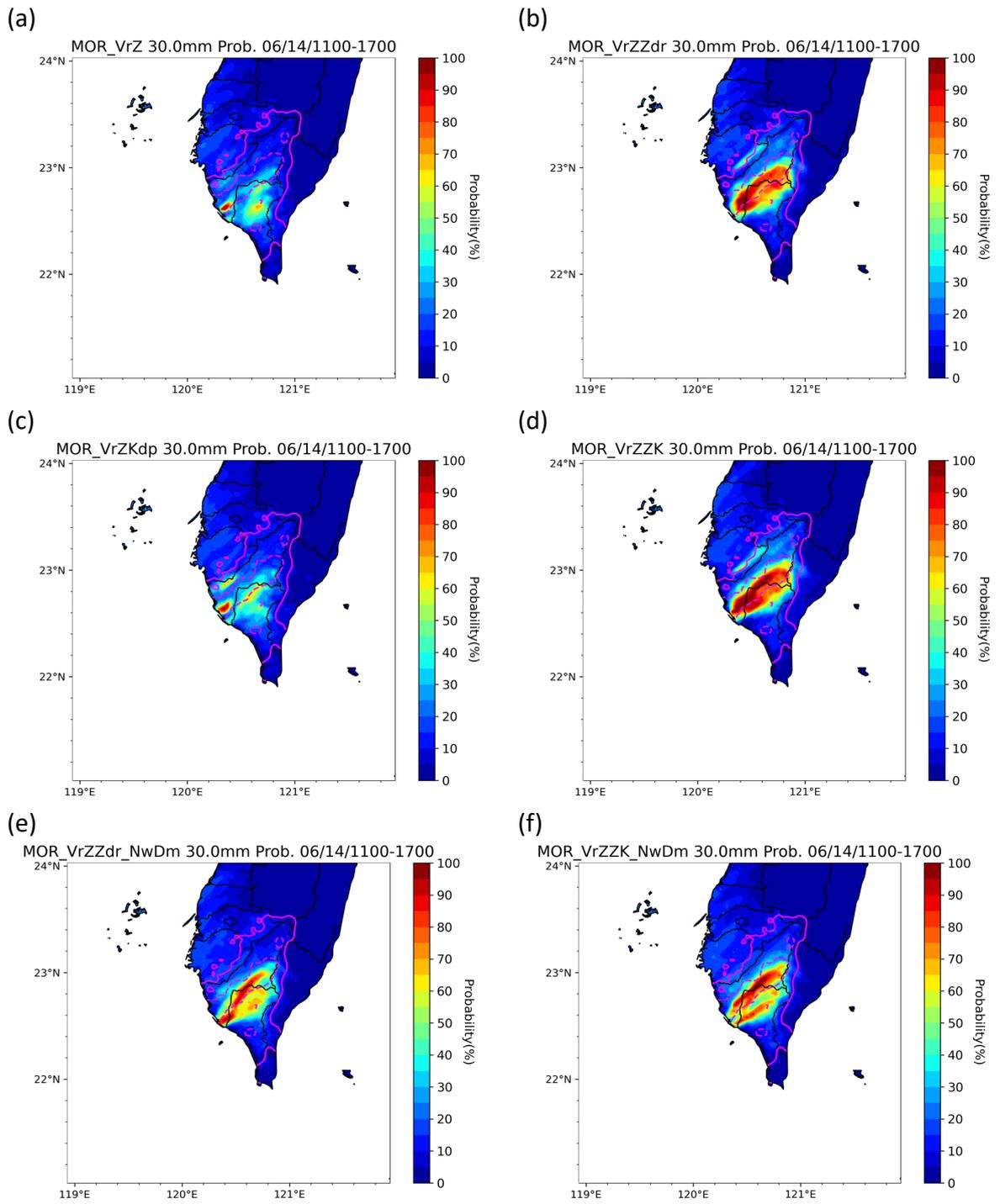
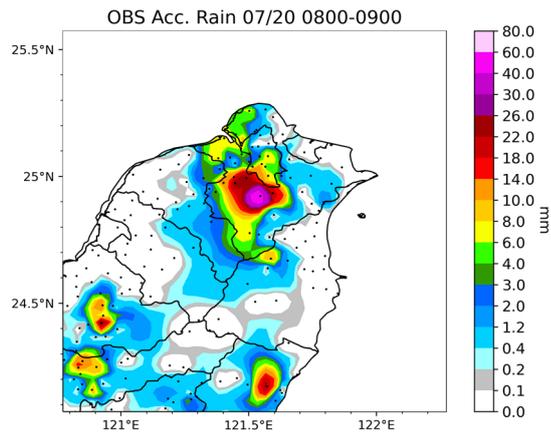
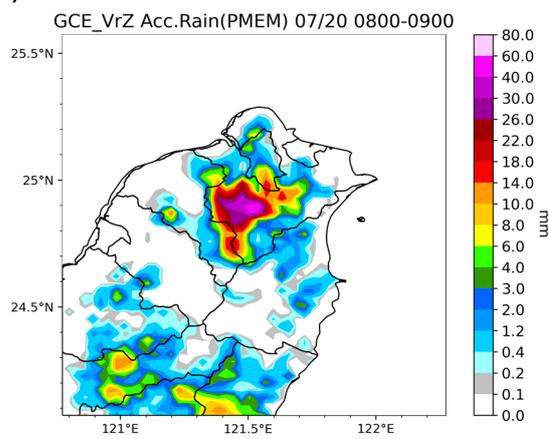


Figure 77 Probability of 6-hour accumulated rainfall exceeding 30 in the squall line experiments with MOR scheme. The solid contour and the dashed contour represent 30-mm and 60-mm contours respectively.

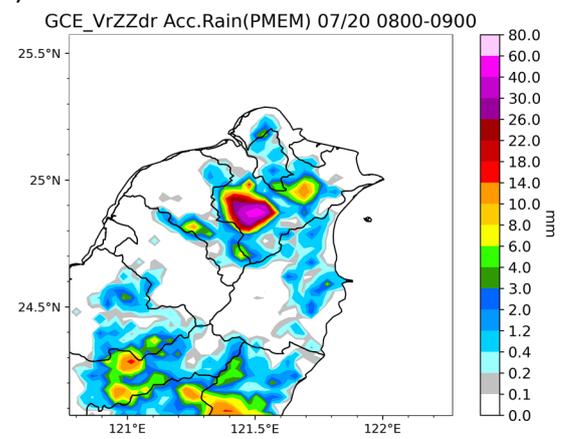
(a)



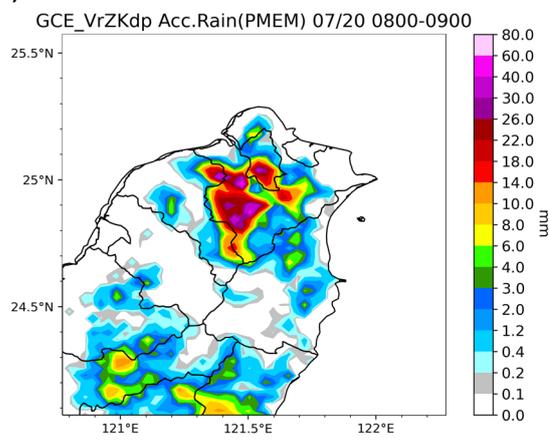
(b)



(c)



(d)



(e)

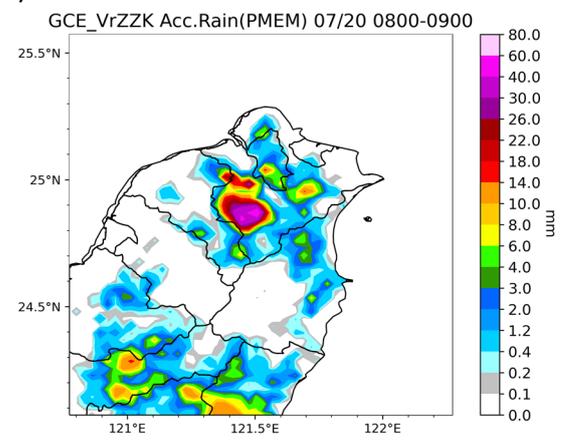
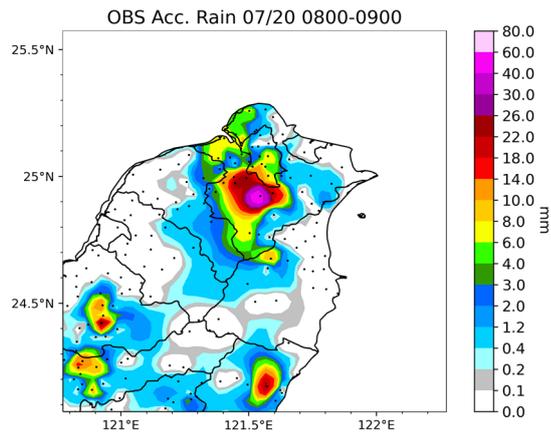
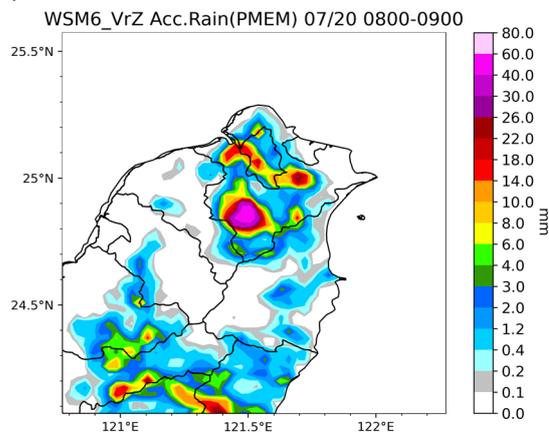


Figure 78 1-hour accumulated rainfall of observation and PMEM of the short-term ensemble QPF after data assimilation in the afternoon thunderstorm experiments with GCE scheme.

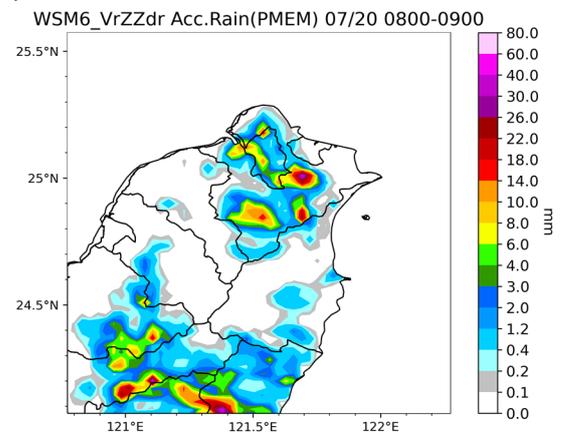
(a)



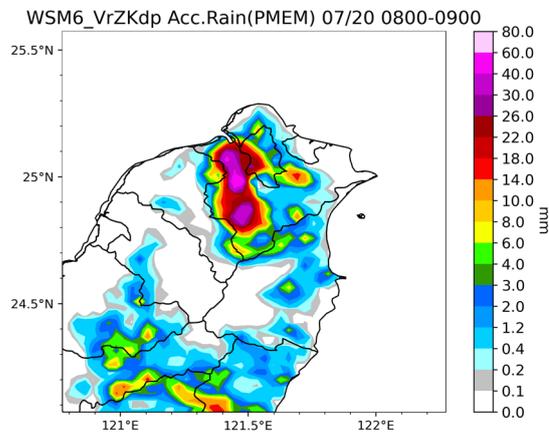
(b)



(c)



(d)



(e)

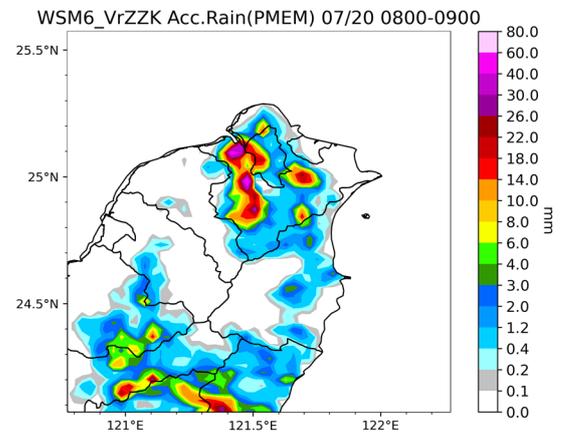
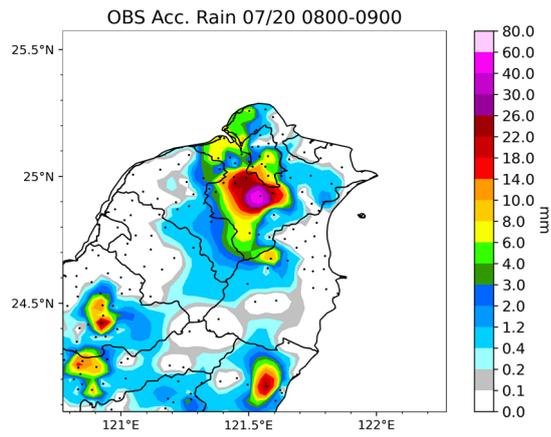
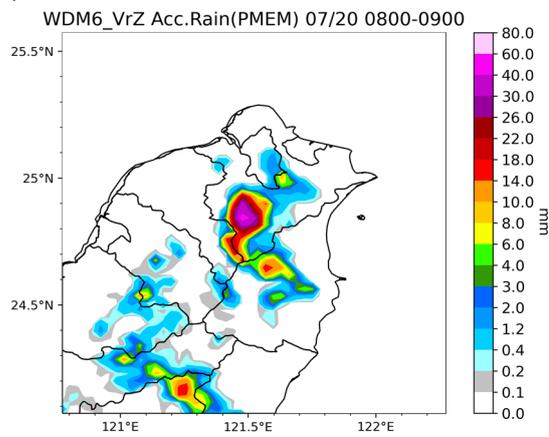


Figure 79 1-hour accumulated rainfall of observation and PMEM of the short-term ensemble QPF after data assimilation in the afternoon thunderstorm experiments with WSM6 scheme.

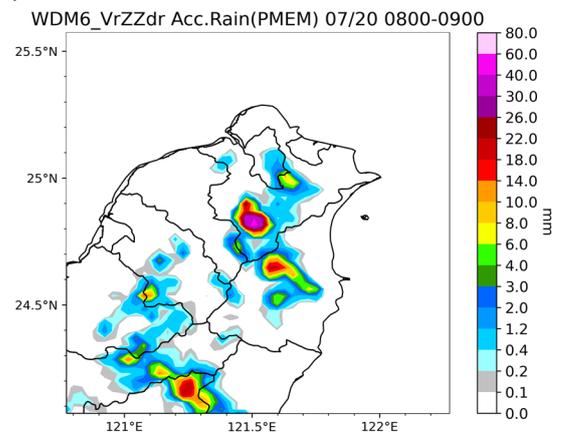
(a)



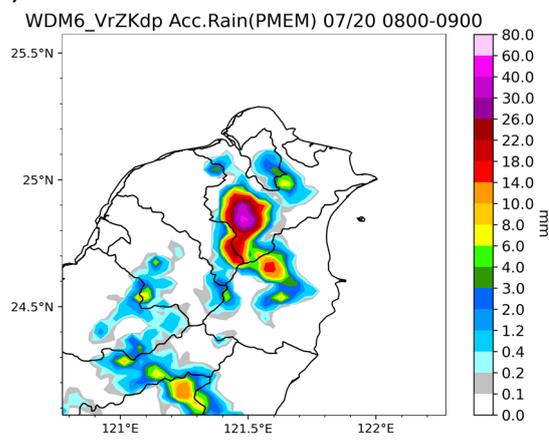
(b)



(c)



(d)



(e)

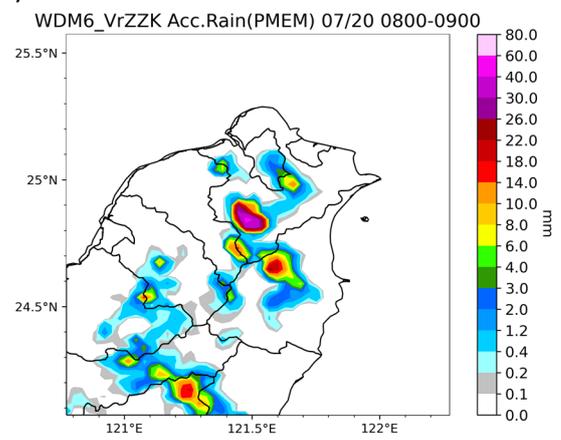
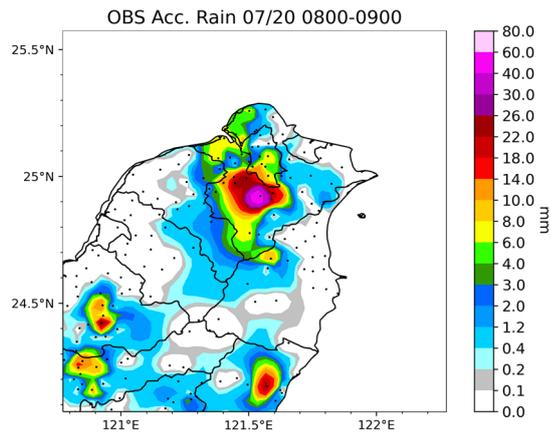
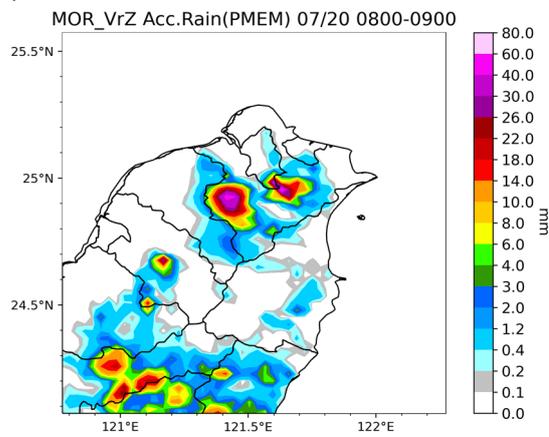


Figure 80 1-hour accumulated rainfall of observation and PMEM of the short-term ensemble QPF after data assimilation in the afternoon thunderstorm experiments with WDM6 scheme.

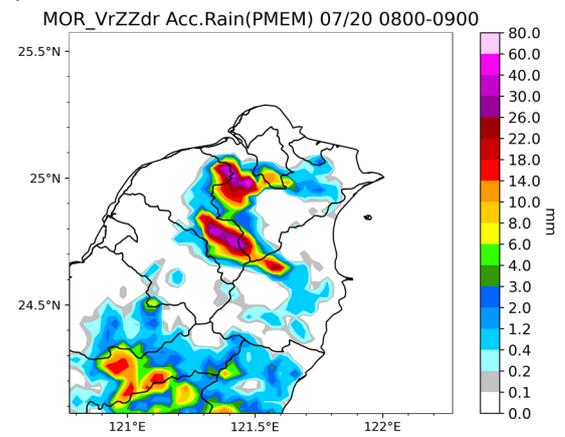
(a)



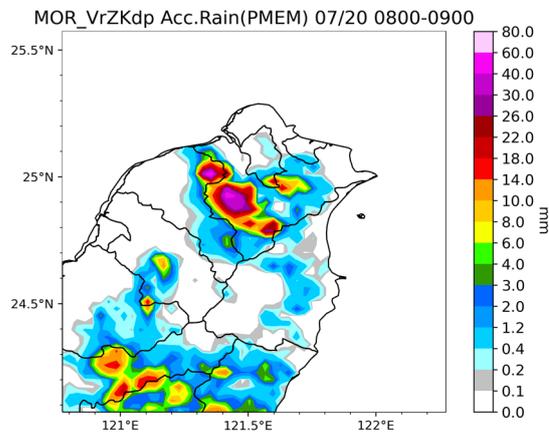
(b)



(c)



(d)



(e)

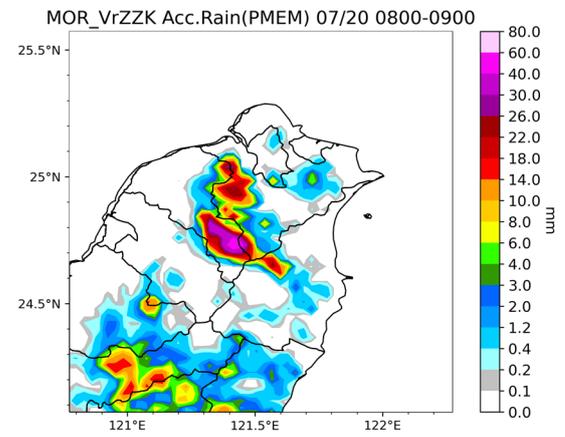
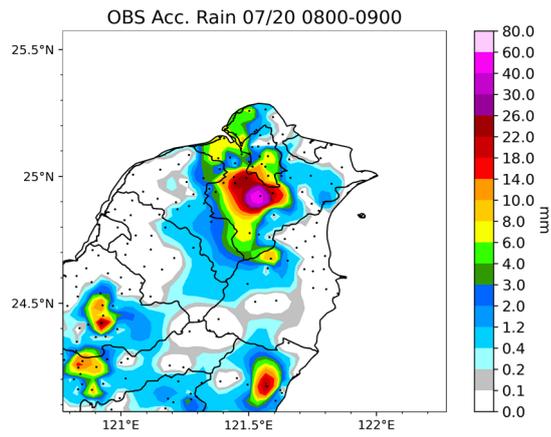
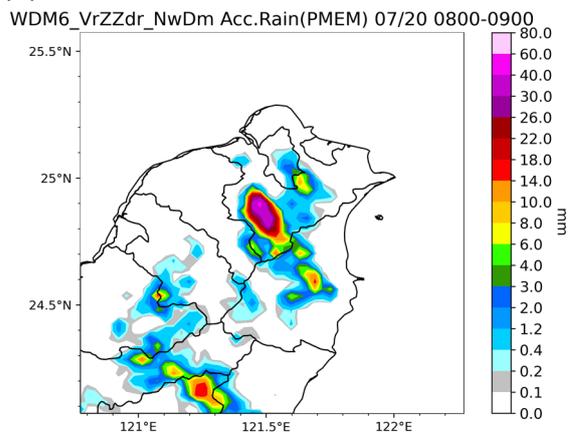


Figure 81 1-hour accumulated rainfall of observation and PMEM of the short-term ensemble QPF after data assimilation in the afternoon thunderstorm experiments with MOR scheme.

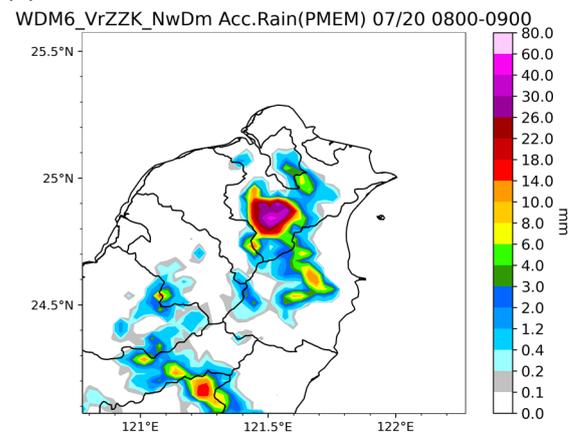
(a)



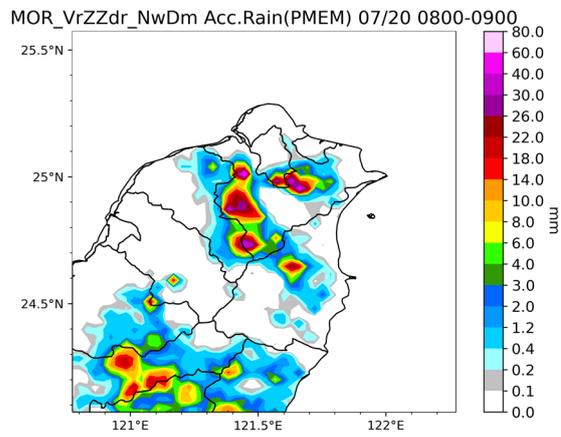
(b)



(c)



(d)



(e)

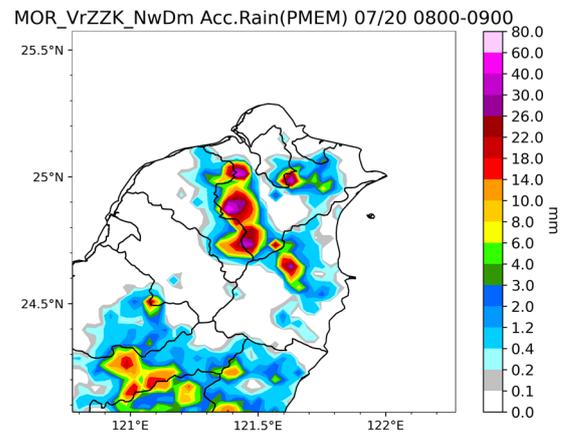


Figure 82 1-hour accumulated rainfall of observation and PMEM of the short-term ensemble QPF after data assimilation in the afternoon thunderstorm experiments with the new approach.

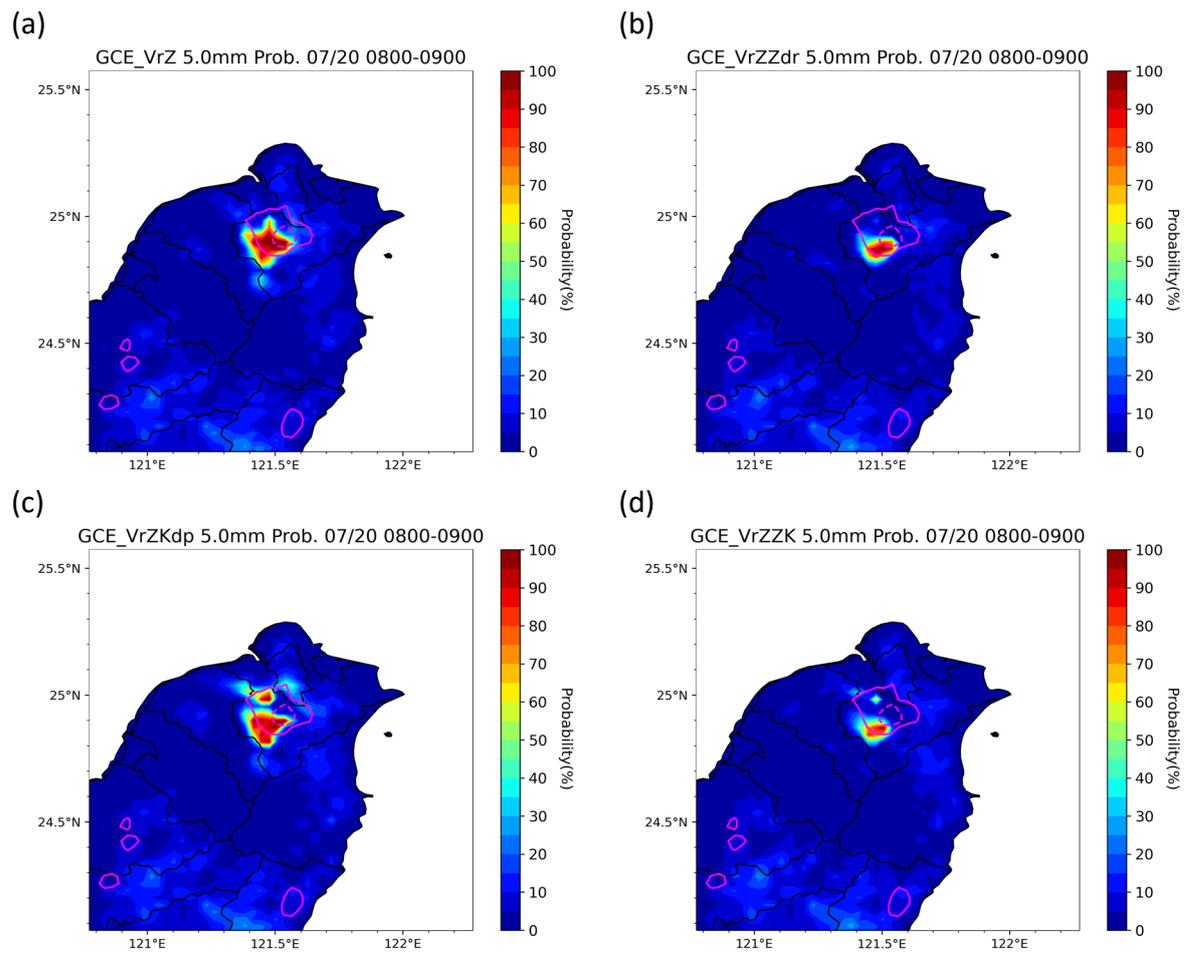


Figure 83 Probability of 1-hour accumulated rainfall exceeding 5 mm in the afternoon thunderstorm experiments with GCE scheme. The solid contour and the dashed contour represent 10-mm and 30-mm contours respectively.

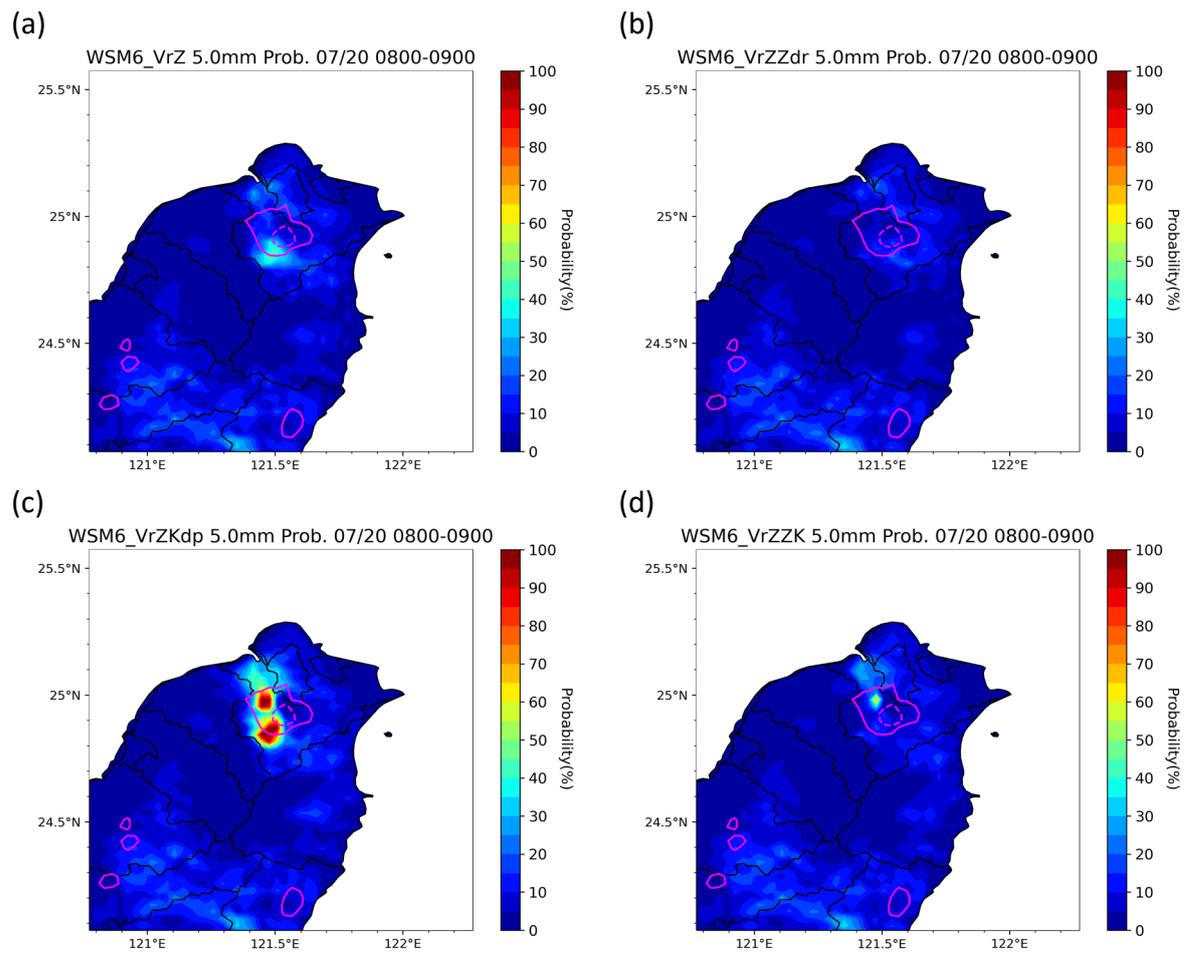


Figure 84 Probability of 1-hour accumulated rainfall exceeding 5 mm in the afternoon thunderstorm experiments with WSM6 scheme. The solid contour and the dashed contour represent 10-mm and 30-mm contours respectively.

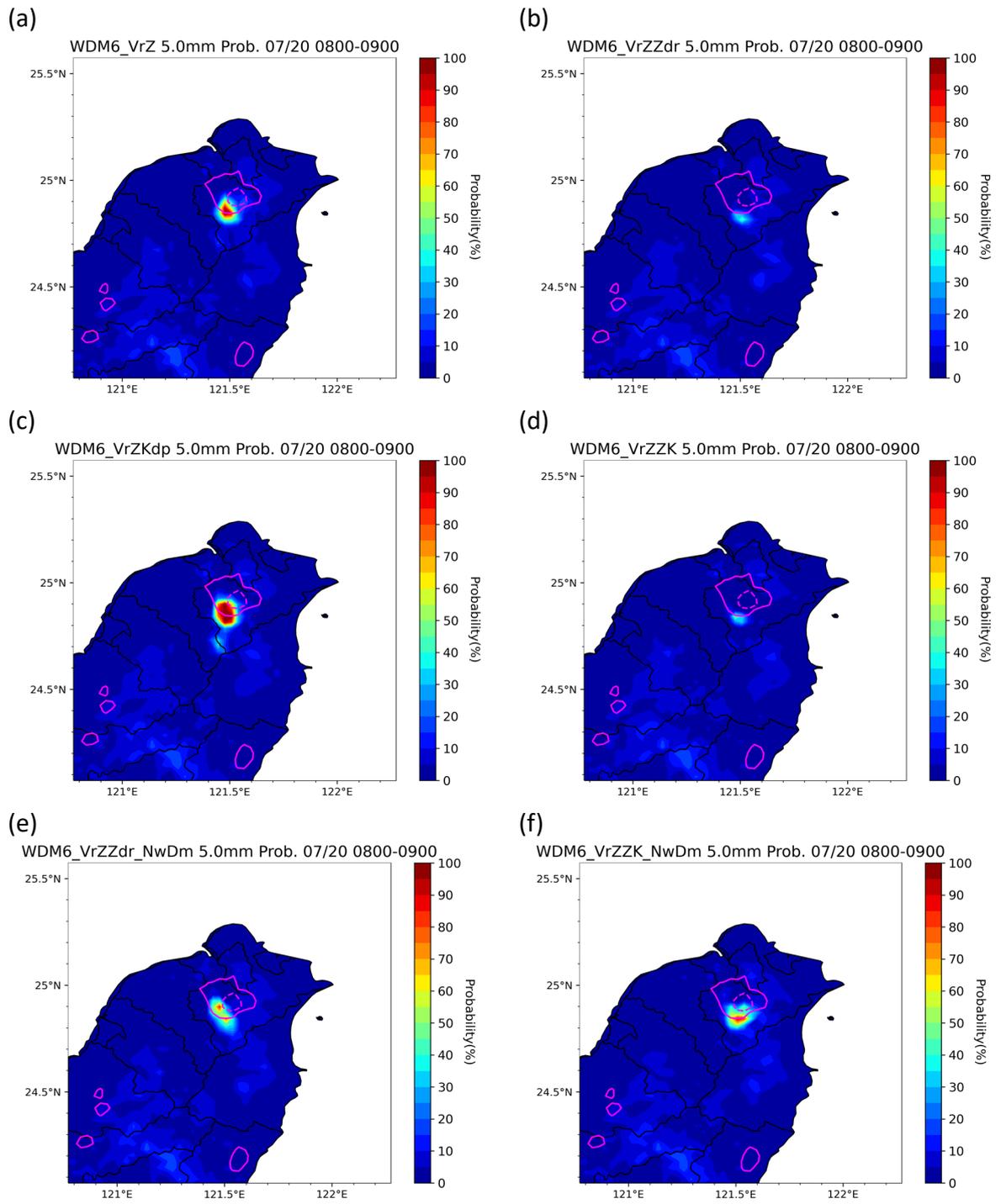


Figure 85 Probability of 1-hour accumulated rainfall exceeding 5 mm in the afternoon thunderstorm experiments with WDM6 scheme. The solid contour and the dashed contour represent 10-mm and 30-mm contours respectively.

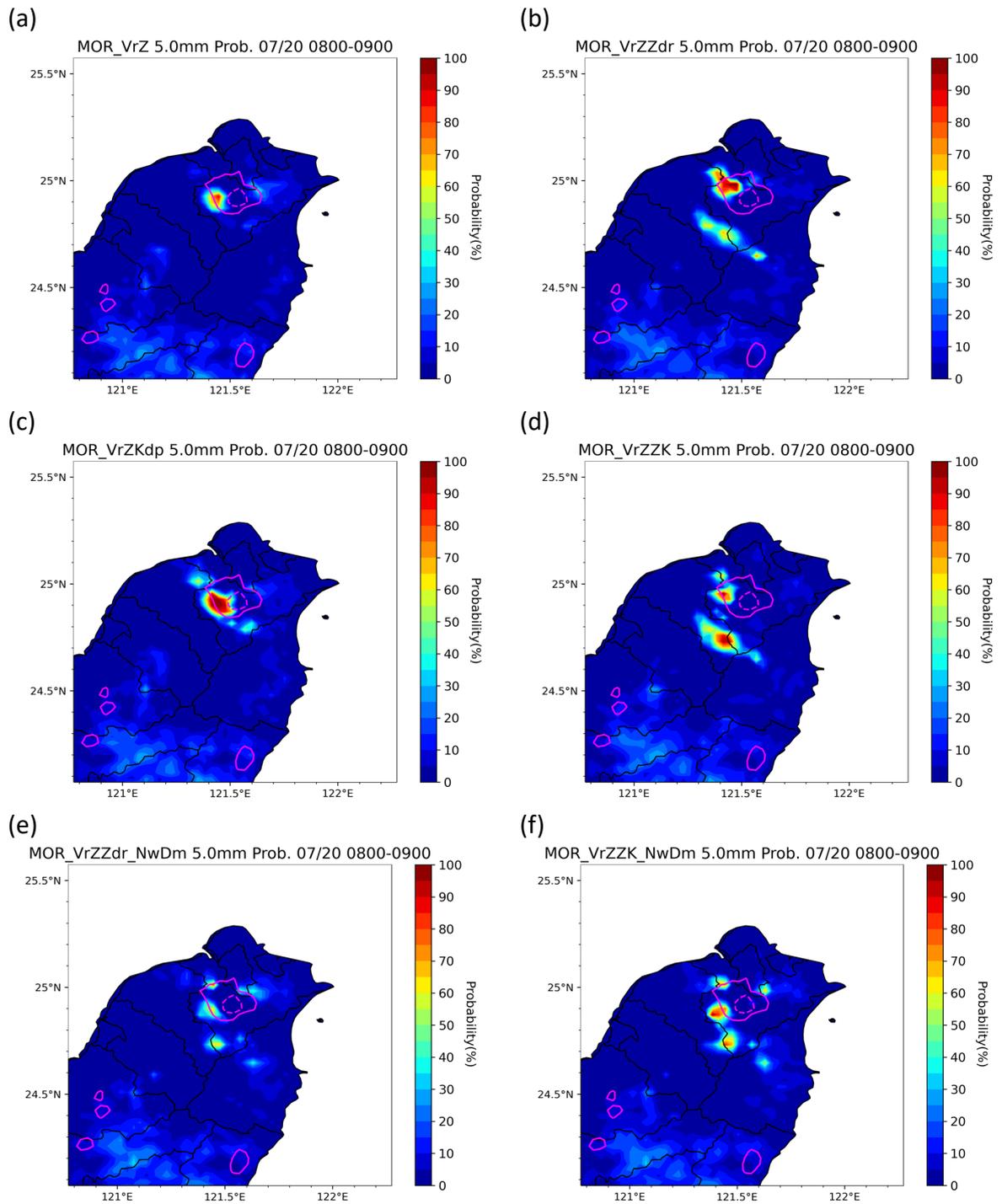


Figure 86 Probability of 1-hour accumulated rainfall exceeding 5 mm in the afternoon thunderstorm experiments with MOR scheme. The solid contour and the dashed contour represent 10-mm and 30-mm contours respectively.